

# Gene-set analyses of genome-wide association studies

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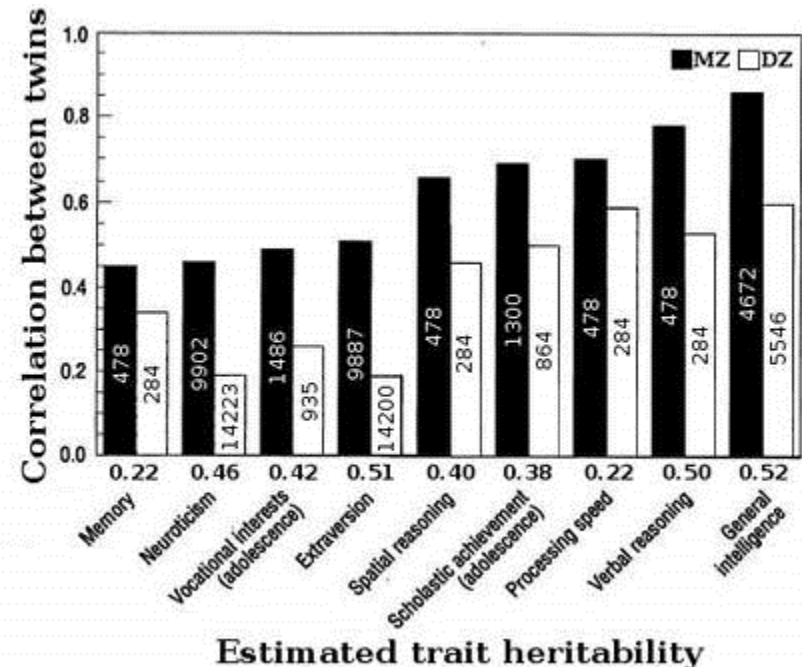
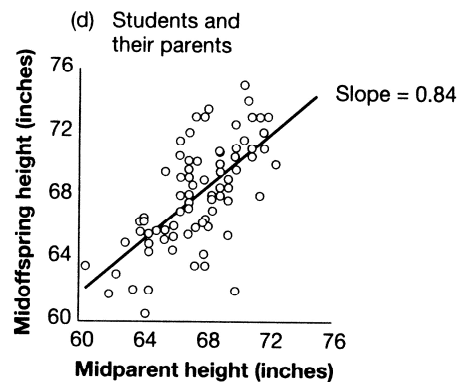
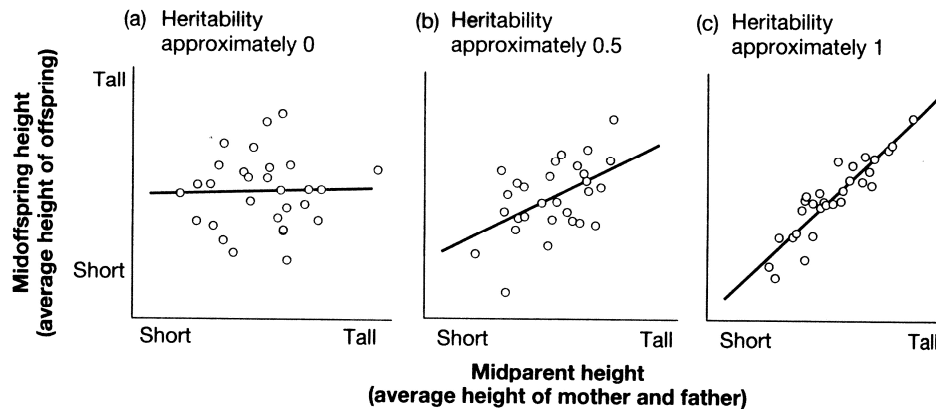
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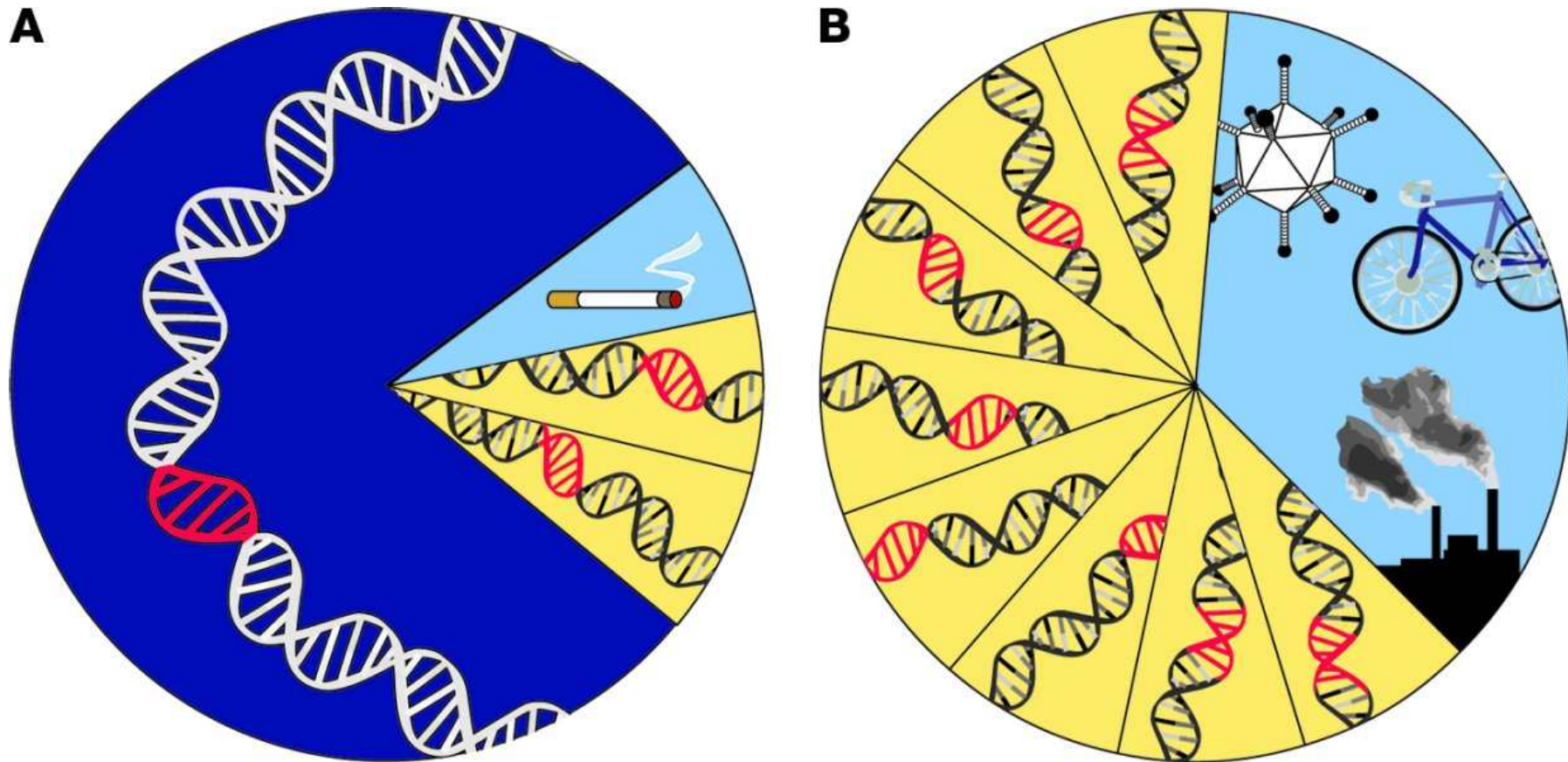
Phenotype ( $P$ ) = Genotype ( $G$ ) + Environment ( $E$ )

$$\text{Var}(P) = \text{Var}(G) + \text{Var}(E) + 2 \text{Cov}(G, E)$$

Heritability,  $\text{Var}(G)/\text{Var}(P)$ , is often estimated from family data

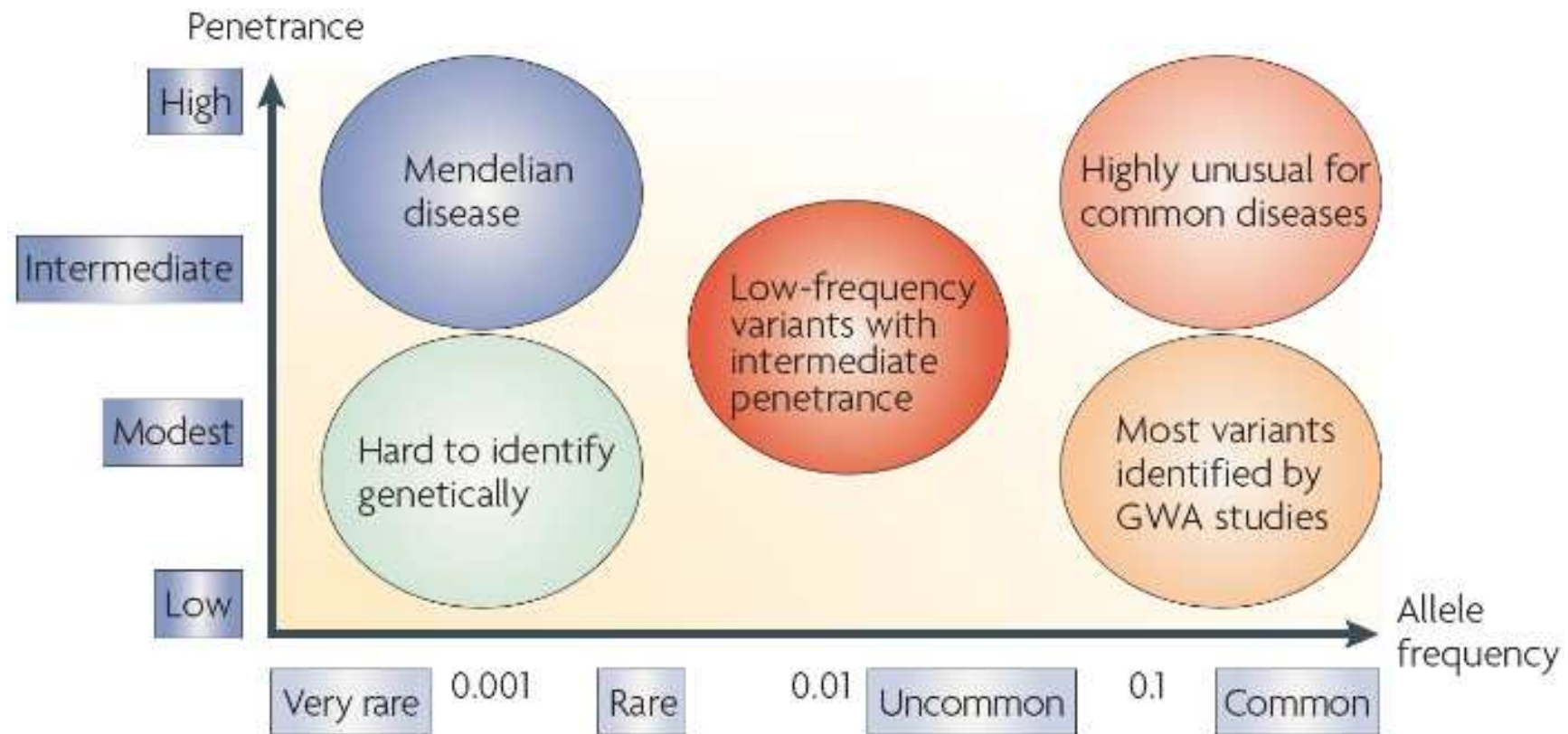


# Genetic and environmental contributions to (A) monogenic and (B) complex disorders



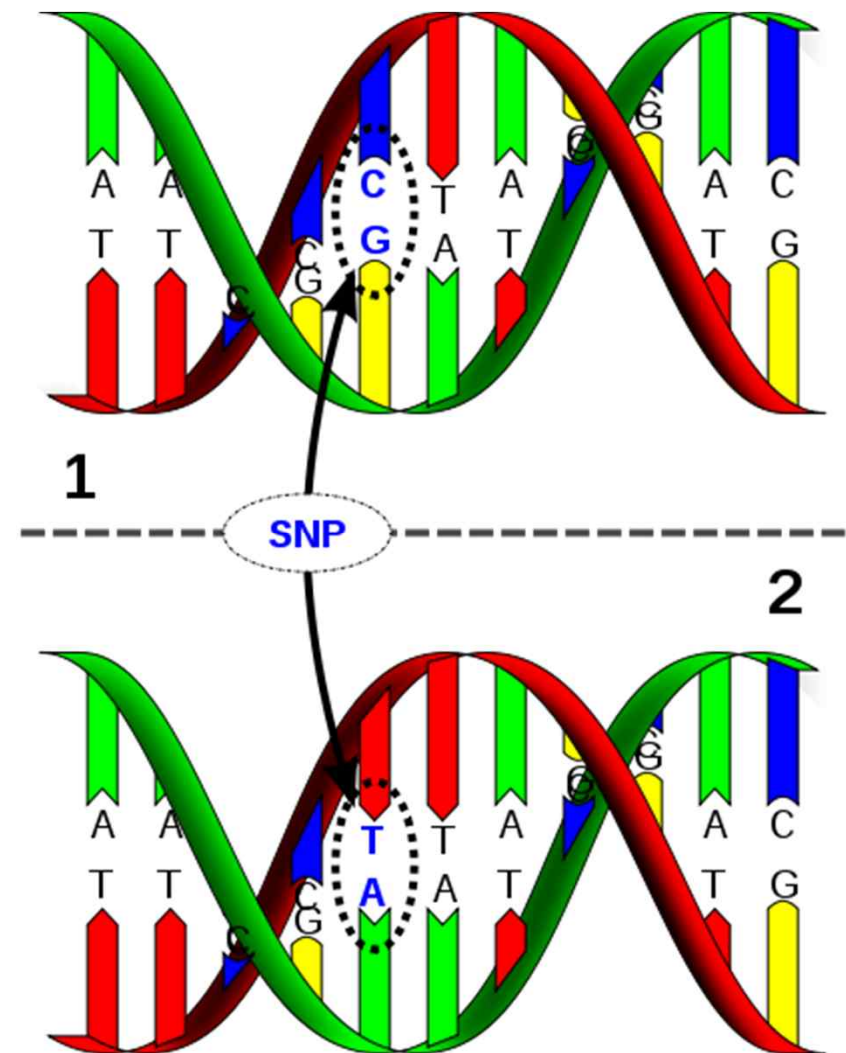
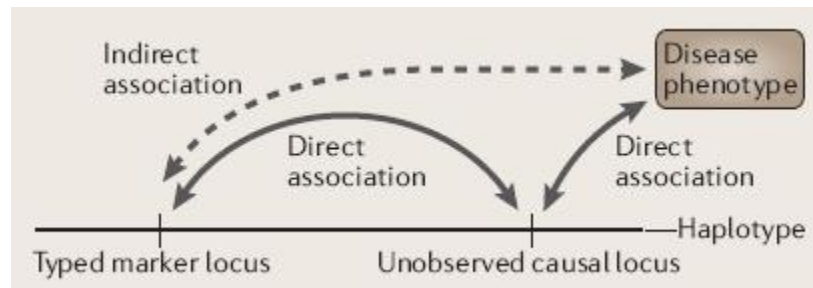
**A HapMap harvest of insights into the genetics of common disease**  
*J. Clin. Invest.* 118:5 doi:10.1172/JCI34772

# Low-frequency variants and disease susceptibility



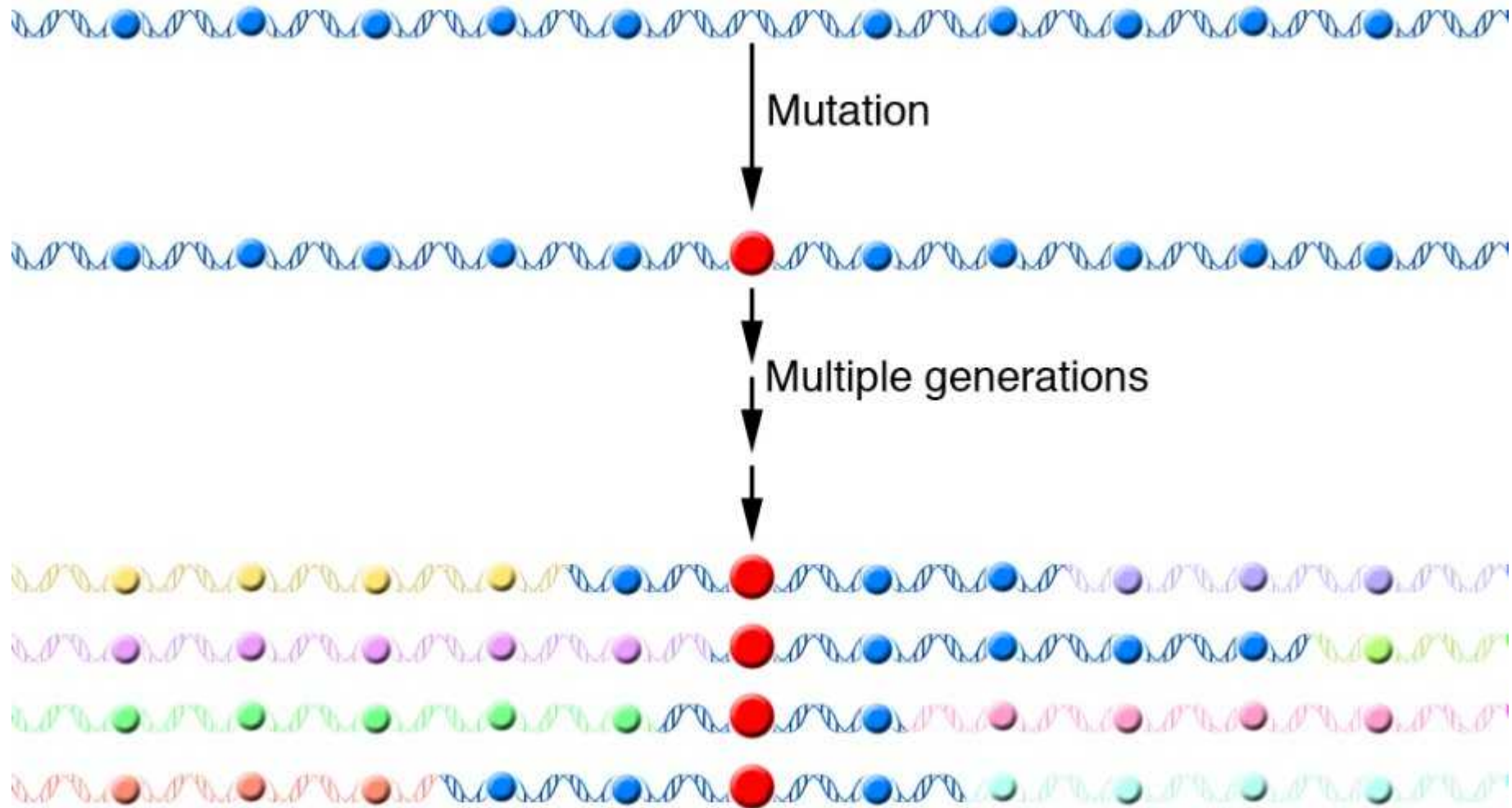
# Single Nucleotide Polymorphisms

- Imply common variations (minor allele frequency >1%)
- ~18 million RefSNPs in dbSNP (Build 130)
  - 9.5 million validated
- Most dense genetic marker
- Useful in mapping diseases
  - Directly
  - Indirectly



[http://en.wikipedia.org/wiki/Single-nucleotide\\_polymorphism](http://en.wikipedia.org/wiki/Single-nucleotide_polymorphism)

# Breakdown of LD around a new SNP



**A HapMap harvest of insights into the genetics of common disease**  
*J. Clin. Invest.* 118:5 doi:10.1172/JCI34772

***Cystic fibrosis mutation***



**A B C D E F G H I J K L M N**

Ancestral  
chromosome

**a b c d e f g h i j k l m n**

**Crossovers**

**A disease-  
causing  
mutation will be  
*associated* with  
nearby  
polymorphisms  
in a population  
of individuals**

**a b c d E F G H i j k l m n**

**a b c d e f G H I J k l m n**

**a b c d e F G h i j k l m n**

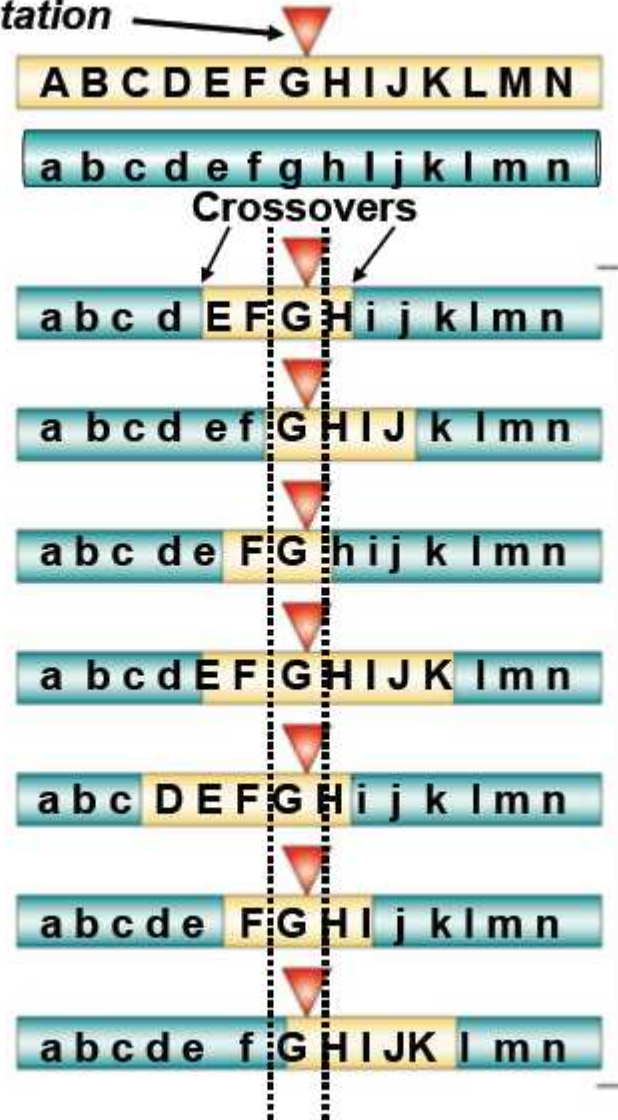
**a b c d E F G H I J K l m n**

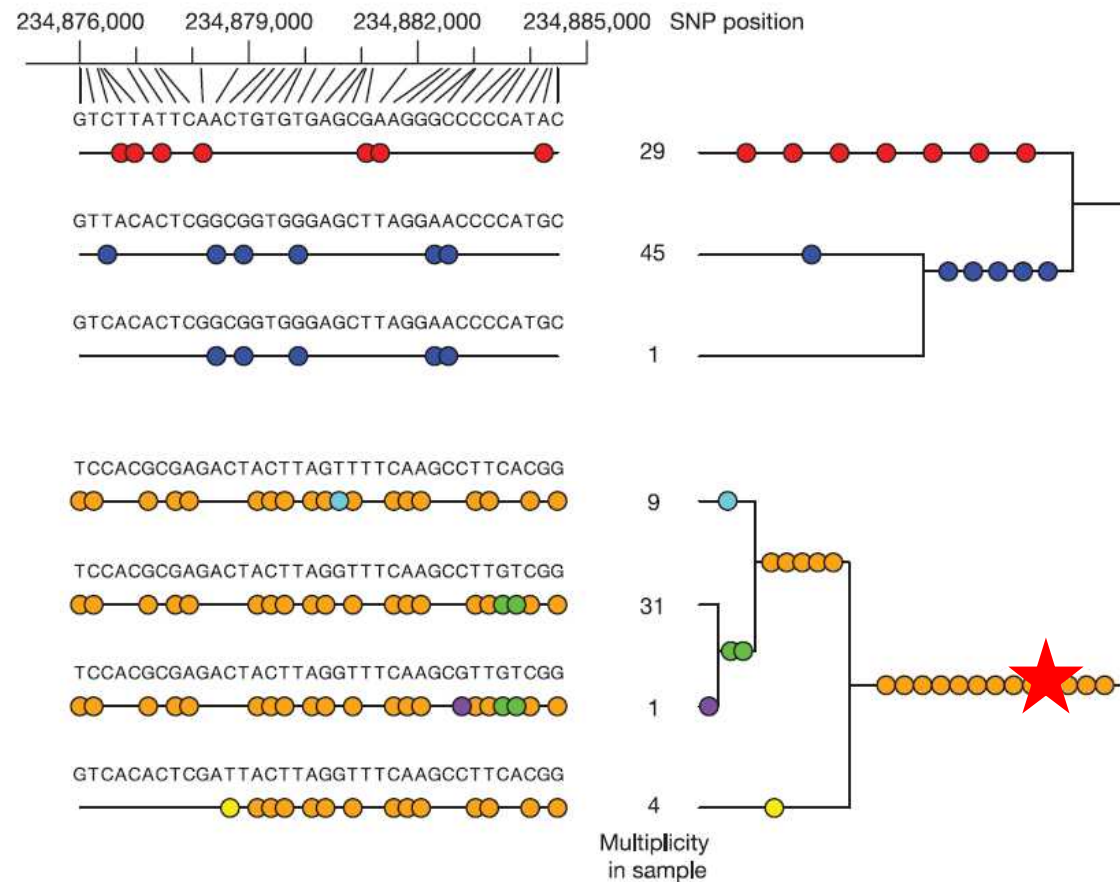
Present-day  
chromosomes

**a b c D E F G H i j k l m n**

**a b c d e F G H I j k l m n**

**a b c d e f G H I J K l m n**

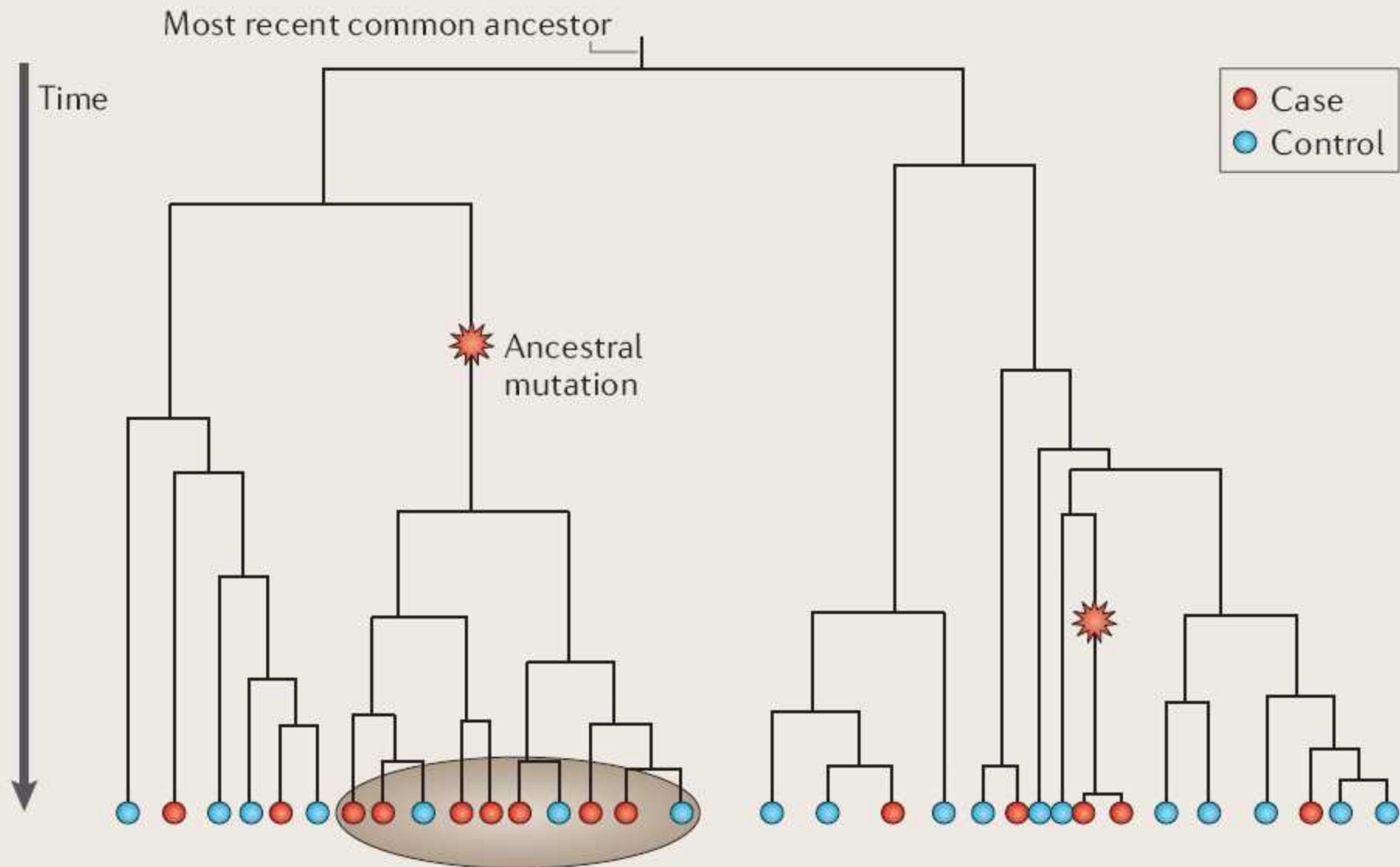




**Figure 7 | Genealogical relationships among haplotypes and  $r^2$  values in a region without obligate recombination events.** The region of chromosome 2 (234,876,004–234,884,481 bp; NCBI build 34) within ENr131.2q37 contains 36 SNPs, with zero obligate recombination events in the CEU samples. The left part of the plot shows the seven different haplotypes observed over this region (alleles are indicated only at SNPs), with their respective counts in the data. Underneath each of these haplotypes is a

binary representation of the same data, with coloured circles at SNP positions where a haplotype has the less common allele at that site. Groups of SNPs all captured by a single tag SNP (with  $r^2 \geq 0.8$ ) using a pairwise tagging algorithm<sup>53,54</sup> have the same colour. Seven tag SNPs corresponding to the seven different colours capture all the SNPs in this region. On the right these SNPs are mapped to the genealogical tree relating the seven haplotypes for the data in this region.

## Box 1 | Rationale for association studies

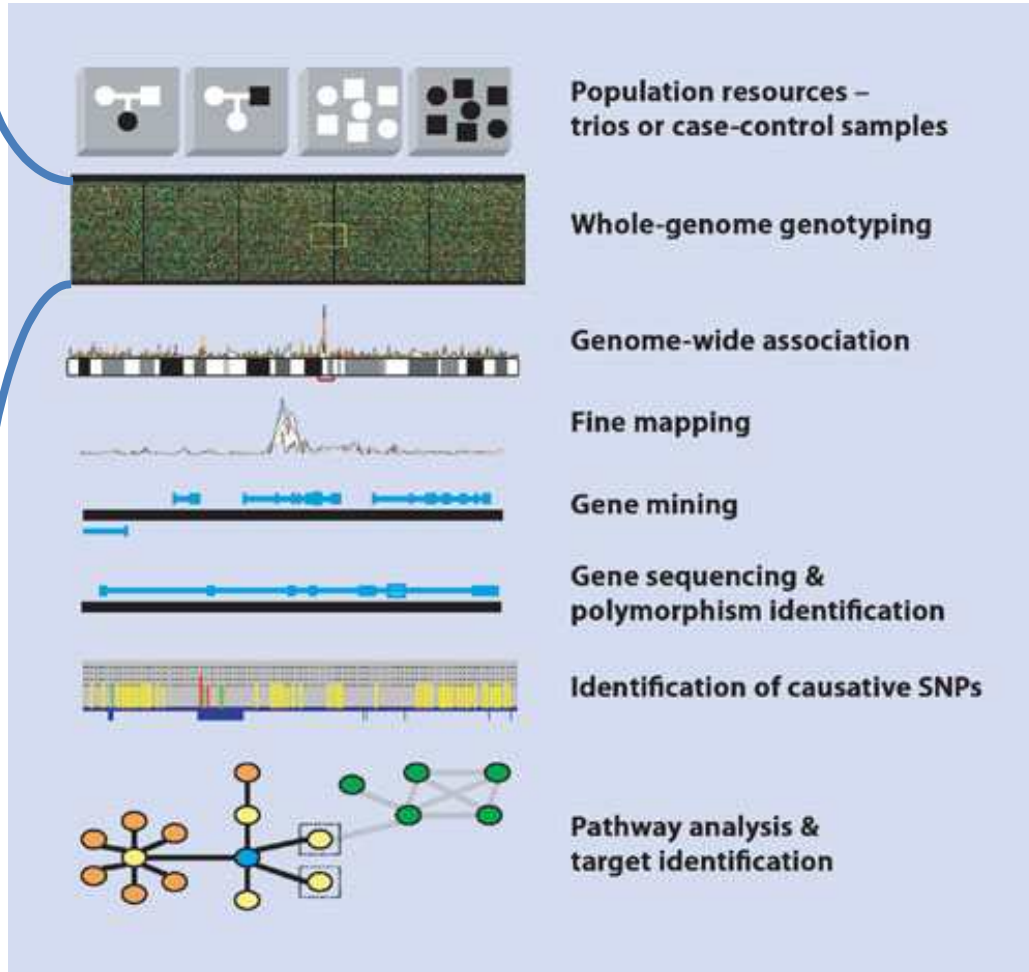
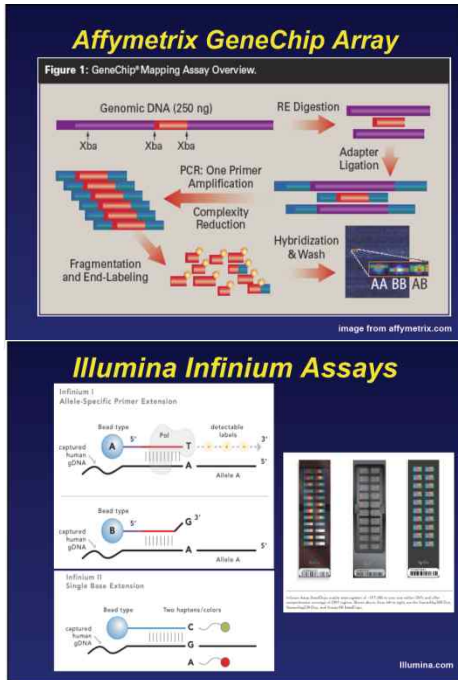


# What is GWAS?

*Genome-wide Association Study*

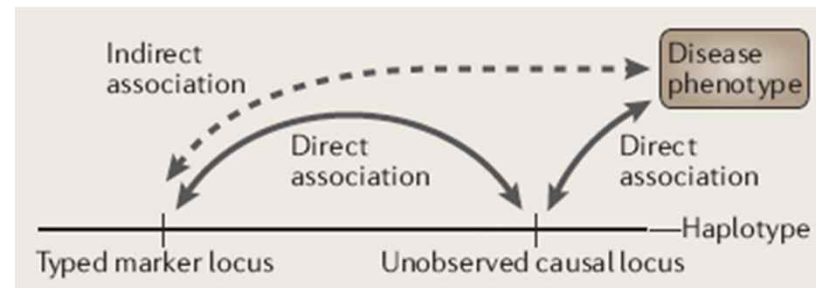
- An examination of genetic variation across a given genome
- Designed to identify genetic associations with observable traits
  - Such as blood pressure or weight,
  - or why some people get a disease or condition
- Hypothesis-free approach
  - Candidate gene approach

# Overview of GWAS



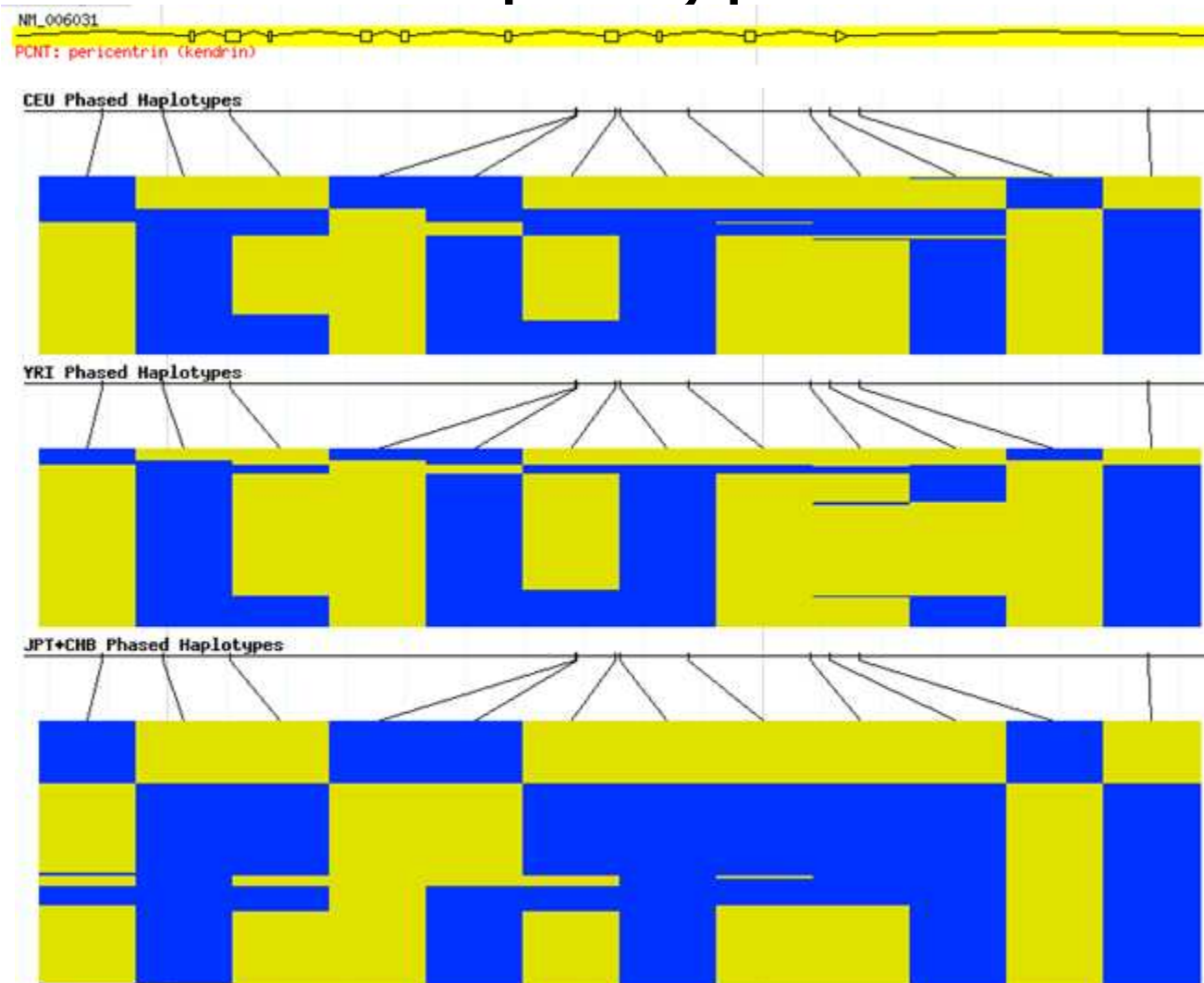
# Assumptions in GWAS

- Bi-allelic SNPs
- Common ancestors (human effective population sizes are small)
- Linkage disequilibrium and haplotypes



- Common disease-common variant
  - disease-predisposing might have been advantageous in the past
  - selection pressure is weak on late-onset diseases and on variants that contribute only a small risk

# Linkage Disequilibrium & Haplotypes





The International HapMap Project is a partnership of scientists and funding agencies from Canada, China, Japan, Nigeria, the United Kingdom and the United States to develop that will help researchers find genes associated with human disease and response to pharmaceuticals. See "[About the International HapMap Project](#)" for more information.

## Project Information

[About the Project](#)  
[HapMap Publications](#)  
[HapMap Tutorial](#)  
[HapMap Mailing List](#)  
[HapMap Project Participants](#)  
[HapMap Mirror Site in Japan](#)

## Project Data

[HapMap Genome Browser \( Phase 1, 2 & 3 - merged genotypes & frequencies \)](#)  
[HapMap Genome Browser \( Phase 3 - genotypes, frequencies & LD \)](#)  
[HapMap Genome Browser \( Phase 1 & 2 - full dataset \)](#)  
[GWAs Karyogram](#)  
[HapMart](#)  
[Bulk Data Download](#)  
[Data Freezes for Publication](#)  
[ENCODE Project](#)  
[Guidelines For Data Use](#)

## Useful Links

[TSC SNP Downloads](#)  
[HapMap Samples at Coriell Institute](#)

## News

- 2009-04-02: **HapMap3 CEL files available**

Raw signal intensity data from HapMap3 genotypes on the Genome-Wide Human SNP Array 6.0 are now **available for**

- 2009-02-09: **HapMap3 Phased Haplotypes available**

Phased haplotypes for consensus HapMap3 release 2 data has been phased for autosomes are now **available for bul**

- 2009-02-06: **HapMap Public Release #27 (merged II+III)**

Genotypes and frequency data for the three phases of the project (I+II: rel #24 and III: release #2), were combined in NC (dbSNP b126) coordinates. Data is **available for downloading** and also **available for browsing**. Click here to read **notes**.

- 2009-01-07: **HapMap Phase 3 draft 2 release available for download**

Genotypes and frequency data for phase 3 (NCBI build 36, dbSNP b126) of the HapMap are **available for bulk downl** will subsequently be merged with phase I+II data, and once merged, the complete dataset will be made available in the browser and HapMart utility. Here are some **notes and SNP counts** for this dataset.

- 2008-11-26: **HapMap Public Release #26 (merged II+III)**

Genotypes and frequency data for phases I+II (rel #24) and III (draft #1) of the project is available in NCBI build 36 (dbSNP coordinates). Data is now **available for downloading** and also available for browsing. Click here to read this **release**. This release is no longer available for browsing, instead please use the latest merged data **release #27**.]

- 2008-11-26: **HapMap Public Release #24 on genome browser**

Data for phases I+II of the project is now **available for browsing** in NCBI build 36 (dbSNP b126) coordinates.

- 2008-10-12: **HapMap Public Release #24 (phase II) available for download**

## rs3901337: Allele Frequencies in HapMap Populations

| Panel  | Description                                                                         | Frequency of T (ref) | Frequency of A |
|--------|-------------------------------------------------------------------------------------|----------------------|----------------|
| ASW(A) | African ancestry in Southwest USA                                                   | NA                   | NA             |
| CEU(C) | Utah residents with Northern and Western European ancestry from the CEPH collection | 55%                  | 45%            |
| CHB(H) | Han Chinese in Beijing, China                                                       | 66%                  | 34%            |
| CHD(D) | Chinese in Metropolitan Denver, Colorado                                            | NA                   | NA             |
| GIH(G) | Gujarati Indians in Houston, Texas                                                  | NA                   | NA             |
| JPT(J) | Japanese in Tokyo, Japan                                                            | 45%                  | 55%            |
| LWK(L) | Luhya in Webuye, Kenya                                                              | NA                   | NA             |
| MEX(M) | Mexican ancestry in Los Angeles, California                                         | NA                   | NA             |
| MKK(K) | Maasai in Kinyawa, Kenya                                                            | NA                   | NA             |
| TSI(T) | Toscans in Italy                                                                    | NA                   | NA             |
| YRI(Y) | Yoruba in Ibadan, Nigeria                                                           | NA                   | NA             |

rs10002503(+)

G A  
ACHDGLMKTY

rs10011666(+)

C A  
ACHDGLMKTY

rs35441949(+)

T C  
ACHDGLMKTY

rs13149155(+)

T C  
ACHDGLMKTY

rs4694710(+)

G T  
ACHDGLMKTY

rs3901337(+)

T A  
ACHDGLMKTY

rs4235126(+)

A G  
ACHDGLMKTY

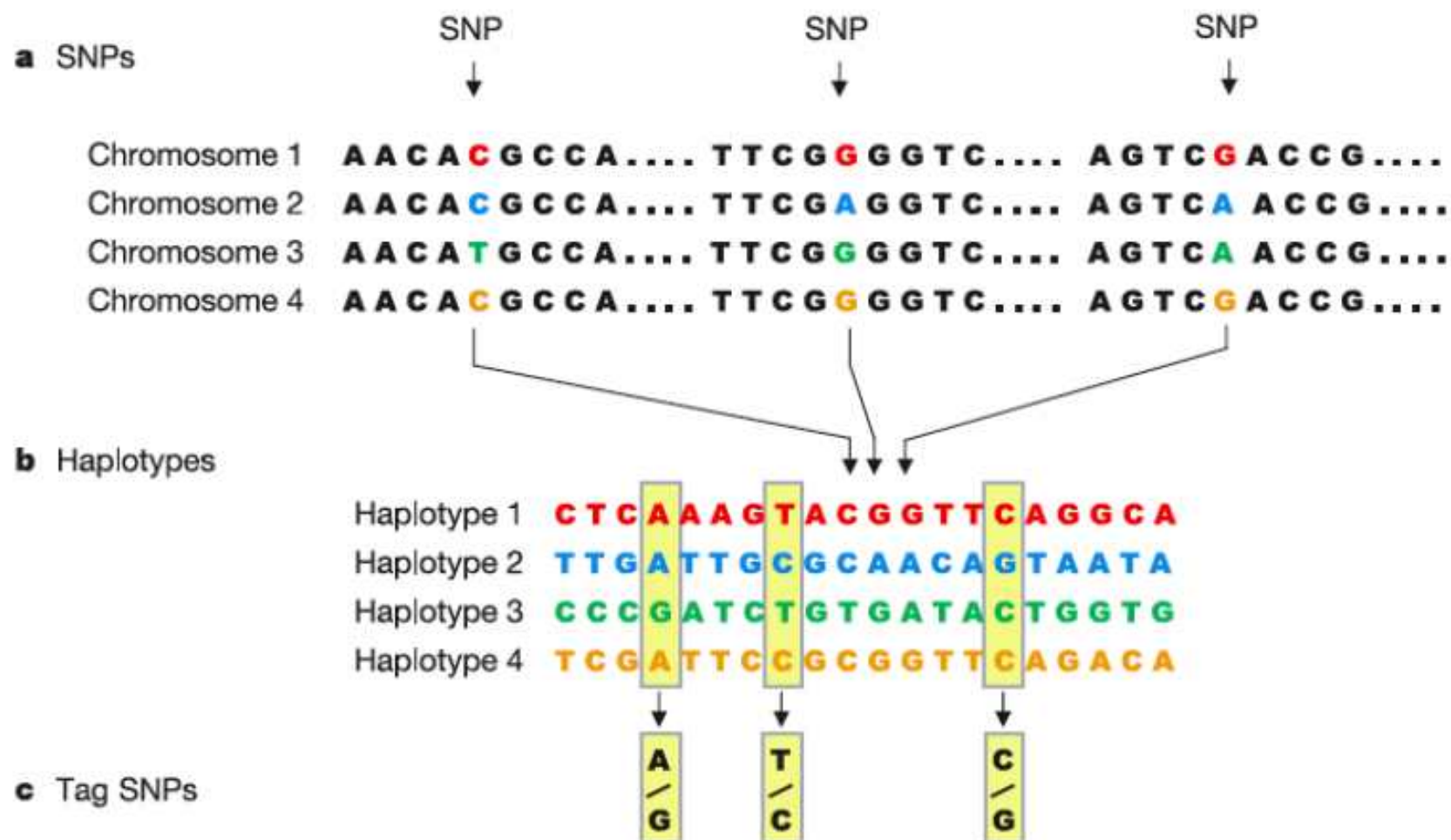
rs4569811(+)

C A  
ACHDGLMKTY

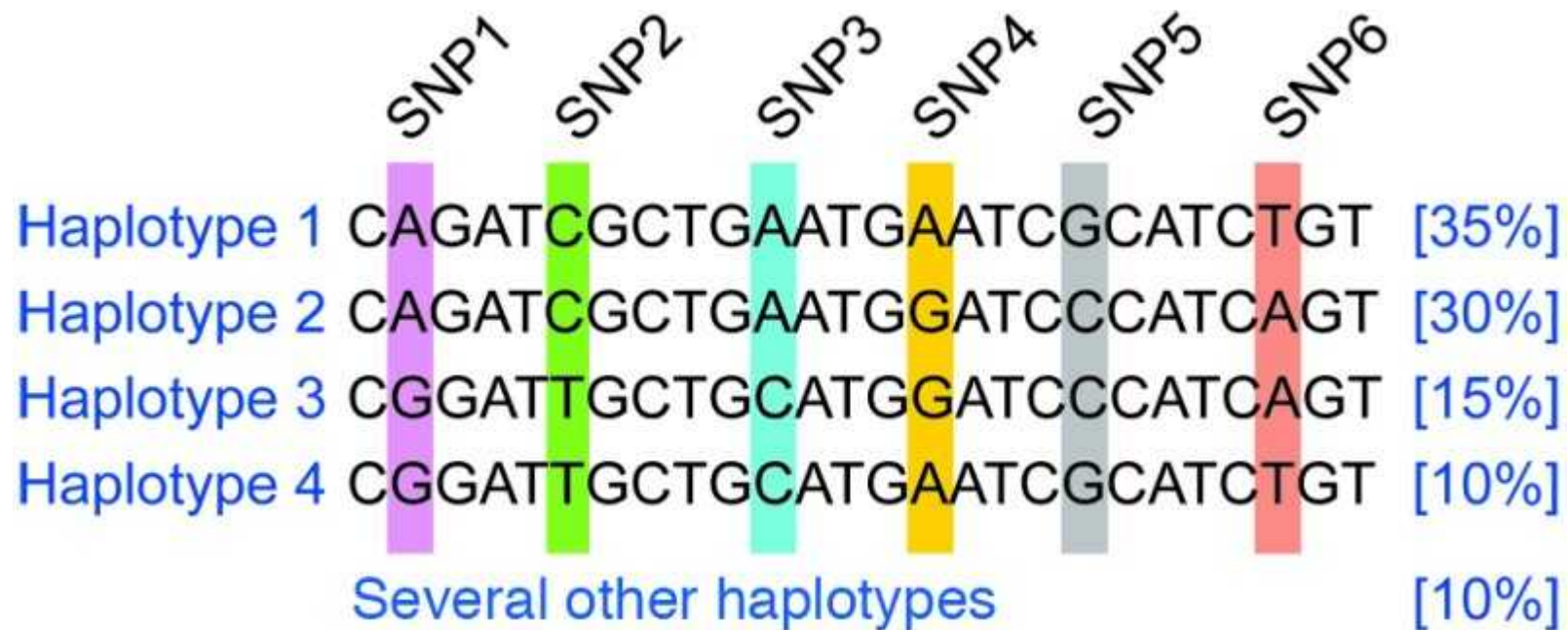
NM\_053039

UGT2B28: UDP glycosyltransferase 2 family, polypeptic

# Selecting 'haplotype tag' SNPs



# Tag SNPs can define common haplotypes



# Samples

- Matched case-control samples on age, sex, demographics
- Case: more severely affected individuals
- Control: low risk of disease, rather than population-based samples
- Common population structure
  - Population stratification

# Statistical tests

- Case-control
  - Allelic chisq test
  - Cochran-Armitage trend test
  - Logistic regression  
([http://www.well.ox.ac.uk/rmott/LECTURES/LOGISTIC\\_REGRESSION/Logistic%20Regression%20using%20R.ppt](http://www.well.ox.ac.uk/rmott/LECTURES/LOGISTIC_REGRESSION/Logistic%20Regression%20using%20R.ppt))
- Quantitative traits
  - Linear regression
- Covariate interations
  - Age, sex etc

# Case-control association test

## Chi square & OR

| Genotype | aa   | aA   | AA   | Total | aa | aA  | AA   | Total |
|----------|------|------|------|-------|----|-----|------|-------|
| Case     | 542  | 2062 | 2033 | 4637  | 0  | 292 | 4345 | 4637  |
| Control  | 514  | 1905 | 1786 | 4205  | 0  | 381 | 3824 | 4205  |
| Total    | 1056 | 3967 | 3819 | 8842  | 0  | 673 | 8169 | 8842  |

| Allele  | a    | A     | Total | a   | A     | Total |
|---------|------|-------|-------|-----|-------|-------|
| Case    | 3146 | 6128  | 9274  | 292 | 8982  | 9274  |
| Control | 2933 | 5477  | 8410  | 381 | 8029  | 8410  |
| Total   | 6079 | 11605 | 17684 | 673 | 17011 | 17684 |

|                |                    |                      |
|----------------|--------------------|----------------------|
| Odds (case)    | 3146/6128=0.513    | 292/8982=0.0325      |
| Odds (control) | 2933/5477=0.5355   | 381/8029=0.04745     |
| Odds ratio     | 0.513/0.5355=0.959 | 0.0325/0.04745=0.685 |
| P ( $\chi^2$ ) | 0.183              | 1.619e-06            |

# Cochran-Armitage Trend Test

| Genotype | aa    | aA    | AA    | Sum |
|----------|-------|-------|-------|-----|
| Cases    | $r_0$ | $r_1$ | $r_2$ | $R$ |
| Controls | $s_0$ | $s_1$ | $s_2$ | $S$ |
| Sum      | $n_0$ | $n_1$ | $n_2$ | $N$ |

| aa   | aA   | AA   | Sum  |
|------|------|------|------|
| 542  | 2062 | 2033 | 4637 |
| 514  | 1905 | 1786 | 4205 |
| 1056 | 3967 | 3819 | 8842 |

$$T := \sum_{i=0}^2 t_i(r_i S - s_i R),$$

$$\Pr(\text{Case}|\text{Genotype } i) = \Pr(\text{Case}|\text{Genotype } j) = n_i/N$$

- a dominant over A  
 $t = (1,1,0)$
- a recessive to A  
 $t = (0,1,1)$
- a and A additive  
 $t = (0,1,2)$

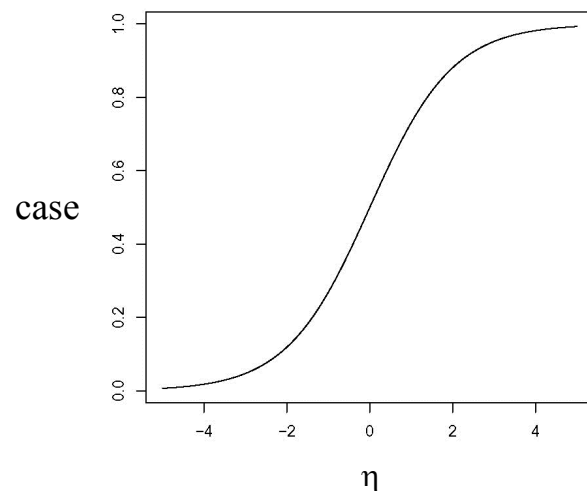
- Additive P = 0.1842
- Dominant P = 0.1941
- Recessive P = 0.4386

# Covariate adjustment

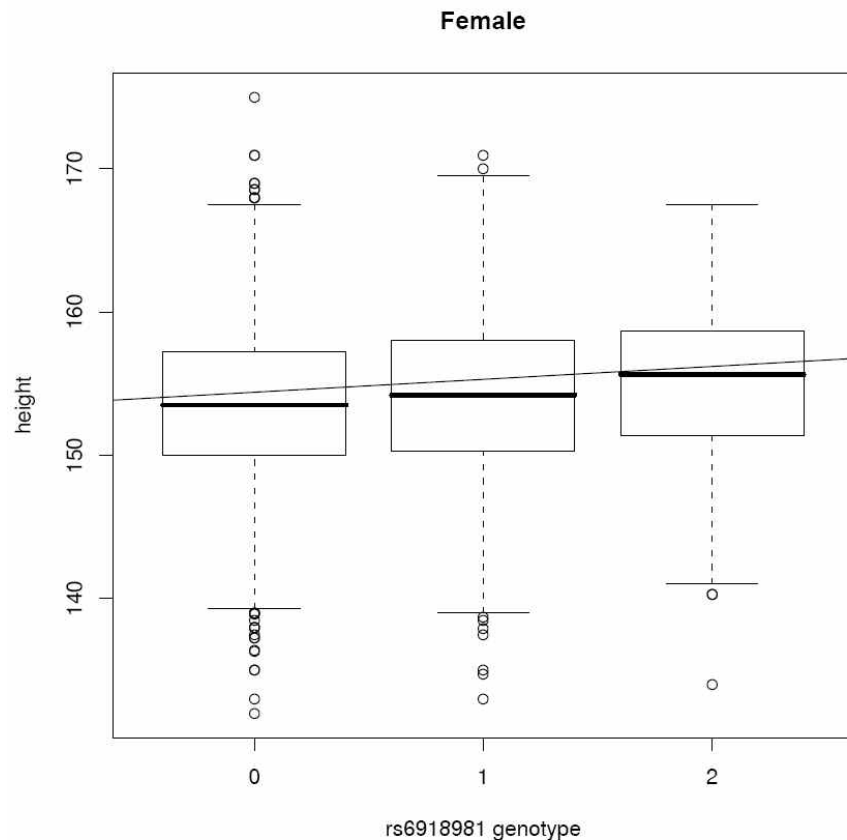
- Case-control
  - Logistic regression
- Quantitative traits
  - Linear regression

$$\eta = \text{genotype} + \text{sex} + \text{age} + \varepsilon$$
$$\text{case} \sim \exp(\eta) / (1 + \exp(\eta))$$

$$\text{height} \sim \text{genotype} + \text{sex} + \text{age} + \varepsilon$$



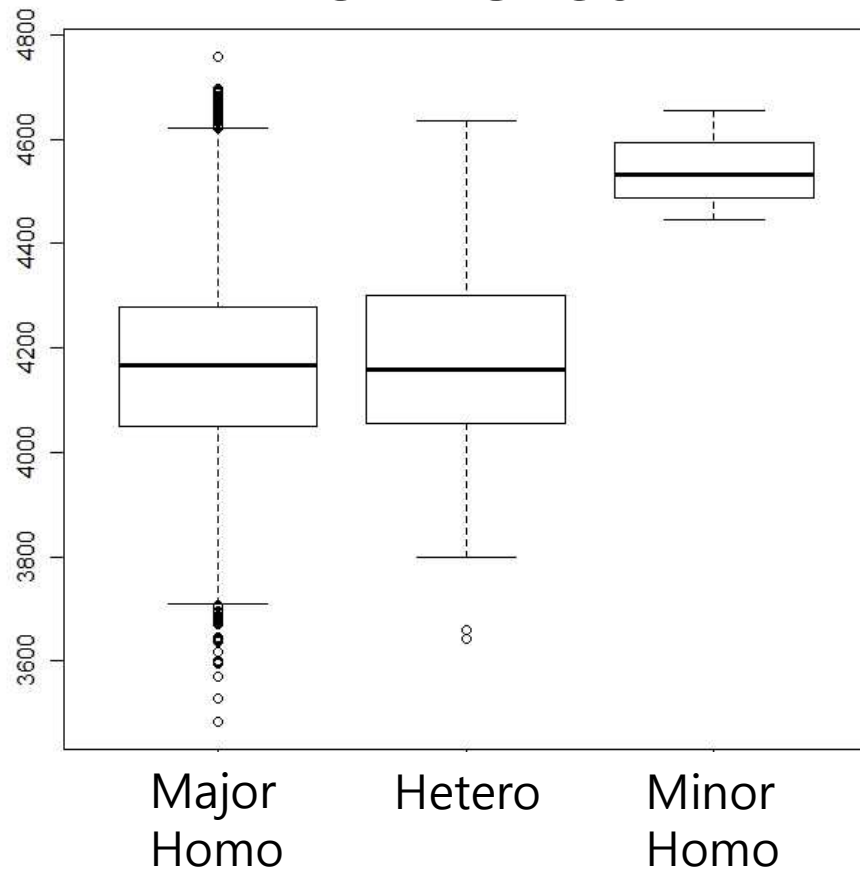
# Quantitative traits



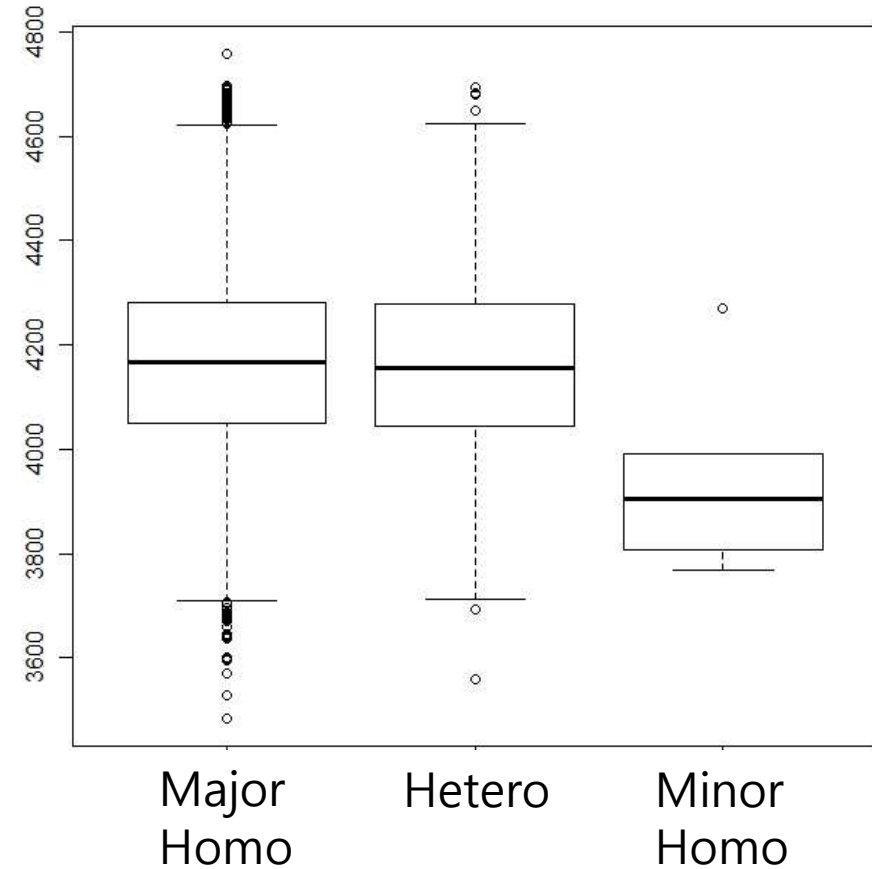
- Genotypes coded (additive mode)
  - 0 major homozygotes
  - 1 heterozygotes
  - 2 minor homozygotes
- Linear regression
  - Intercept = 153.54
  - Slope = 0.6086
  - P value = 2.05e-05

# Recessive alleles, protective or risk

**rs7723780**

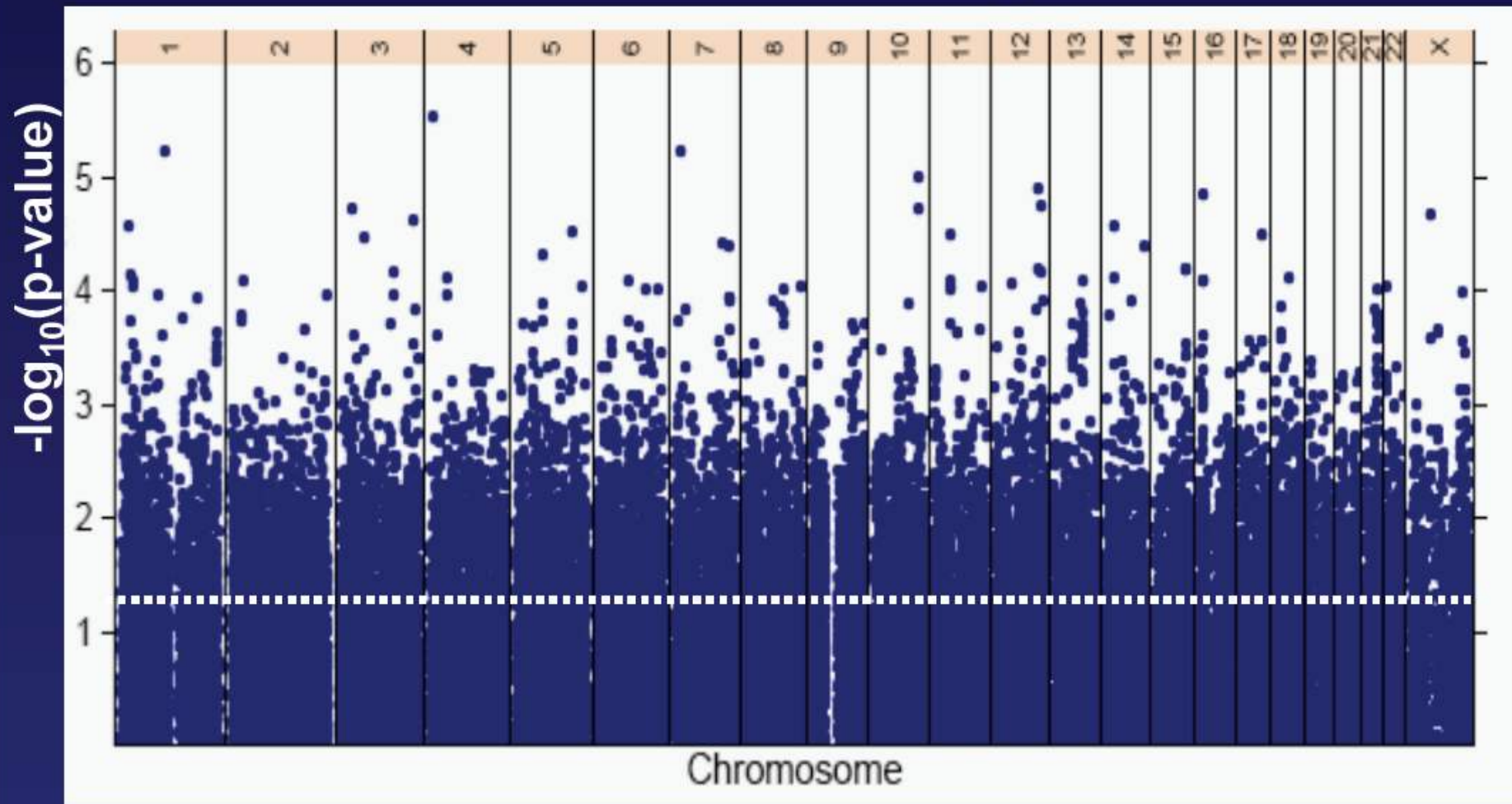


**rs885378**

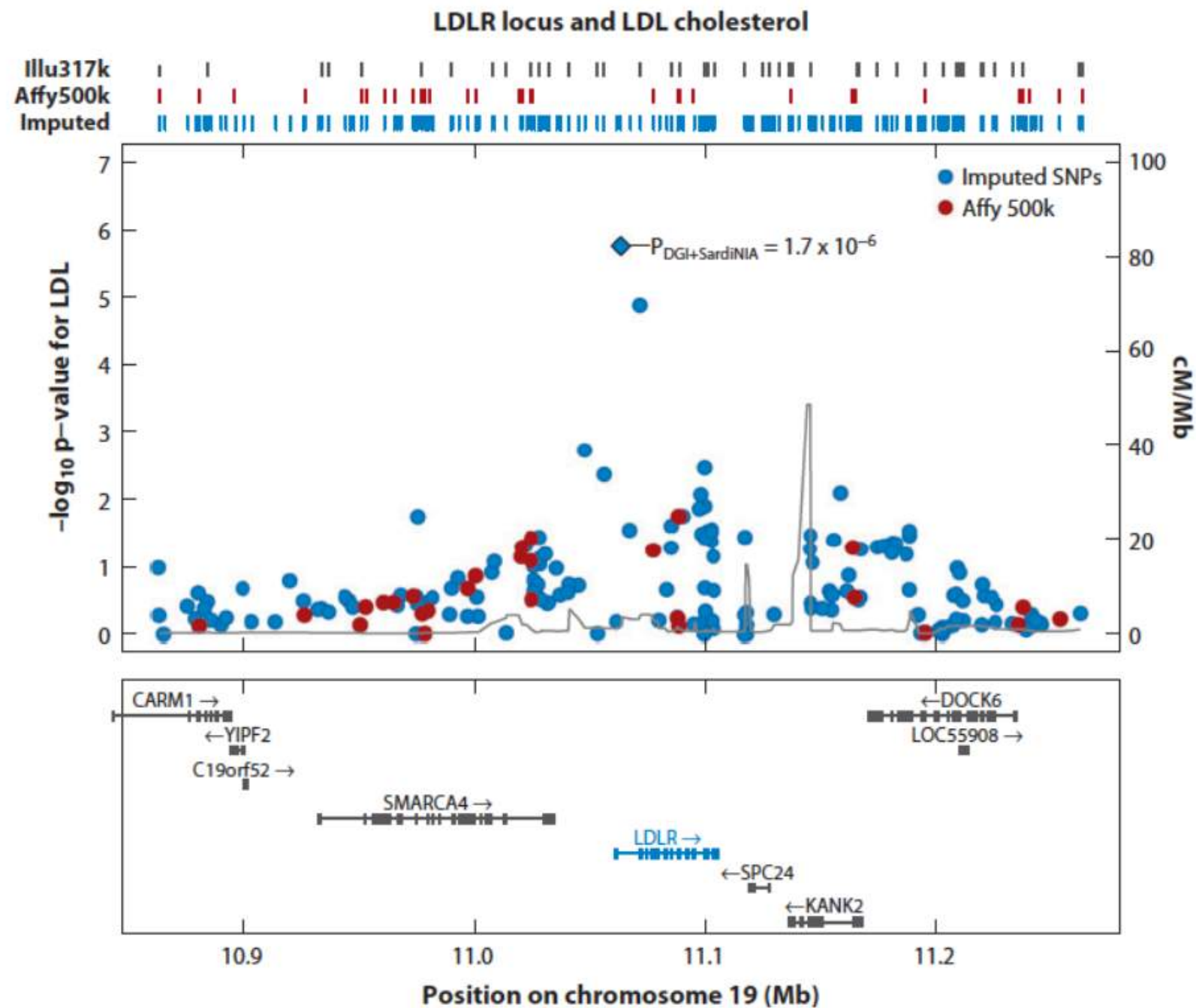


# *Type 2 diabetes association results*

1161 Finnish T2D cases + 1174 Finnish normal glucose tolerant controls



Logistic regression using additive model adjusted for age, gender, birth province



# Imputation

- Genotypes not measured with SNP chips can be inferred by referencing HapMap haplotypes
- Increases marker density; helps define signal boundaries
- Facilitates merging datasets from different platforms; critical for meta analysis

# Imputation: Observed genotypes

## Observed Genotypes

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| . | . | . | . | A | . | . | . | . | . | . | A | . | . | . | . | A | . | . | . |
| . | . | . | . | G | . | . | . | . | . | . | C | . | . | . | . | A | . | . | . |

Study  
Sample

## Reference Haplotypes

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| C | G | A | G | A | T | C | T | C | C | T | T | C | T | T | C | T | G | T | G | C |
| C | G | A | G | A | T | C | T | C | C | C | G | A | C | C | T | C | A | T | G | G |
| C | C | A | A | G | C | T | C | T | T | T | T | C | T | T | C | T | G | T | G | C |
| C | G | A | A | G | C | T | C | T | T | T | T | C | T | T | C | T | G | T | G | C |
| C | G | A | G | A | C | T | C | T | C | C | G | A | C | C | T | T | A | T | G | C |
| T | G | G | G | A | T | C | T | C | C | C | G | A | C | C | T | C | A | T | G | G |
| C | G | A | G | A | T | C | T | C | C | C | G | A | C | C | T | T | G | T | G | C |
| C | G | A | G | A | C | T | C | T | T | T | T | C | T | T | T | T | G | T | A | C |
| C | G | A | G | A | C | T | C | T | C | C | G | A | C | C | T | C | G | T | G | C |
| C | G | A | A | G | C | T | C | T | T | T | T | C | T | T | C | T | G | T | G | C |

HapMap

# *Phase chromosomes, impute missing genotypes*

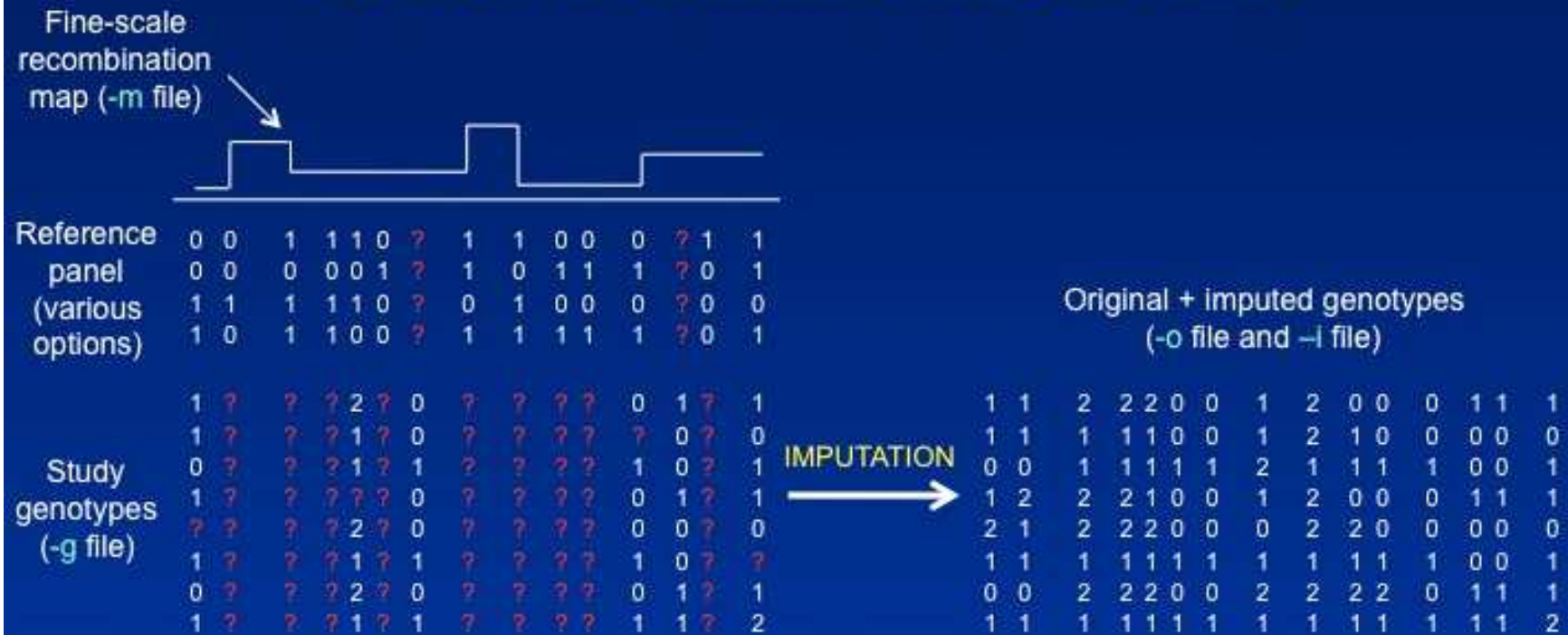
## Observed Genotypes

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| c | g | a | g | A | t | c | t | c | c | c | g | A | c | c | t | c | A | t | g | g |
| c | g | a | a | G | c | t | c | t | t | t | t | C | t | t | t | c | A | t | g | g |

## Reference Haplotypes

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| C | G | A | G | A | T | C | T | C | C | T | T | C | T | T | C | T | G | T | G | C |
| C | G | A | G | A | T | C | T | C | C | C | G | A | C | C | T | C | A | T | G | G |
| C | C | A | A | G | C | T | C | T | T | T | T | C | T | T | C | T | G | T | G | C |
| C | G | A | A | G | C | T | C | T | T | T | T | C | T | T | C | T | G | T | G | C |
| C | G | A | G | A | C | T | C | T | C | C | G | A | C | C | T | T | A | T | G | C |
| T | G | G | G | A | T | C | T | C | C | C | G | A | C | C | T | C | A | T | G | G |
| C | G | A | G | A | T | C | T | C | C | C | G | A | C | C | T | T | G | T | G | C |
| C | G | A | G | A | C | T | C | T | T | T | T | C | T | T | T | T | G | T | A | C |
| C | G | A | G | A | C | T | C | T | C | C | G | A | C | C | T | C | G | T | G | C |
| C | G | A | A | G | C | T | C | T | T | T | T | C | T | T | C | T | G | T | G | C |

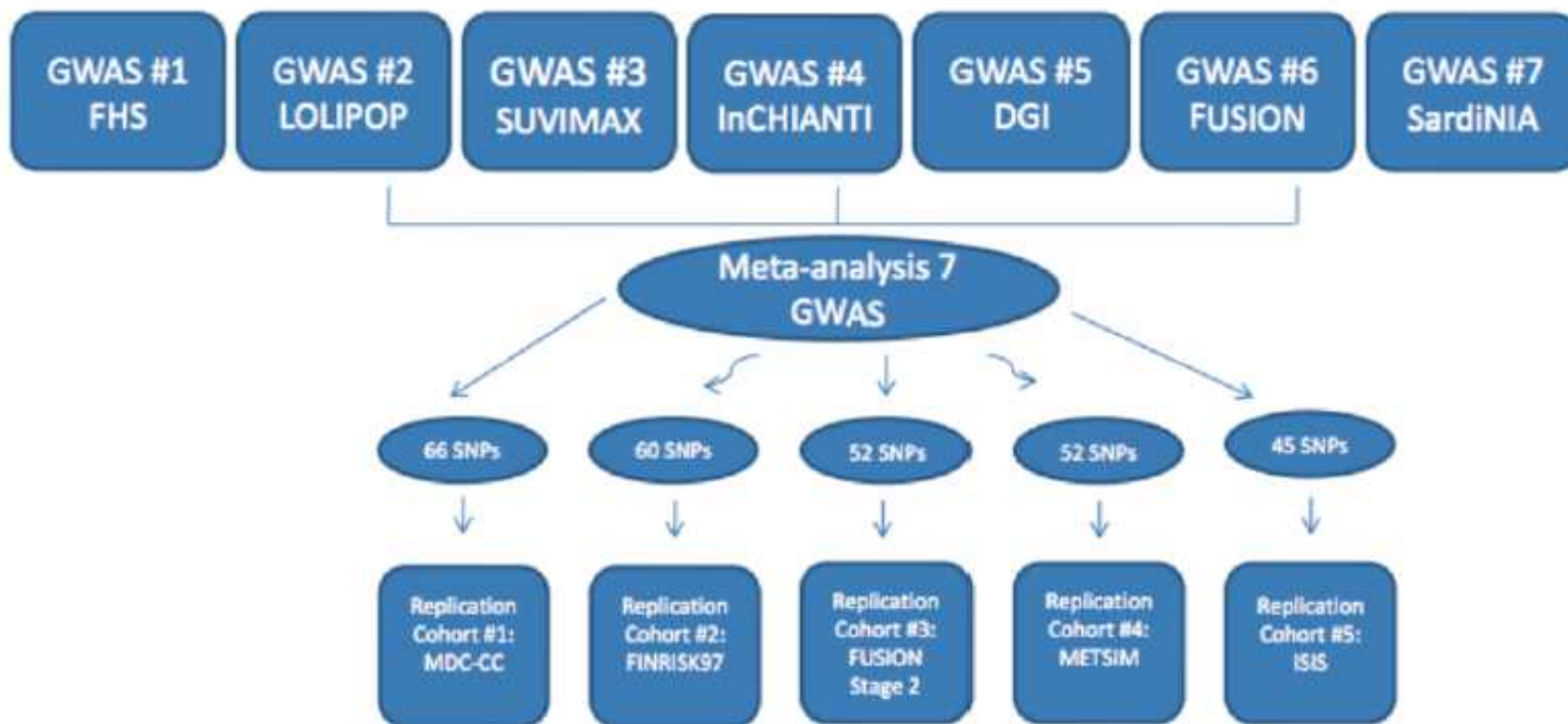
# Overview of imputation using IMPUTE2



This figure over-simplifies what IMPUTE2 does. The output for each genotype is actually a probability distribution:

| Genotype    | 0    | 1    | 2    |
|-------------|------|------|------|
| Probability | 0.01 | 0.18 | 0.81 |

This captures the uncertainty in the prediction.



GWA in ~19,840 individuals  
Follow-up in ~20,623 individuals

# NHGRI GWAS Catalog

Genome.gov | A Catalog of Genome-Wide Association Studies

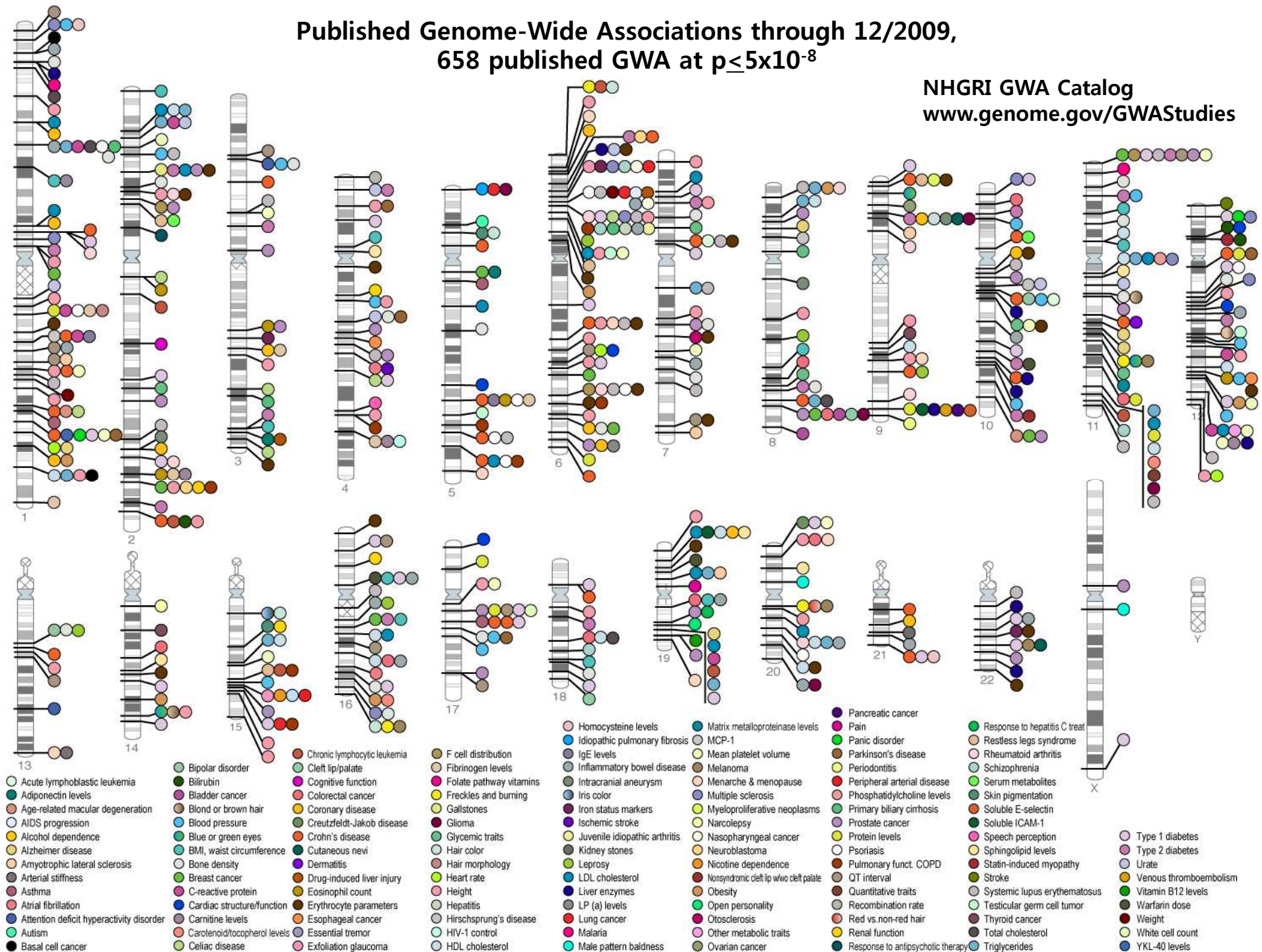
http://www.genome.gov/gwastudies/

As of 04/02/10, this table includes 533 publications and 2540 SNPs.

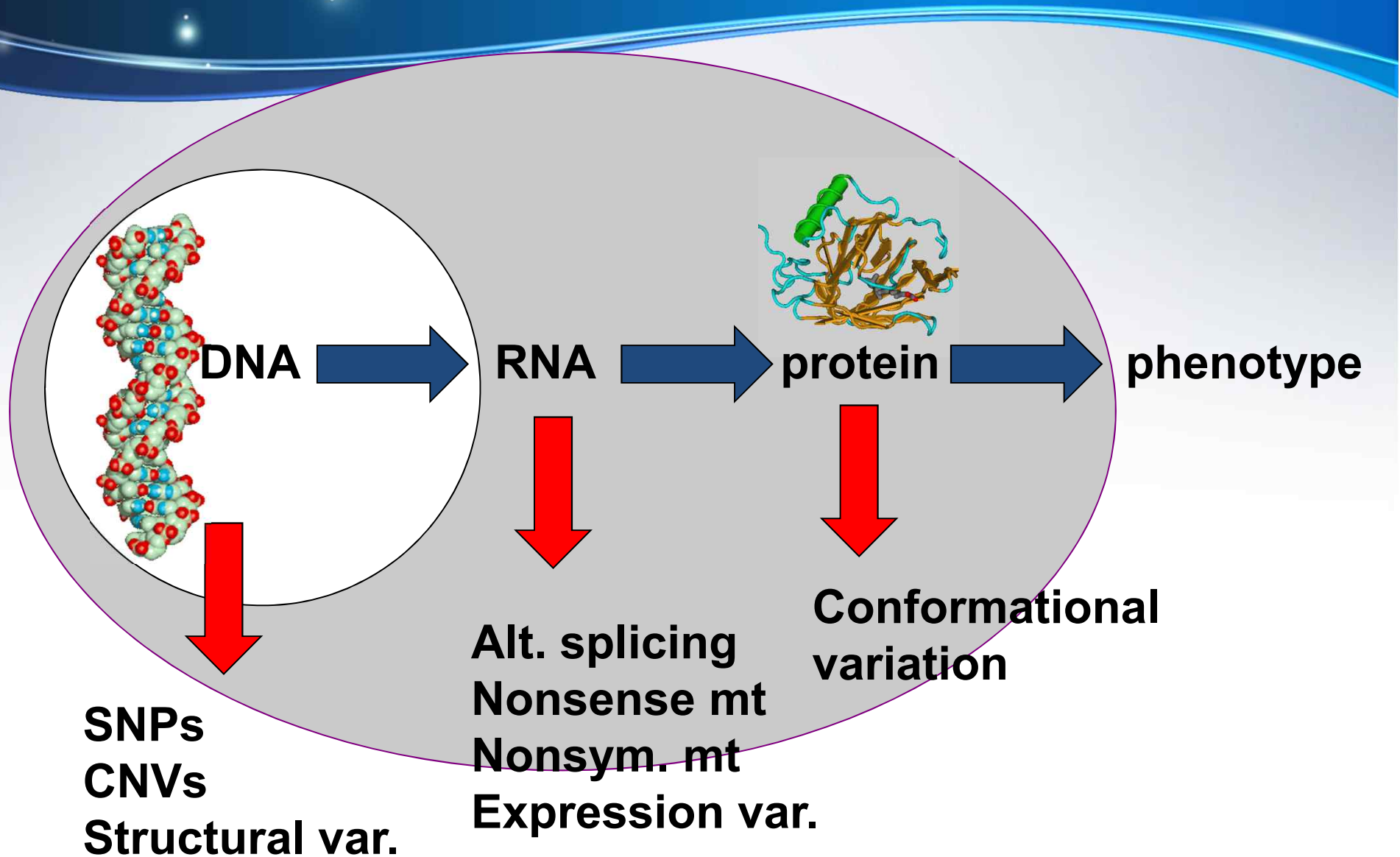
| Date Added to Catalog (since 11/25/08) | First Author/Date/ Journal/Study                                                                                                                                                                                                                                           | Disease/Trait              | Initial Sample Size                         | Replication Sample Size                                                                                                 | Region   | Reported Gene(s)                 | Strongest SNP-Risk Allele    | Risk Allele Frequency in Controls | P-value                    | OR or beta-coefficient and [95% CI]    | Platform [SNPs passing QC]                     | CNV |
|----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|---------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|----------|----------------------------------|------------------------------|-----------------------------------|----------------------------|----------------------------------------|------------------------------------------------|-----|
| 03/29/10                               | Li<br>March 19, 2010<br><i>Lancet Oncol</i><br><a href="#">Genetic variants and risk of lung cancer in never smokers: a genome-wide association study</a>                                                                                                                  | Lung cancer                | 377 cases, 377 matched controls             | 511 cases, 1,007 controls                                                                                               | 13q31.3  | <i>GPC5</i>                      | <a href="#">rs2352028-A</a>  | 0.26                              | $6 \times 10^{-6}$         | 1.46 [1.26-1.70]                       | Illumina [331,918]                             | N   |
| 04/02/10                               | Medland<br>March 18, 2010<br><i>Am J Hum Genet</i><br><a href="#">A Variant in LIN28B Is Associated with 2D:4D Finger-Length Ratio, a Putative Retrospective Biomarker of Prenatal Testosterone Exposure</a>                                                               | Digit length ratio         | 2,889 European children and adolescents     | 3,659 European children                                                                                                 | 6p16.3   | <i>LIN28B</i>                    | <a href="#">rs314277-A</a>   | 0.15                              | $2 \times 10^{-6}$         | .63 [0.41-0.85] increase in mean 2D:4D | Illumina [310,613]                             | N   |
| 04/02/10                               | Nakajima<br>March 18, 2010<br><i>PLOS ONE</i><br><a href="#">New Sequence Variants in HLA Class II/III Region Associated with Susceptibility to Knee Osteoarthritis Identified by Genome-Wide Association Study</a>                                                        | Knee osteoarthritis        | 899 Japanese cases, 3,396 Japanese controls | 167 Japanese cases, 347 Japanese controls, 243 Spanish cases, 426 Spanish controls, 570 Greek cases, 645 Greek controls | 6p21.32  | <i>BTNL2, HLA-DQA2, HLA-DQB1</i> | <a href="#">rs10947262-T</a> | 0.42                              | $5 \times 10^{-9}$         | 1.31 [1.20-1.44]                       | Illumina [459,393]                             | N   |
| 03/26/10                               | Smith<br>March 15, 2010<br><i>Circulation</i><br><a href="#">Novel Associations of Multiple Genetic Loci With Plasma Levels of Factor VII, Factor VIII, and von Willebrand Factor. The CHARGE (Cohorts for Heart and Aging Research in Genome Epidemiology) Consortium</a> | Plasma coagulation factors | Up to 23,608 European ancestry individuals  | Up to 7,604 European ancestry individuals                                                                               | 20q11.22 | <i>PROCR</i>                     | <a href="#">rs867186-G</a>   | 0.101                             | $6 \times 10^{-37}$ (FVII) | NR                                     | Affymetrix & Illumina [~2.6 million] (imputed) | N   |
|                                        |                                                                                                                                                                                                                                                                            |                            |                                             |                                                                                                                         | 6q24.3   | <i>STXBPS</i>                    | <a href="#">rs9390459-A</a>  | 0.442                             | $1 \times 10^{-22}$ (vWF)  | 4.8 [2.1-7.5] % decrease               |                                                |     |
| 03/24/10                               | Franko                                                                                                                                                                                                                                                                     | Ulcerative colitis         | 1,043 German                                | 2,539 European                                                                                                          | 1p36.13  | <i>QSOX3</i>                     | <a href="#">rs4654925-</a>   | 0.52                              | $6 \times 10^{-22}$        | 1.41 [1.30-1.54]                       | Affymetrix                                     | N   |

Published Genome-Wide Associations through 12/2009,  
658 published GWA at  $p \leq 5 \times 10^{-8}$

NHGRI GWA Catalog  
[www.genome.gov/GWASStudies](http://www.genome.gov/GWASStudies)

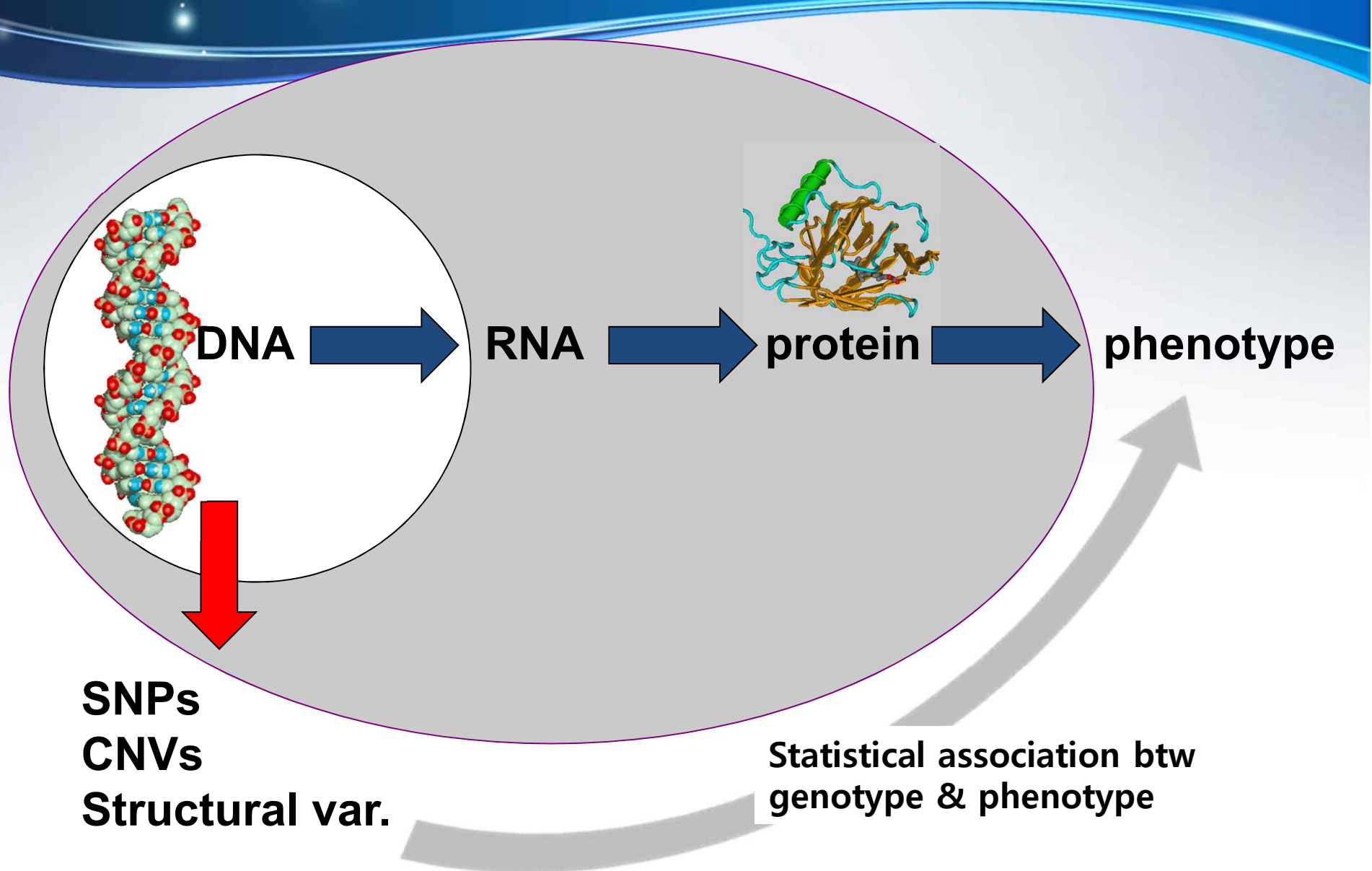


# Central Dogma



Adapted from  
Pevsner 2003

# Genome-wide Association Study (GWAS)



# GWAS may have low power due to

- Multiple intermediate steps such as epigenetic & transcriptional regulations
- Multiple DNA variants may contribute to the same phenotype
- These factors usually form a complex network of interactions

# Most GWAS hits are

- not non-synonymous substitutions
- found in non-exonic regions
  - likely to regulate gene expression
- Gene expression difference btw individuals may be molecular and intermediate phenotypes
  - inducing changes in higher-order disease traits (Schadt et al. *PLoS Biol.* 2008)

## Hundreds of GWAS applications tells us

- Many common variants of highly significant disease association have been found
- They confer relatively small increments in risk (1.0~1.5 fold)
- They explain only a small portion of heritability
  - Human height is estimated to have 80% heritability
  - About 5% of phenotype variance is explained based on  $>10^4$  people

# Excuses for the missing heritability

- Large numbers of variants of smaller effect yet to be found
- Rarer variants (possibly with larger effect)
- Structural variants poorly captured by existing arrays
- Low power to detect gene-gene interactions
- Inadequate accounting for shared environment among relatives

## Common SNPs explain a large proportion of the heritability for human height

SNPs discovered by genome-wide association studies (GWASs) account for only a small fraction of the genetic variation of complex traits in human populations. Where is the remaining heritability? We estimated the proportion of variance for human height explained by 294,831 SNPs genotyped on 3,925 unrelated individuals using a linear model analysis, and validated the estimation method with simulations based on the observed genotype data. We show that 45% of variance can be explained by considering all SNPs simultaneously. Thus, most of the heritability is not missing but has not previously been detected because the individual effects are too small to pass stringent significance tests. We provide evidence that the remaining heritability is due to incomplete linkage disequilibrium between causal variants and genotyped SNPs, exacerbated by causal variants having lower minor allele frequency than the SNPs explored to date.

# Hundreds of variants clustered in genomic loci and biological pathways affect human height

- Meta analysis of 46 data sets
- 133,653 European individuals
- Pathways found
  - Growth, kinase, development, insulin, bone etc

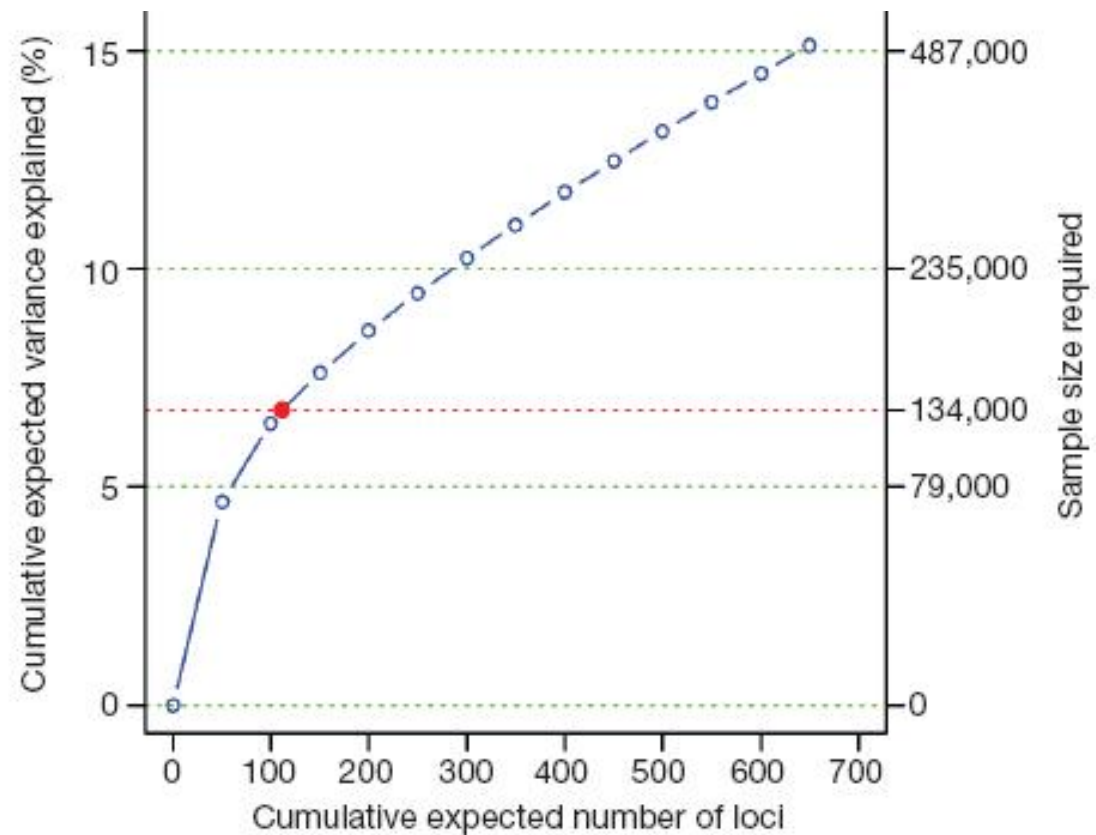


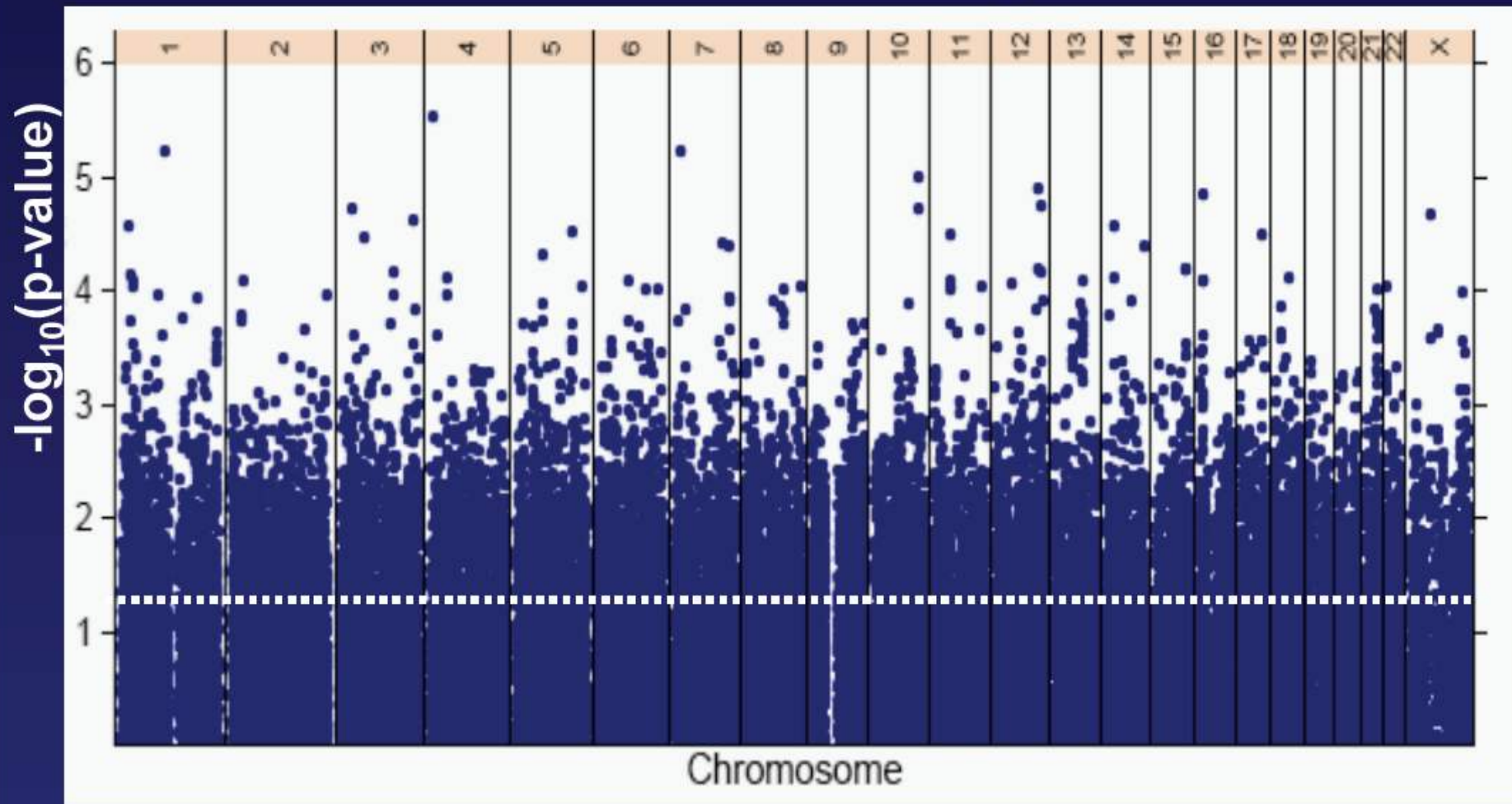
Figure 1 | Phenotypic variance explained by common variants.

# Problems of GWAS

- Correcting p-values of a million of hypotheses
- A very stringent cutoff is used to yield only a small number of significant SNPs
- Many moderate associations below the cutoff is lost
- This is very ineffective and wasteful

# *Type 2 diabetes association results*

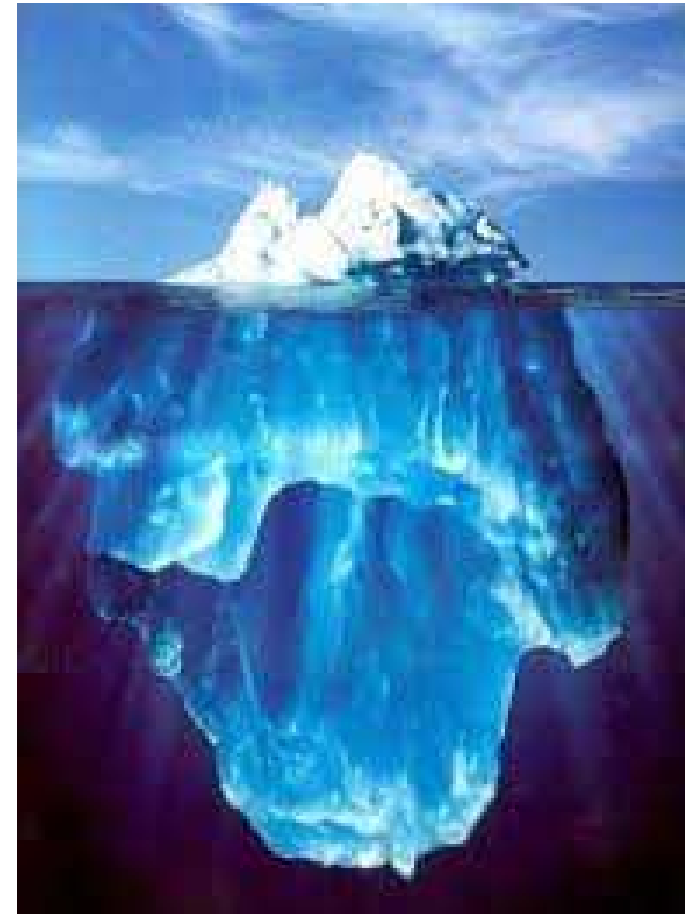
1161 Finnish T2D cases + 1174 Finnish normal glucose tolerant controls



Logistic regression using additive model adjusted for age, gender, birth province

# Highly significant signals are found, but difficult to discuss biology

| Trait                           | RS ID             | Class      | Locus    | Nearby genes <sup>a</sup>   | Minor allele | MAF  | GWAS ( <i>n</i> = 8,842) |
|---------------------------------|-------------------|------------|----------|-----------------------------|--------------|------|--------------------------|
| BMI                             | rs17178527        | Unknown    | 6q24.1   | <i>LOC729076</i>            | A            | 0.25 | 1.2E-08                  |
|                                 | rs9939609         | Intron     | 16q12.2  | <i>FTO</i>                  | A            | 0.13 | 1.7E-06                  |
| WHR                             | <b>rs2074356</b>  | Intron     | 12q24.13 | <i>C12orf51</i>             | T            | 0.15 | 1.8E-07                  |
|                                 | rs17089410        | Unknown    | 13q21.33 |                             | T            | 0.14 | 6.1E-06                  |
| Height                          | rs6918981         | Unknown    | 6p21.31  | <i>HMGA1</i>                | G            | 0.21 | 3.2E-08                  |
|                                 | rs17038182        | Unknown    | 1p12     |                             | C            | 0.42 | 4.3E-08                  |
|                                 | rs10513137        | Intron     | 3q23     | <i>ZBTB38</i>               | A            | 0.26 | 5.6E-08                  |
|                                 | rs13273123        | Intron     | 8q12.1   | <i>PLAG1</i>                | G            | 0.07 | 1.1E-06                  |
|                                 | rs600130          | Intron     | 9q22.32  | <i>FBP2</i>                 | G            | 0.15 | 2.7E-06                  |
|                                 | rs2079795         | Unknown    | 17q23.2  | <i>BCAS3, TBX2</i>          | A            | 0.33 | 2.9E-06                  |
|                                 | rs3791675         | Intron     | 2p16.1   | <i>EFEMP1</i>               | G            | 0.22 | 3.6E-06                  |
|                                 | rs41464348        | Intron     | 2p22.3   | <i>LTBP1</i>                | T            | 0.35 | 7.4E-06                  |
|                                 | <b>rs17249754</b> | Unknown    | 12q21.33 | <i>ATP2B1</i>               | A            | 0.37 | 9.1E-07                  |
|                                 | rs715987          | Unknown    | 10p15.1  |                             | C            | 0.15 | 4.5E-06                  |
| DBP                             | rs17249754        | Unknown    | 12q21.33 | <i>ATP2B1</i>               | A            | 0.37 | 1.2E-06                  |
| Pulse rate                      | <b>rs12731740</b> | Unknown    | 1q32.2   | <i>CD46, LOC148696</i>      | T            | 0.10 | 3.7E-07                  |
|                                 | <b>rs12110693</b> | Unknown    | 6q22.31  | <i>LOC644502</i>            | A            | 0.49 | 1.3E-06                  |
| BD-RT                           | rs11576175        | Intron     | 1q21.2   | <i>CTSS</i>                 | A            | 0.24 | 8.3E-06                  |
|                                 | <b>rs7776725</b>  | Intron     | 7q31.31  | <i>FAM3C</i>                | C            | 0.13 | 1.0E-11                  |
|                                 | rs9525667         | Unknown    | 13q14.11 |                             | T            | 0.43 | 3.1E-06                  |
| BD-TT                           | <b>rs7776725</b>  | Intron     | 7q31.31  | <i>FAM3C</i>                | C            | 0.13 | 1.6E-06                  |
|                                 | <b>rs1721400</b>  | Unknown    | 7p14.1   | <i>TXNDC3, SFRP4, EPDR1</i> | T            | 0.17 | 1.4E-07                  |
| KARE results<br>Cho et al, 2009 | rs550677          | NearGene-5 | 12q24.31 | <i>TMEM132B</i>             | T            | 0.17 | 5.0E-06                  |
|                                 | rs6974574         | Unknown    | 7p14.1   |                             | A            | 0.30 | 7.9E-06                  |

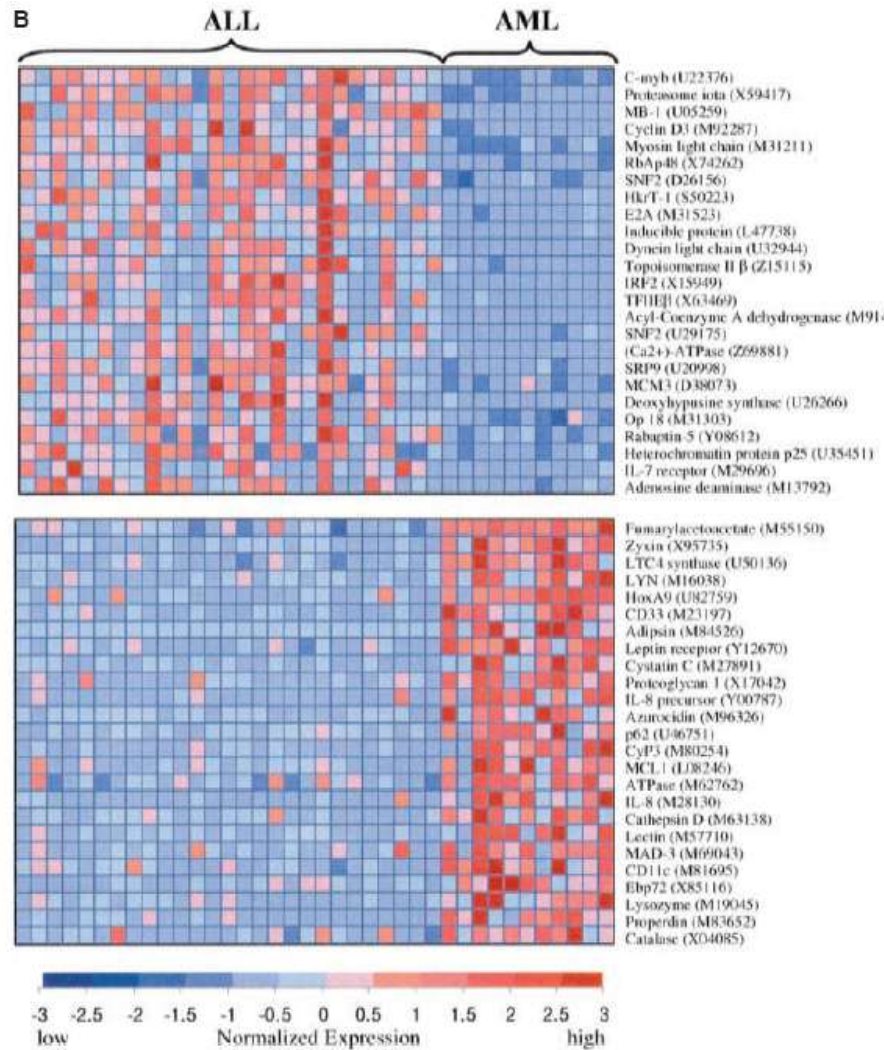


## Gene-Set based approach

- Testing the association of **biologically pre-defined gene sets** instead of testing individual SNPs
- Gene sets are derived from Gene Ontology, KEGG pathways, molecular signatures, etc
- It aims to detect **moderate but coordinated** associations within a gene set (as well as strong signals)

## Gene-Set based approach

- **Rationale:** Even if the members of a gene set are only moderately associated, such moderate signals taken together can represent a significant pattern
- Such set-wise association signals may be more **reproducible** among different cohorts



## Molecular Classification of Cancer: Class Discovery and Class Prediction by Gene Expression Monitoring

*Science*, 1999

Classification of two types of leukemia data using microarray

# GSEA (Gene-set Enrichment Analysis)

*Broad Inst.*

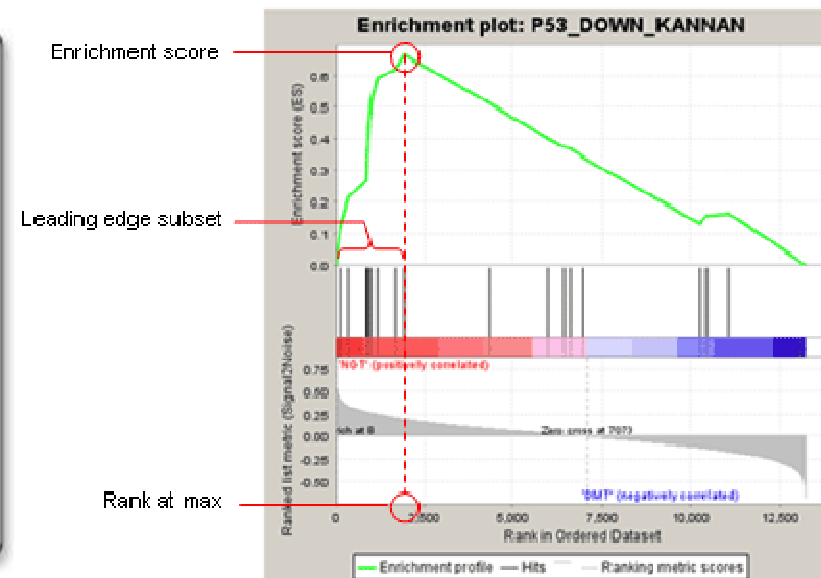
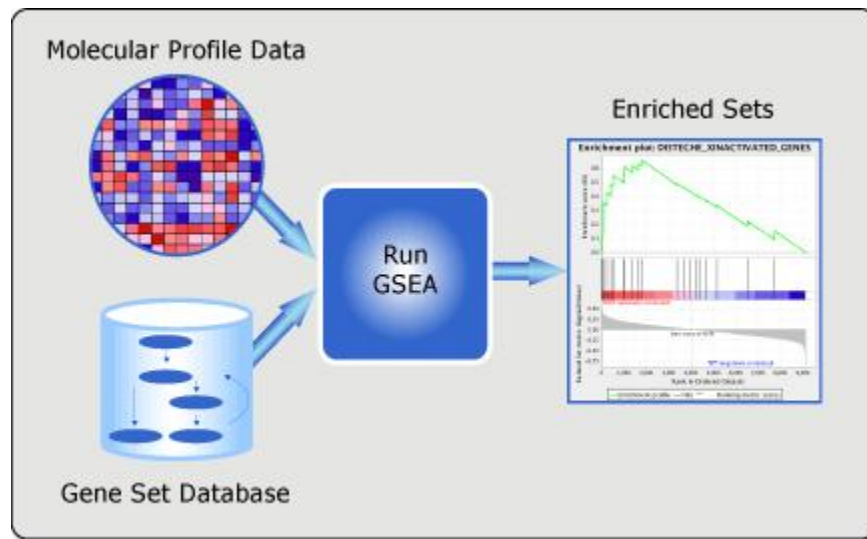
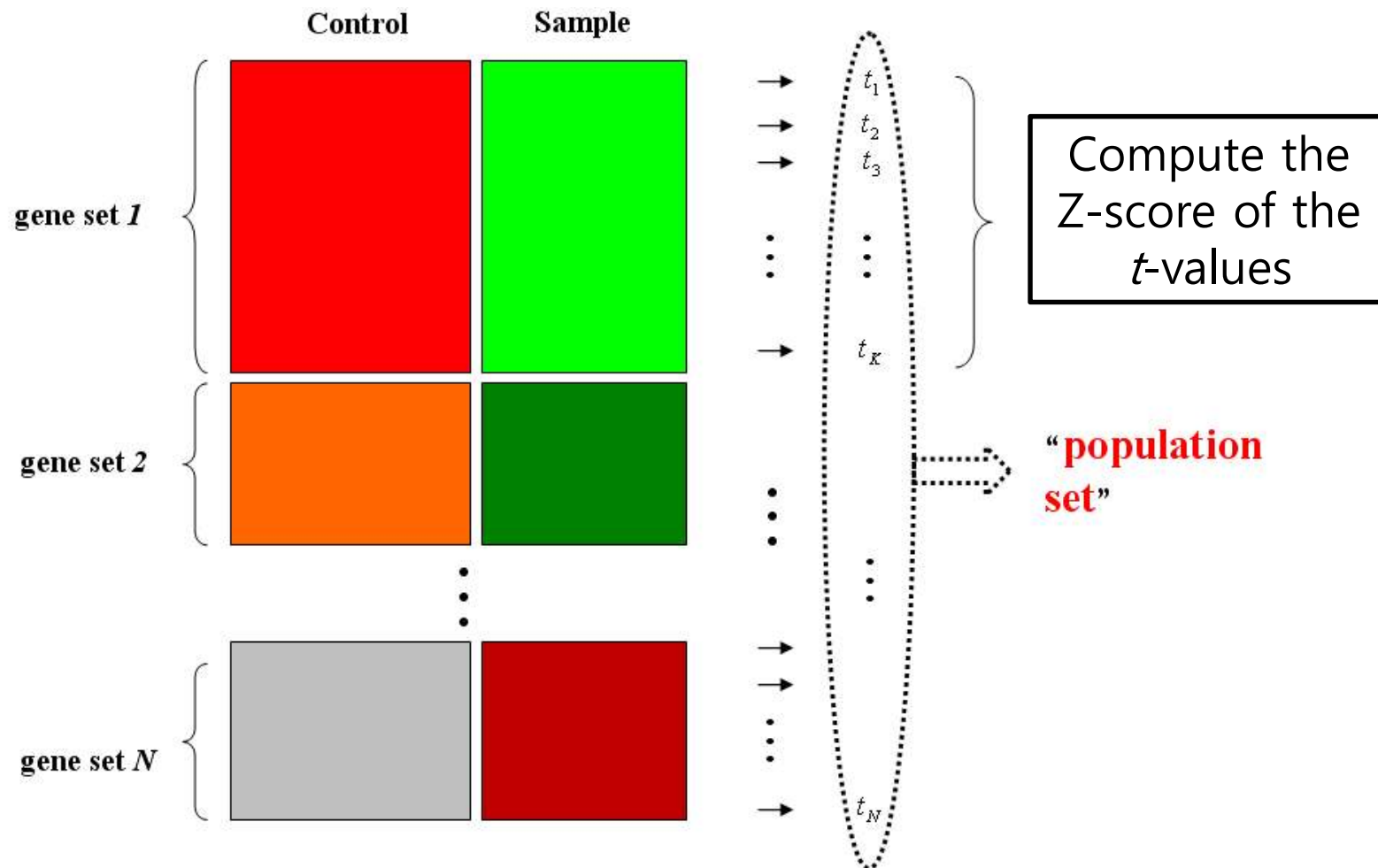


Fig 1: Enrichment plot: P53\_DOWN\_KANNAN  
Profile of the Running ES Score & Positions of GeneSet Members on the Rank Ordered List

# Z-statistic method

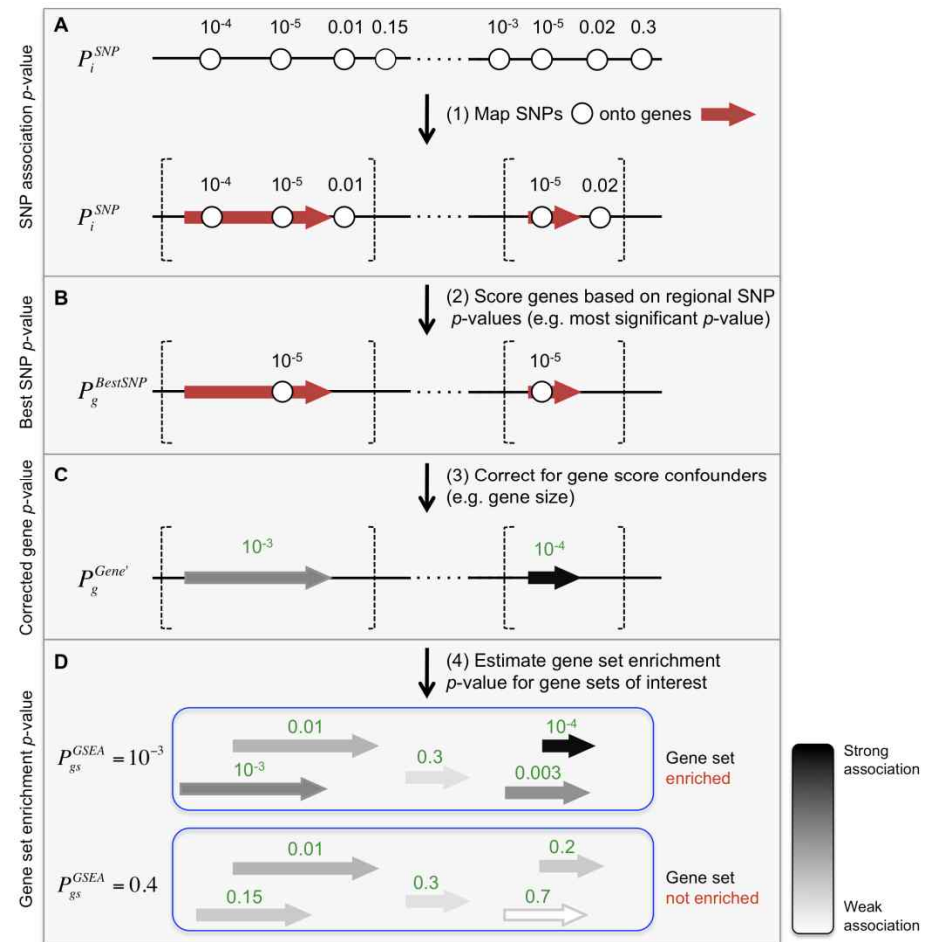
- In gene expression array



# Gene-set Analysis of GWAS

- Compute association p-values for each SNP using a GWAS software
- Assign each SNP to the nearest gene: within some padding (eg 20k bp)
- **Gene score**: Choose the best p-values among the assigned SNPs
- Then, apply GSA on the gene scores

*PLOS Gen. (2010) Segrè et al.*

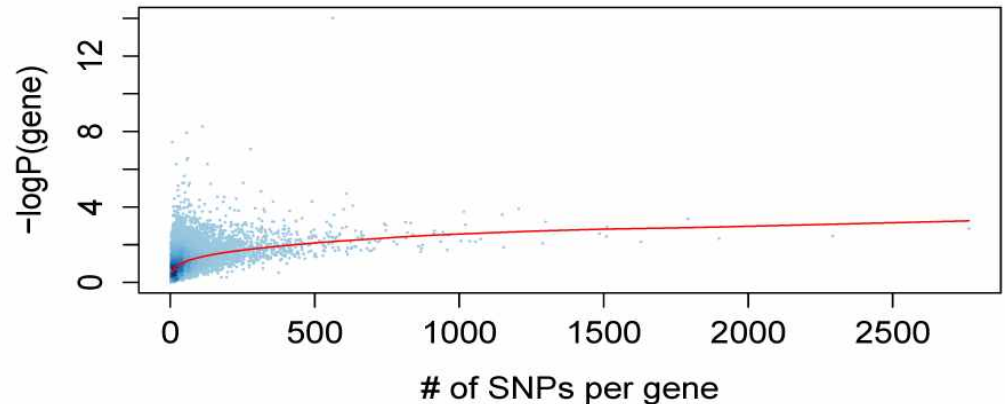


# How to assess the significance of a gene-set

- If genotype data are available,
  - permute the phenotype labels and
  - do GWAS followed by GSA for each permutation
  - Count the permutations that exceed the original gene-set score
- The original GSEA implemented this approach
- Label permutation can remove most biases due to variable SNP density and gene-set size
- Most often the genotype data are not available but only the SNP P-values are available

# Biases in Gene Scores

- Gene score is often assigned by the best SNP  $P$ -values
- This can be biased if the number of SNPs per gene is variable
  - The more one samples, the more extreme values are likely observed



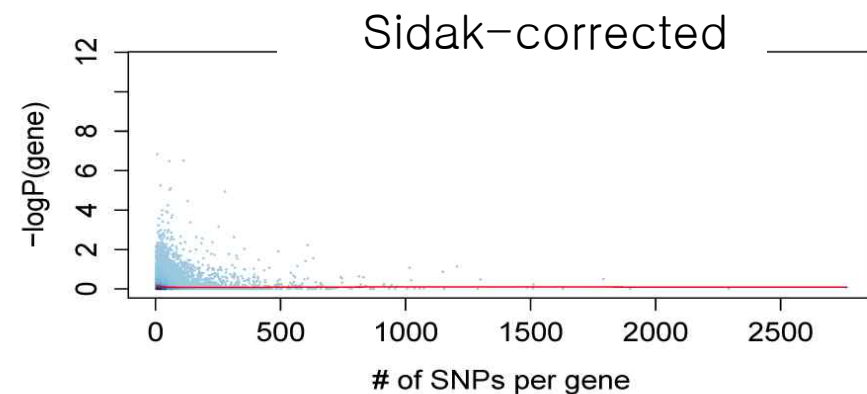
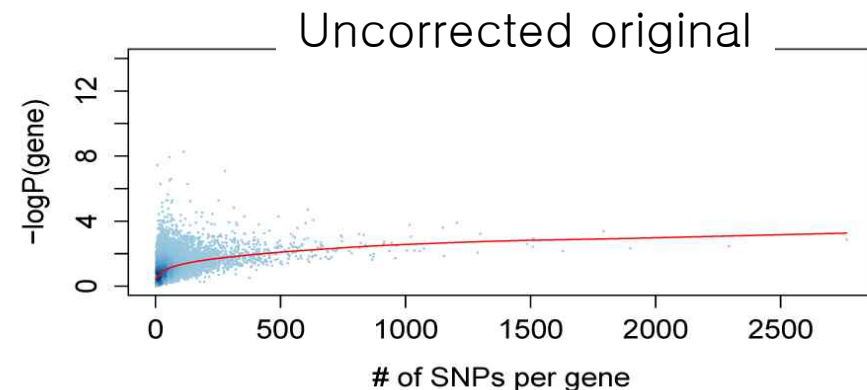
- Various corrections have been suggested
  - Analytical formula
  - Empirical regression
  - Simulation method

# Gene score correction

- Šidák's multiple testing correction

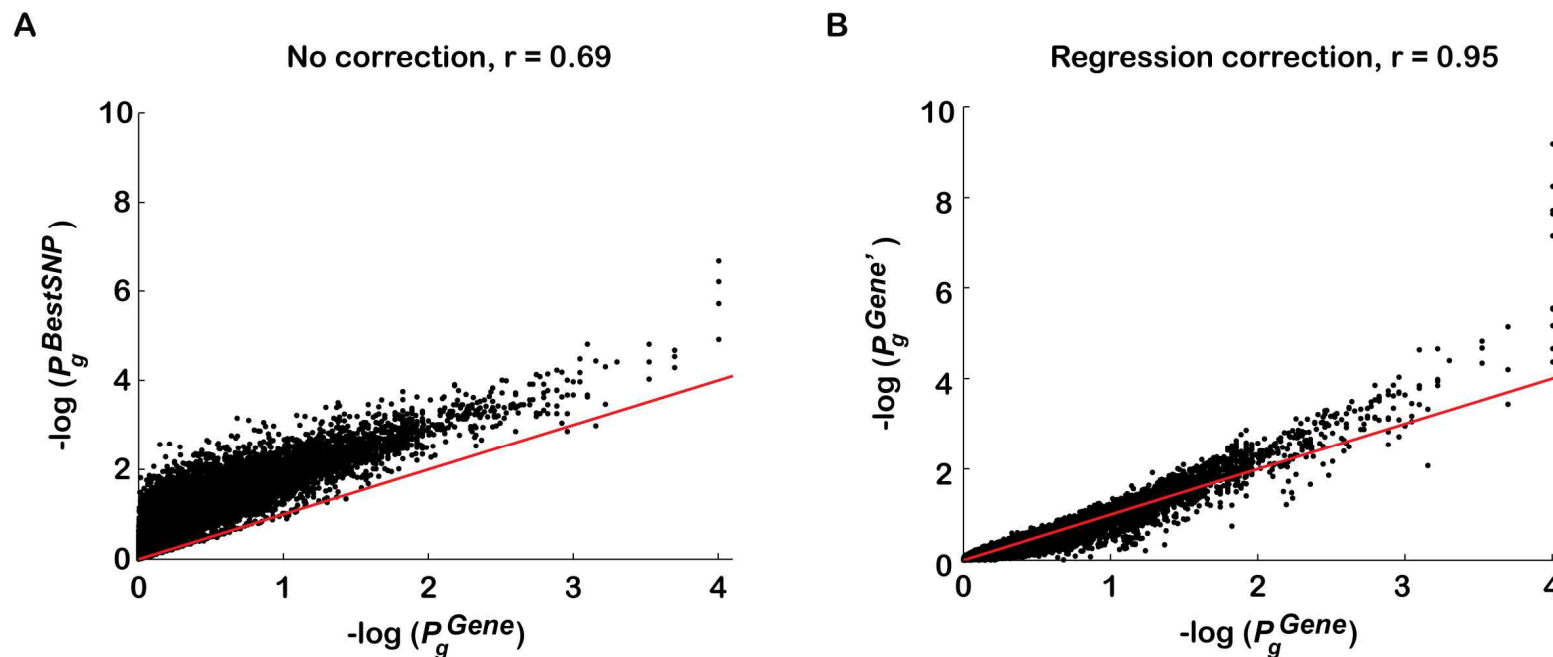
$$P' = 1 - (1 - P)^{(N+1)/2}$$

- $N$  SNPs for a gene
- About  $\frac{1}{2}$  of them are outside linkage disequilibrium (LD)



# Empirical regression-based correction

*MAGENTA @ Broad Inst.*

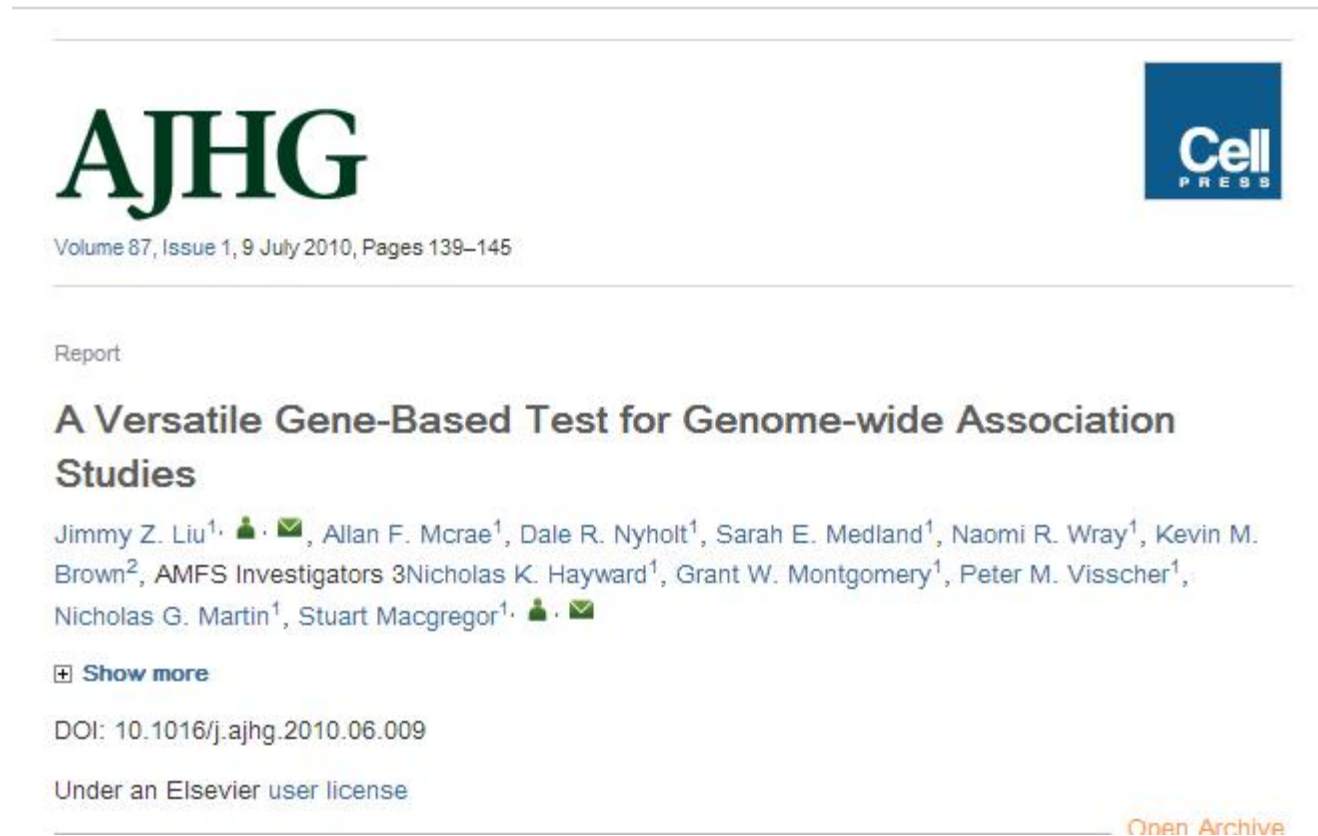


$$Z_g^{\text{BestSNP}} = \alpha \cdot d_g + \beta \cdot n_g + \delta \cdot u_g + \gamma \cdot h_g + \eta \cdot c_g + \kappa \cdot l_g + r_g$$

- Gene score is regressed by factors such as SNP density, recombination hotspots, LD block size etc

*PLOS Gen. (2010) Segrè et al.*

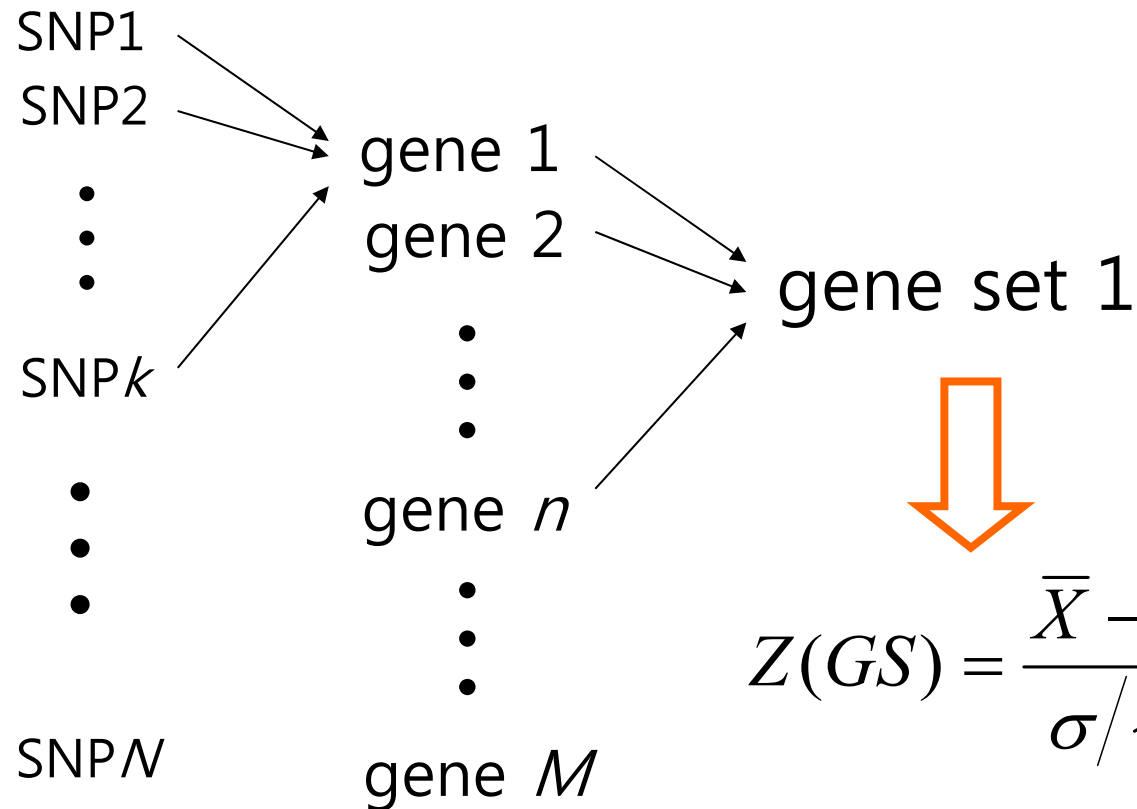
# Simulation-based gene-scores



- VEGAS requires LD information of the population (usually from HapMap)

# How to assess gene-set score

Z-statistics



$\bar{X}$  : mean of  $n$  gene scores

$m_0$  : mean of  $M$  gene scores

$\Sigma$  : sd of  $M$  gene scores

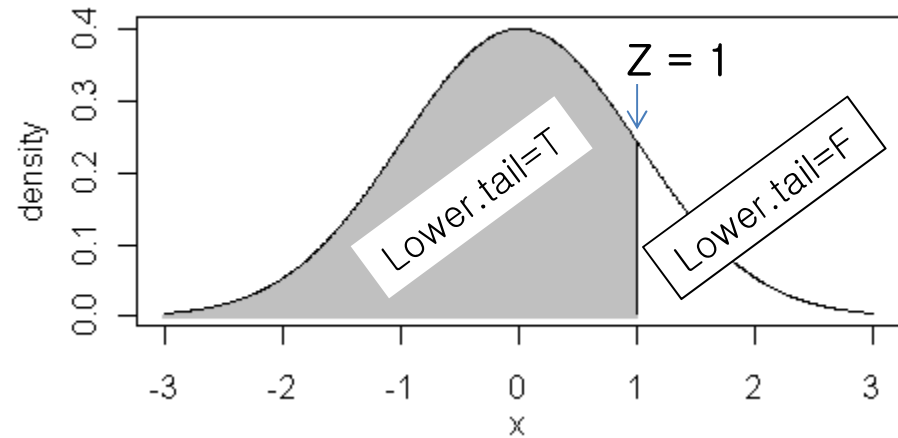
# Significance of gene-set scores

- Parametric  $P$ -value

$$P = \text{pnorm}(Z, \text{lower.tail}=\text{F})$$

- Permutation  $P$ -value

- Random sample the same number of genes per gene-set
- Calculate Z-scores for each permutation
- Count the number of permutations exceeding the original Z-score



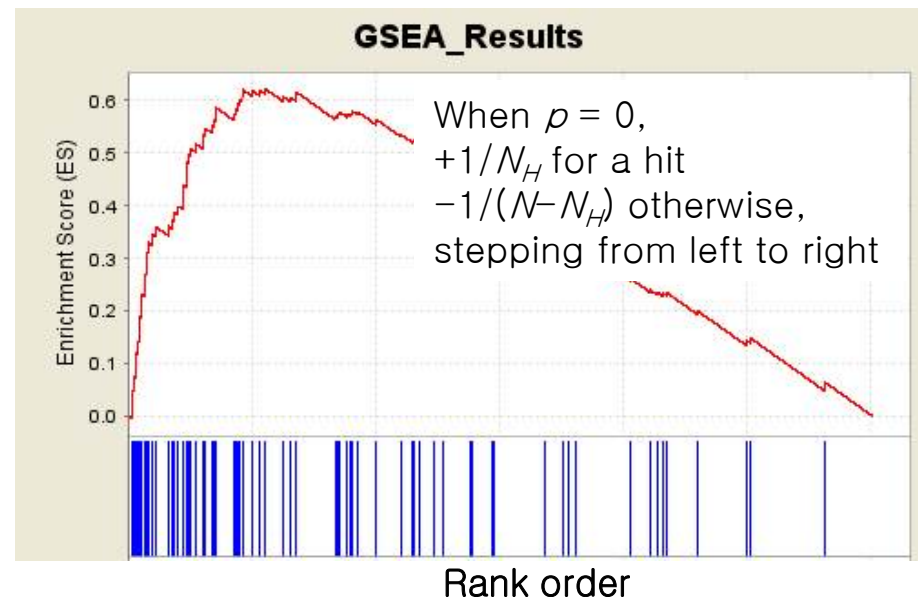
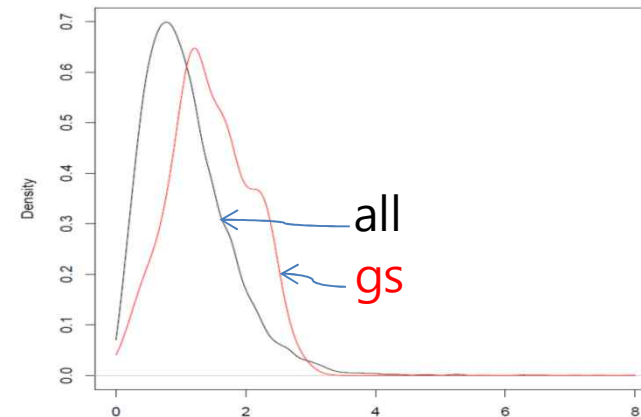
- Permutation approach replaces the density distribution function with the one empirically generated through permutation of the real gene scores

# Statistics other than Z

- Two-sample Wilcoxon (Mann-Whitney) test  
`wilcox.test( gs, all,  
alternative='gr' )`
  - *gs*: scores of gene-set member genes
  - *all*: scores of all genes
- Kolmogorov-smirnov test  
`ks.test(gs, all, alternative='le')`
- GSEA statistic

$$ES(S) = \max_{1 \leq j \leq N} \left\{ \sum_{G_{j^*} \in S, j^* \leq j} \frac{|r_{(j^*)}|^p}{N_R} - \sum_{G_{j^*} \notin S, j^* \leq j} \frac{1}{N - N_H} \right\}$$

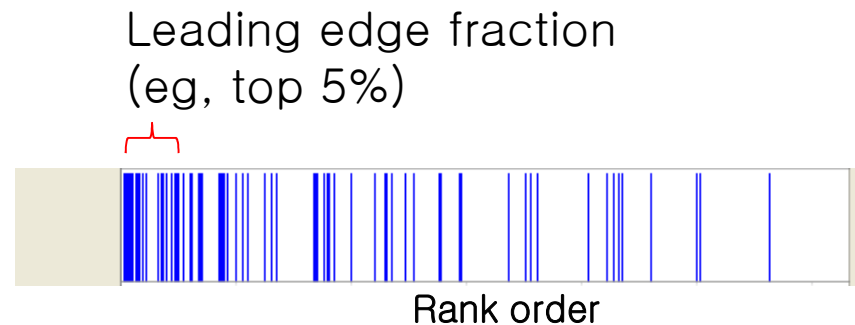
- $r$ : gene score  $N_R = \sum_{G_{j^*} \in S} |r_{(j^*)}|^p$
- $N_H$ : gene-set size  $p = 1$  (usually)



# Counting leading edge fraction only

*MAGENTA @ Broad Inst.*

- Count the number of genes from a gene-set within the top ranked ones (eg, top 5%)
- Compare this with the permutations to assess significance
  - Detailed distribution of low ranking genes is immaterial, focusing only the strong signals
  - How to cutoff 'top' ranks?



- *MAGENTA* suggests to use lower cutoff for complex traits with many contributing genes

# Weighting by leading edge fraction

*i-GSEA4GWAS @ Chin. Acad. Sci.*



*Improved* - Gene Set Enrichment Analysis for  
Genome-Wide Association Study

A web server for identification of pathways/gene sets associated with traits

- SNP permutation instead of phenotype permutation
- Otherwise, the same as GSEA4GWAS
- Weight gene-set scores by the leading edge fraction
  - Proportion of genes mapped by top 5% SNPs
  - Perhaps too sensitive(?)

**Table 1.** The number of gene set hits identified by gene set analyses

| Software    | Gene score <sup>a</sup> | GO        |         | KEGG      |         |
|-------------|-------------------------|-----------|---------|-----------|---------|
|             |                         | Unimputed | Imputed | Unimputed | Imputed |
| i-GSEA4GWAS | Best                    | 283       | 1,070   | 12        | 78      |
| GSA-SNP     | Best                    | 61        | 27      | 14        | 9       |
|             | Second best             | 94        | 38      | 20        | 19      |

GO, Gene Ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes; GSA, gene set analysis; SNP, single nucleotide polymorphism.

<sup>a</sup>Either the best or second-best p-value of SNPs residing inside or within 20 kb of the gene boundary was assigned to each gene as the score. Unlike i-GSEA4GWAS, which assigns the best p-value, GSA-SNP has an option to assign the second-best p-value.

# Multiple testing correction of gene-set analysis results

- Once P-value is calculated for each gene-set,
  - We want to report a list of gene-sets that are significantly associated
- This is a typical multiple testing problem as we have tested gene-sets on the order of
  - hundreds (KEGG pathways)
  - thousands (GO terms)
- Bonferroni correction
$$Q = P \times N$$
  - $N$ : # of gene-sets tested
  - Perhaps too stringent
- Benjamini-Hochberg FDR
$$Q_k = P_k \times N \div k$$
  - $P_k$ : sorted raw P-values in ascending order
  - Accept the largest  $k$  at the desired significance level

We are NOT the first advocating this strategy

- 9 different methods are available

|                |
|----------------|
| ALIGATOR       |
| i-GSEA4GWAS    |
| GenGen         |
| GESBAP         |
| GRASS          |
| GSA-SNP        |
| GSEA-SNP       |
| PLINK set-test |
| SNP ratio test |

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 **GENOME-WIDE ASSOCIATION STUDIES**

## Analysing biological pathways in genome-wide association studies

*Kai Wang<sup>\*‡</sup>, Mingyao Li<sup>§</sup> and Hakon Hakonarson<sup>\*||</sup>*

**Abstract** | Genome-wide association (GWA) studies have typically focused on the analysis of single markers, which often lacks the power to uncover the relatively small effect sizes conferred by most genetic variants. Recently, pathway-based approaches have been developed, which use prior biological knowledge on gene function to facilitate more powerful analysis of GWA study data sets. These approaches typically examine whether a group of related genes in the same functional pathway are jointly associated with a trait of interest. Here we review the development of pathway-based approaches for GWA studies, discuss their practical use and caveats, and suggest that pathway-based approaches may also be useful for future GWA studies with sequencing data.

# GSA-SNP software

- A Java based software for gene set analysis of SNP arrays
- Provides **three** widely used gene set analysis methods for SNPs: Z-statistic, Restandardization, and GSEA
- Based on p-values: Applicable to **both case-control and quantitative trait** data
- Quite **fast** and **easy to use**

Published online 25 May 2010

Nucleic Acids Research, 2010, Vol. 38, Web Server issue W749–W754  
doi:10.1093/nar/gkq428

# GSA-SNP: a general approach for gene set analysis of polymorphisms

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Received February 7, 2010; Revised April 24, 2010; Accepted May 6, 2010

Freely available from <http://gsa.muldas.org>

## ABSTRACT

Genome-wide association (GWA) study aims to identify the genetic factors associated with the traits of interest. However, the power of GWA analysis has been seriously limited by the enormous number of markers tested. Recently, the gene set analysis (GSA) methods were introduced to GWA studies to address the association of gene sets that share common biological functions. GSA considerably increased the power of association analysis and successfully identified coordinated association patterns of gene sets. There have been several approaches in this direction with some limitations. Here, we present a general approach for GSA in GWA analysis and a stand-alone software GSA-SNP that implements three widely used GSA methods. GSA-SNP provides a fast computation and an easy-to-use interface. The software and test datasets are freely available at <http://gsa.muldas.org>. We provide an exemplary analysis on adult heights in a Korean population.

## INTRODUCTION

Genome-wide association (GWA) study of a large population offers potential genetic causes of complex disease or the traits of interest (1,2). The typical approach assesses

beyond individual markers or genes. Moreover, many of those prominent SNPs are not reproducible among independent experiments. Another important problem is that many moderate but meaningful associations are lost below the stringent cutoff. In recent years, the gene set analysis (GSA) methods were taken into account in GWA studies which may address these problems.

GSA methods were originally developed for a transcriptome analysis to assess the differential expression of pre-defined gene sets that share common biological functions. They exhibited stronger statistical power than the individual gene analysis, and have revealed many novel gene sets with 'subtle but coordinated' expression patterns (3–5). Given that the basic goal of GWA studies is to prioritize the biological networks or processes associated with the trait of interest, it may be reasonable to consider the pre-defined gene sets or pathways as the units of an association analysis. Indeed, by analyzing SNPs on the gene set level, GSA was able to reveal many coordinated association patterns that might be lost by the individual marker analysis.

Several case-control studies employed GSA methods. Wang *et al.* (6) devised a GSEA framework for SNP arrays. They assigned the most highly associated SNP (best SNP) to each gene to summarize the association of multiple SNPs in each gene. Using the method, they successfully identified the Parkinson's disease susceptibility pathways. Wang *et al.* (7) applied the same methods which implicated the molecular mechanism of autism

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  - [without permutation](#)
- gene
  - [100 permutations](#)
  - [without permutation](#)
- haplotype
  - [without permutation](#)
- all
  - [download all above examples](#) (about 155 MB)

### Contact

E-mail to: [Dr. Dougu Nam](#) or [Dr. Sangsoo Kim](#)

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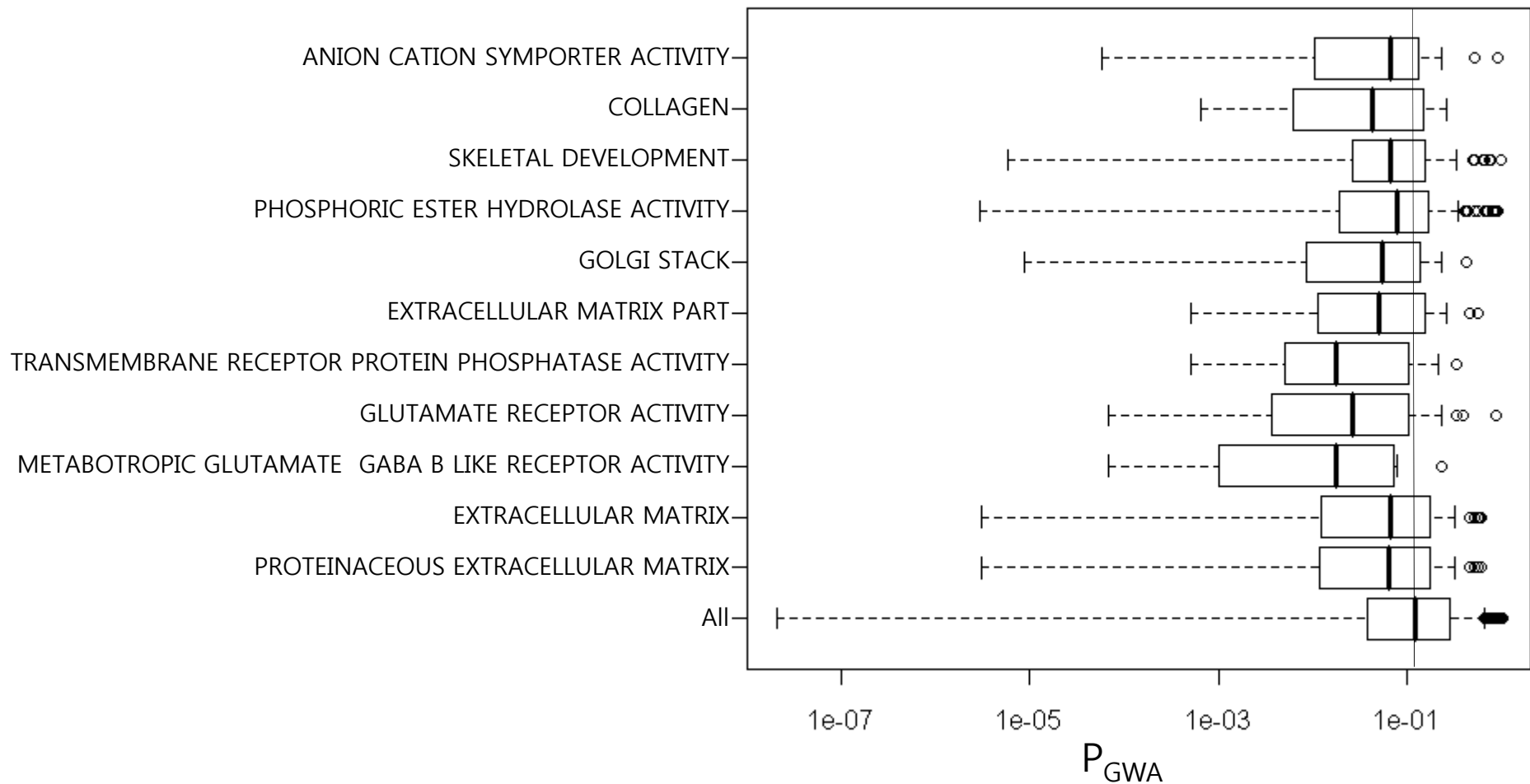
Updated: 2010/02/06

- Just type 'GSA-SNP' in google
- Program,  
tested data set,  
and  
user's manual  
are available

# Korea Association Resource (KARE) project

- Affymetrix 5.0 genotypes on 10,004 individuals (ages 40~69)
- 352,228 SNPs passed QC
  - 38,364 markers violated HWE ( $P < 10^{-6}$ )
  - 17,926 genotype call rates  $< 95\%$
  - 92,050 MAF  $< 0.01$
- 8,842 individuals passed QC
  - 11 sample contamination
  - 41 gender inconsistency
  - 608 cryptic relatedness
  - 101 serious concomitant illness
- Revisit by GSA-SNP

Moderate but consistent associations with *height* were detected in some Gene Ontology sets



# Literature survey (height)

|                                                      |                                                                                                                                                                                                                                                                                                                                                  |
|------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PROTEINACEOUS EXTRACELLULAR MATRIX                   | "A key biological function in height regulation" by Weedon et al. (2008) Genome-wide association analysis identifies 20 loci that influence adult height. Nat Genet, 40, 575-583.                                                                                                                                                                |
| EXTRACELLULAR MATRIX                                 |                                                                                                                                                                                                                                                                                                                                                  |
| METABOTROPIC GLUTAMATE GABA B LIKE RECEPTOR ACTIVITY | GRIA1, one of the members, was implicated near a loci associated with height in Croatian population. Endogenous activation of metabotropic glutamate receptors is known to modulate GABAergic transmission of gonadotropin-releasing hormone (GnRH) neurons. Moreover, treatment with a GnRH agonist in short adolescents increased adult height |
| GLUTAMATE RECEPTOR ACTIVITY                          |                                                                                                                                                                                                                                                                                                                                                  |
| TRANSMEMBRANE RECEPTOR PROTEIN PHOSPHATASE ACTIVITY  |                                                                                                                                                                                                                                                                                                                                                  |
| EXTRACELLULAR MATRIX PART                            | Related to EXTRACELLULAR MATRIX                                                                                                                                                                                                                                                                                                                  |
| GOLGI STACK                                          |                                                                                                                                                                                                                                                                                                                                                  |
| PHOSPHORIC ESTER HYDROLASE ACTIVITY                  |                                                                                                                                                                                                                                                                                                                                                  |
| SKELETAL DEVELOPMENT                                 | Gudbjartsson et al. (2008) Many sequence variants affecting diversity of adult human height. Nat Genet, 40, 609-615.                                                                                                                                                                                                                             |
| COLLAGEN                                             | The most abundant proteins in ECM                                                                                                                                                                                                                                                                                                                |
| ANION CATION SYMPORTER ACTIVITY                      |                                                                                                                                                                                                                                                                                                                                                  |

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