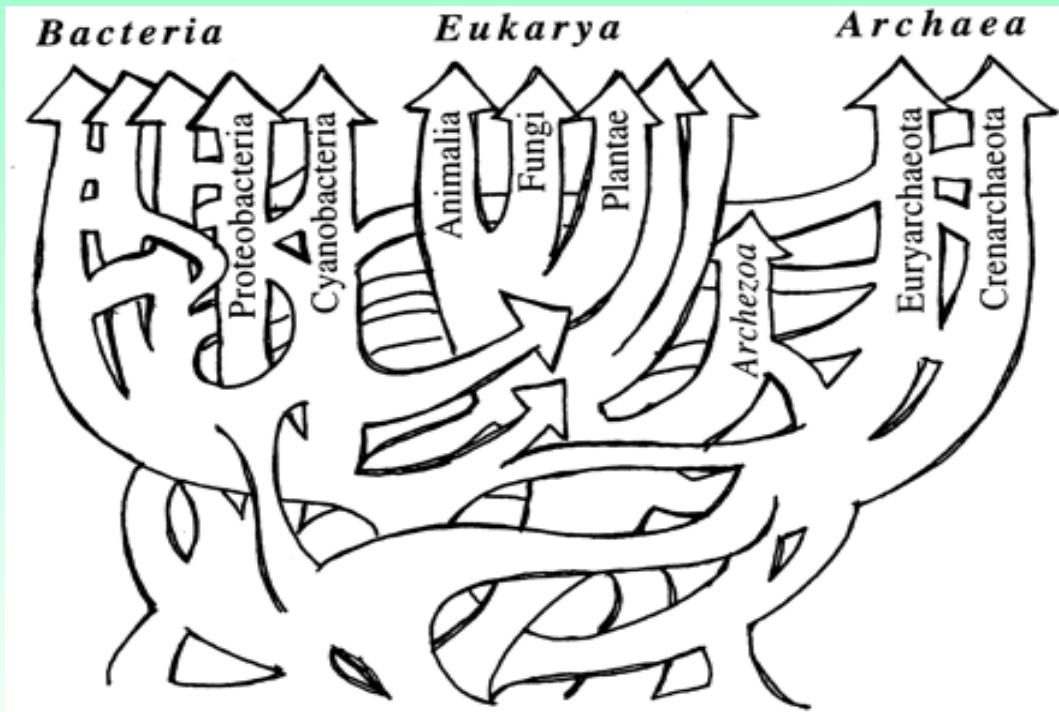
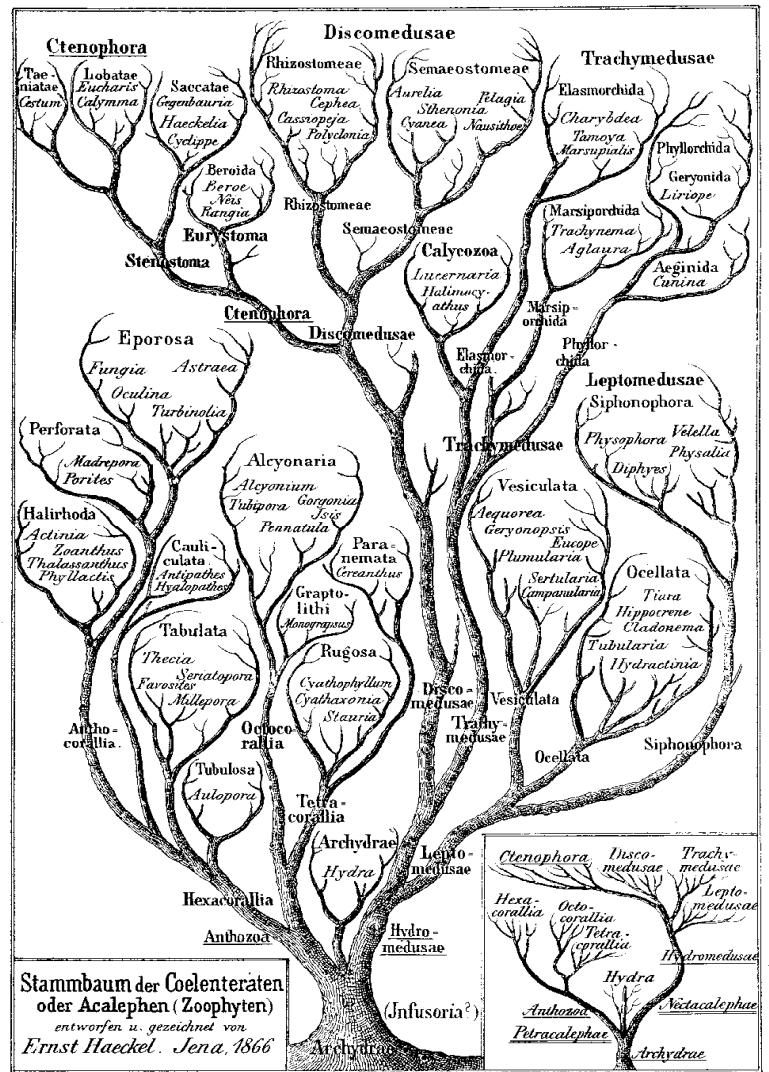


Horizontal Gene Transfer (HGT) : Phylogenetic Prediction and Experimental Test

Yang Zhong

(Fudan University / Tibet University)

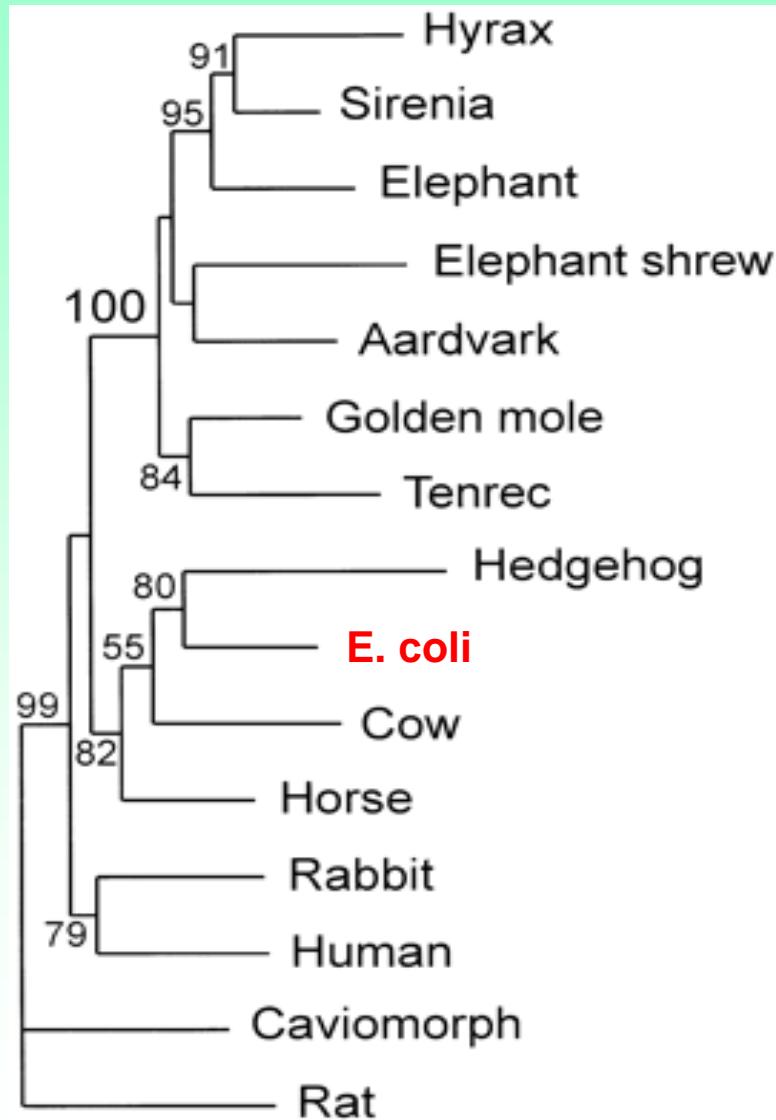
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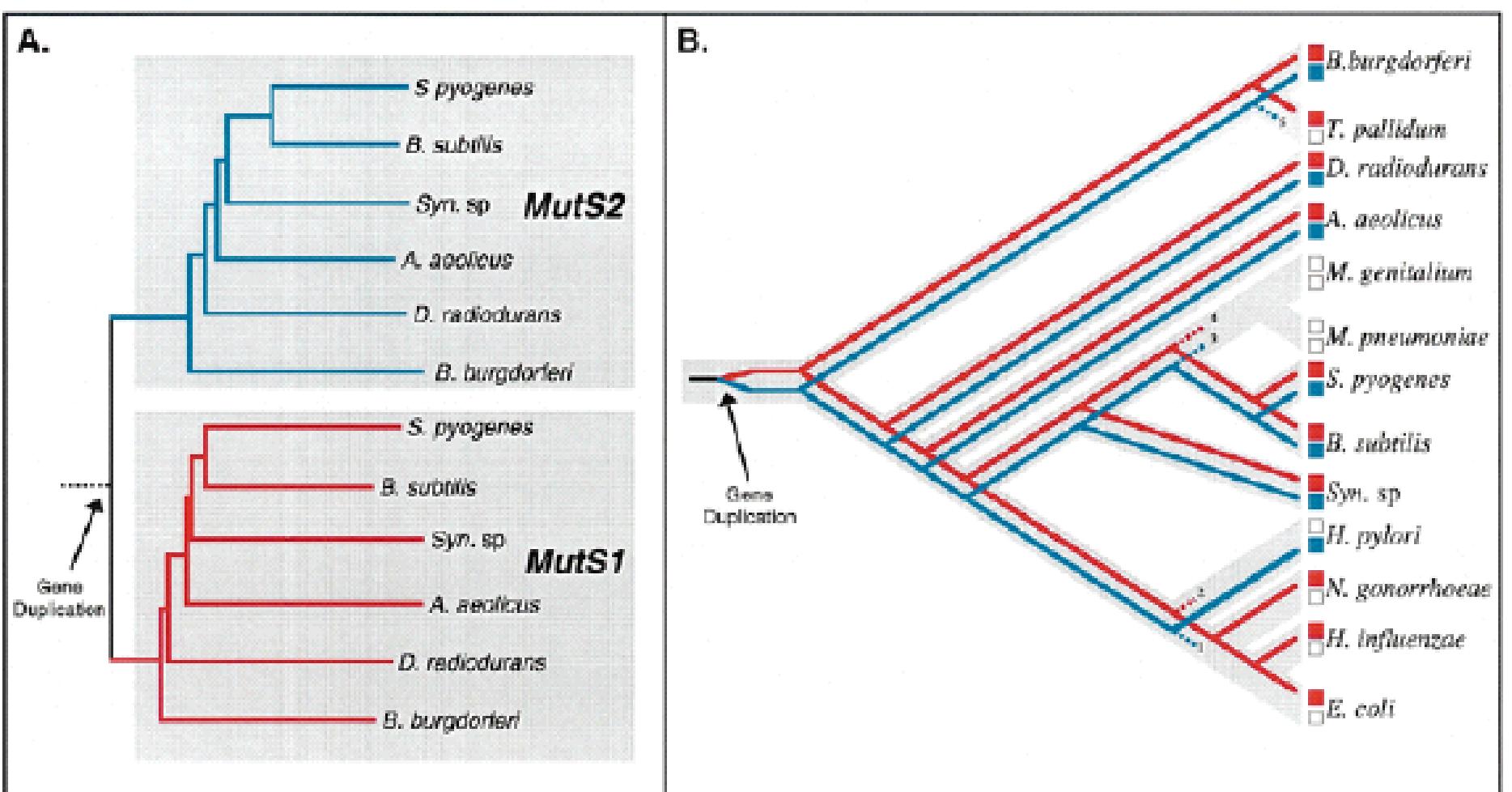
“Network of Life”

“Tree of Life”

HGT vs. Gene loss



Detecting gene loss using the gene trees and species tree



articles

Initial sequencing and analysis of the human genome

International Human Genome Sequencing Consortium*

* A partial list of authors appears on the opposite page. Affiliations are listed at the end of the paper.

The human genome holds an extraordinary trove of information about human development, physiology, medicine and evolution. Here we report the results of an international collaboration to produce and make freely available a draft sequence of the human genome. We also present an initial analysis of the data, describing some of the insights that can be gleaned from the sequence.

Hundreds of genes appear to have resulted from horizontal gene transfer from bacteria...

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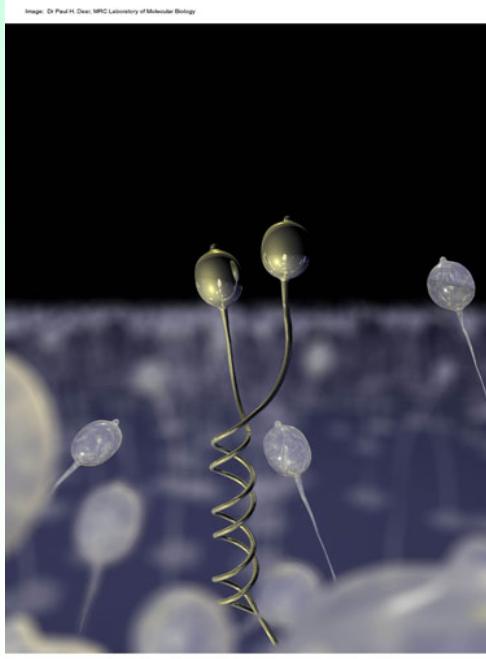
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Genes lost during evolution

One of the main conclusions presented by the International Human Genome Sequencing Consortium is that "hundreds of genes appear to have resulted from horizontal gene transfer from bacteria at some point in the vertebrate lineage"¹. We noticed that a significant proportion of these human genes have closely related orthologues in the primitive eukaryote *Dictyostelium*. This observation supports independent gene loss in multiple lineages (worm, fly, yeast, plants) rather than hor-

izontal gene transfer from bacteria.

The human genome sequence revealed 113 genes that share a high degree of identity with bacterial genes, but are absent in the completely sequenced genomes of *Caenorhabditis elegans*, *Drosophila melanogaster*, *Saccharomyces cerevisiae* and *Arabidopsis thaliana*¹. Do these genes represent examples of horizontal gene transfer from bacteria to the vertebrate lineage, or were they present in both prokaryotes and early eukaryotes, but subsequently lost from all non-vertebrate eukaryotic lineages? Although this latter possibility may seem unlikely, we recently identified a gene in *Dictyostelium* that is clearly an orthologue of the gene that encodes soluble



Within the group of 113 genes proposed to have entered the human genome by horizontal gene transfer from bacteria, we have identified at least 11 that probably arose through normal evolution with gene loss in several lineages, suggesting that gene loss is not a rare event. With several ongoing genomic sequencing projects for lower eukaryotes, it will be interesting to see how many genes have truly undergone horizontal transfer.

Whole genome of
Dictyostelium discoideum

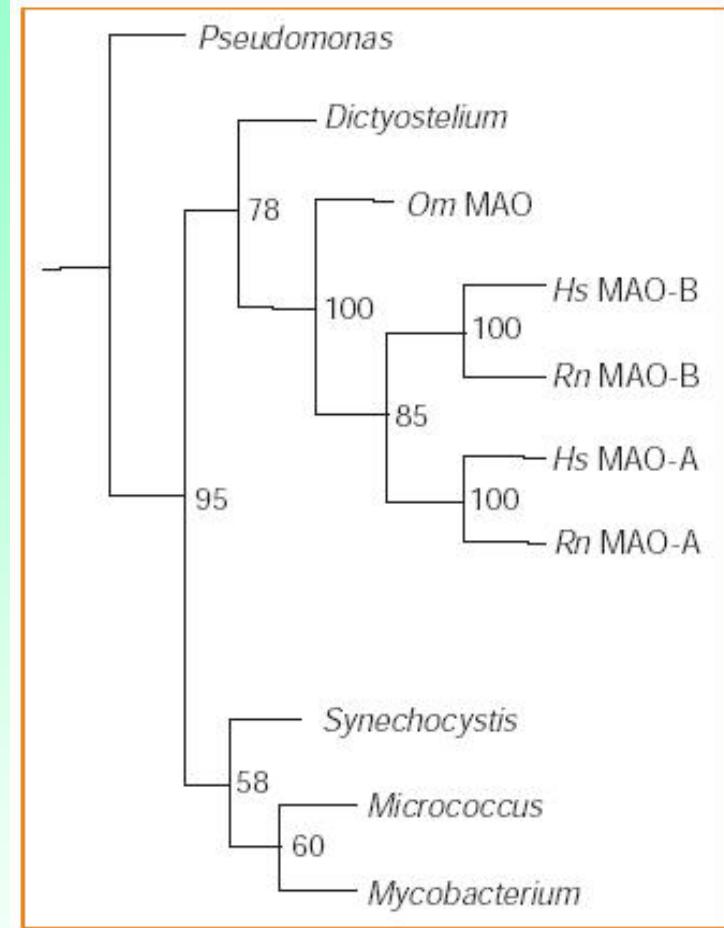


Figure 1 Phylogenetic analysis of monoamine oxidase (MAO). Numbers indicate values of bootstrap analysis ($n=100$). Hs, *Homo sapiens*; Rn, *Rattus norvegicus* (rat); Om, *Oncorhynchus mykiss* (rainbow trout).

Nature, 2001 411:1013-1014

HGT is common to organisms at “higher” levels



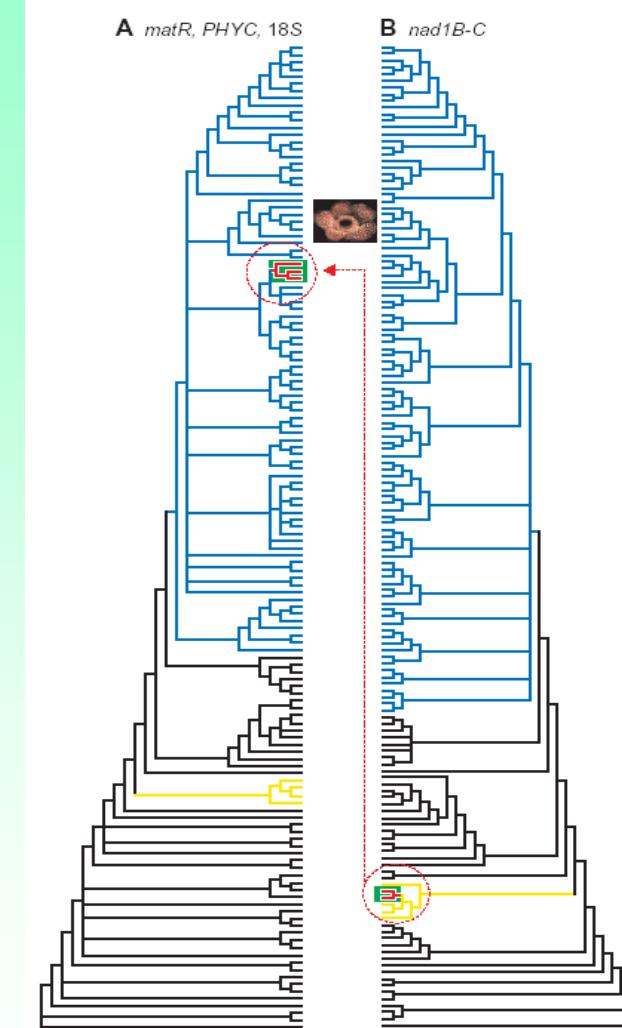
Rafflesia is a parasitic flowering plant, and has no stems, leaves or true roots

Host-to-Parasite Gene Transfer in Flowering Plants: Phylogenetic Evidence from Malpighiales

Charles C. Davis^{1*} and Kenneth J. Wurdack²

Horizontal gene transfer (HGT) between sexually unrelated species has recently been documented for higher plants, but mechanistic explanations for HGTs have remained speculative. We show that a parasitic relationship may facilitate HGT between flowering plants. The endophytic parasites Rafflesiaceae are placed in the diverse order Malpighiales. Our multigene phylogenetic analyses of Malpighiales show that mitochondrial (*matR*) and nuclear loci (18S ribosomal DNA and *PHYC*) place Rafflesiaceae in Malpighiales, perhaps near Ochnaceae/Clusiaceae. Mitochondrial *nad1B-C*, however, groups them within Vitaceae, near their obligate host *Tetrastigma*. These discordant phylogenetic hypotheses strongly suggest that part of the mitochondrial genome in Rafflesiaceae was acquired via HGT from their hosts.

Two conflicting hypotheses about the phylogenetic placement of Rafflesiaceae



Massive horizontal transfer of mitochondrial genes from diverse land plant donors to the basal angiosperm *Amborella*

Ulfar Bergthorsson[†], Aaron O. Richardson, Gregory J. Young, Leslie R. Goertzen[‡], and Jeffrey D. Palmer[§]

Department of Biology, Indiana University, Bloomington, IN 47405-3700

Contributed by Jeffrey D. Palmer, November 9, 2001

Several plants are known to have acquired a single mitochondrial gene by horizontal gene transfer (HGT), but whether these or any other plants have acquired many foreign genes is entirely unclear. To address this question, we focused on *Amborella trichopoda*, because it was already known to possess one horizontally acquired gene and because it was found in preliminary analyses to contain several more. We comprehensively sequenced the mitochondrial protein gene set of *Amborella*, sequenced a variable number of mitochondrial genes from 28 other diverse land plants, and conducted phylogenetic analyses of these sequences plus those already available, including the five sequenced mitochondrial genomes of angiosperms. Results indicate that *Amborella* has acquired one or more copies of 20 of its 31 known mitochondrial protein genes from other land plants, for a total of 26 foreign genes, whereas no evidence for HGT was found in the five sequenced genomes. Most of the *Amborella* transfers are from other angiosperms (especially eudicots), whereas others are from nonangiosperms, including six striking cases of transfer from (at least three different) moss donors. Most of the transferred genes are intact, consistent with functionality and/or recency of transfer. *Amborella* mtDNA has sustained proportionately more HGT than any other eukaryotic, or perhaps even prokaryotic, genome yet examined.

Materials and Methods

We used primers for conserved regions of angiosperm mitochondrial genes in an attempt to PCR-amplify and sequence all mitochondrial protein genes from *A. trichopoda* (primer sequences available on request). Many *Amborella* reactions produced multiple bands, heterogeneous sequence, or unreadable sequence; these were cloned, and multiple (usually eight) clones were sequenced. This process yielded portions of 27 genes. We then used PCR to amplify and sequence as many of these 27 genes as possible, plus the four genes already sequenced from *Amborella* mDNA, from 13 other angiosperms (see Fig. 5, which is published as supporting information on the PNAS web site, for taxa and sources) and three gymnosperms. For each of these plants, we carried out 80 PCRs with conserved mitochondrial primers. Selected genes were amplified and sequenced from 12 additional nonangiosperms. PCR was performed under the following conditions: 95°C for 2 min, 35 cycles of 95°C for 30 s, 55° or 52°C for 30 s, 72°C for 2 min, and 72°C for 5 min. PCR products were cleaned by using 2 μl of ExoSAP-IT (United States Biochemical). Sequences were generated by using an ABI 3730 (Applied BioSystems). Sequence traces were assembled and aligned by using CORALINE, ALIGNER 1.2.2.

Sequences were aligned by using either BIOEDIT or SE ALIGNER by using the codon code alignment.

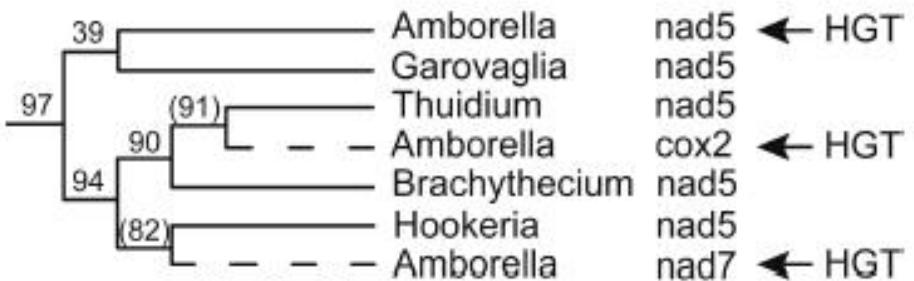


Fig. 2. *Amborella* acquired three genes from different moss donors. The solid parts of the cladogram and nonparenthetical bootstrap values are from the *nad5* intron phylogeny of Fig. 6. The dashed lines and other bootstrap values indicate the relationship to the indicated mosses of the moss-derived *cox2* and *nad7* genes of *Amborella*, as per the *cox2* gene tree of Fig. 1 and the *nad7* intron tree of Fig. 6.

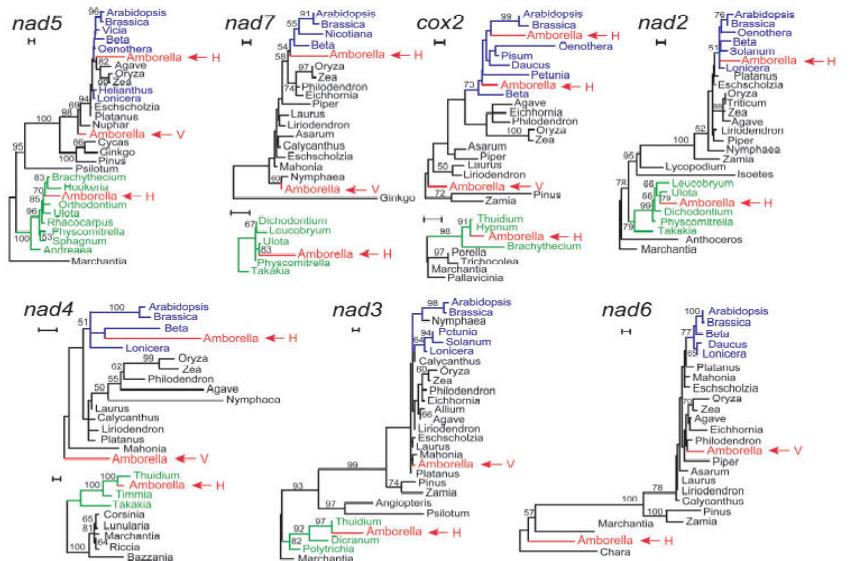


Fig. 1. Phylogenetic evidence for horizontal acquisition of genes from mosses and angiosperms in *Amborella*. Shown are ML trees. Bootstrap values (100 ML replicates) >50% are shown. H and V indicate *Amborella* genes of putatively horizontal and vertical transmission, respectively. *Amborella* genes are in red, core eudicot genes are in blue (basal eudicots commonly included are *Platanus*, *Eschscholzia*, and *Mahonia*), and moss genes are in green. Note that for *ndz2*, *cox2*, and *oak4*, seed and nonseed plants were analyzed separately. Sr, La, Bla correspond to 0.01 substitutions per site.



Fig. 4. *A. trichopoda* leaf from a cloud forest at Massif de l'Aoupinie (Province Nord in New Caledonia) at 801 m altitude. Note the greenish bryophyte (liverwort) growth covering the leaf tip, and the small spots of lichens and other epiphytes elsewhere on the leaf. Photograph courtesy of Sean Graham, Centre for Plant Research, University of British Columbia, Vancouver.

Plant genetics

Gene transfer from parasitic to host plants

Plant mitochondrial genes are transmitted horizontally across mating barriers with surprising frequency, but the mechanism of transfer is unclear^{1,2}. Here we describe two new cases of horizontal gene transfer, from parasitic flowering plants to their host flowering plants, and present phylogenetic and biogeographic evidence that this occurred as a result of direct physical contact between the two. Our findings complement the discovery that genes can be transferred in the opposite direction, from host to parasite plant³.



Figure 2 A parasitic dodder (*Cuscuta californica*) in flower, with its haustoria penetrating a host tomato plant.

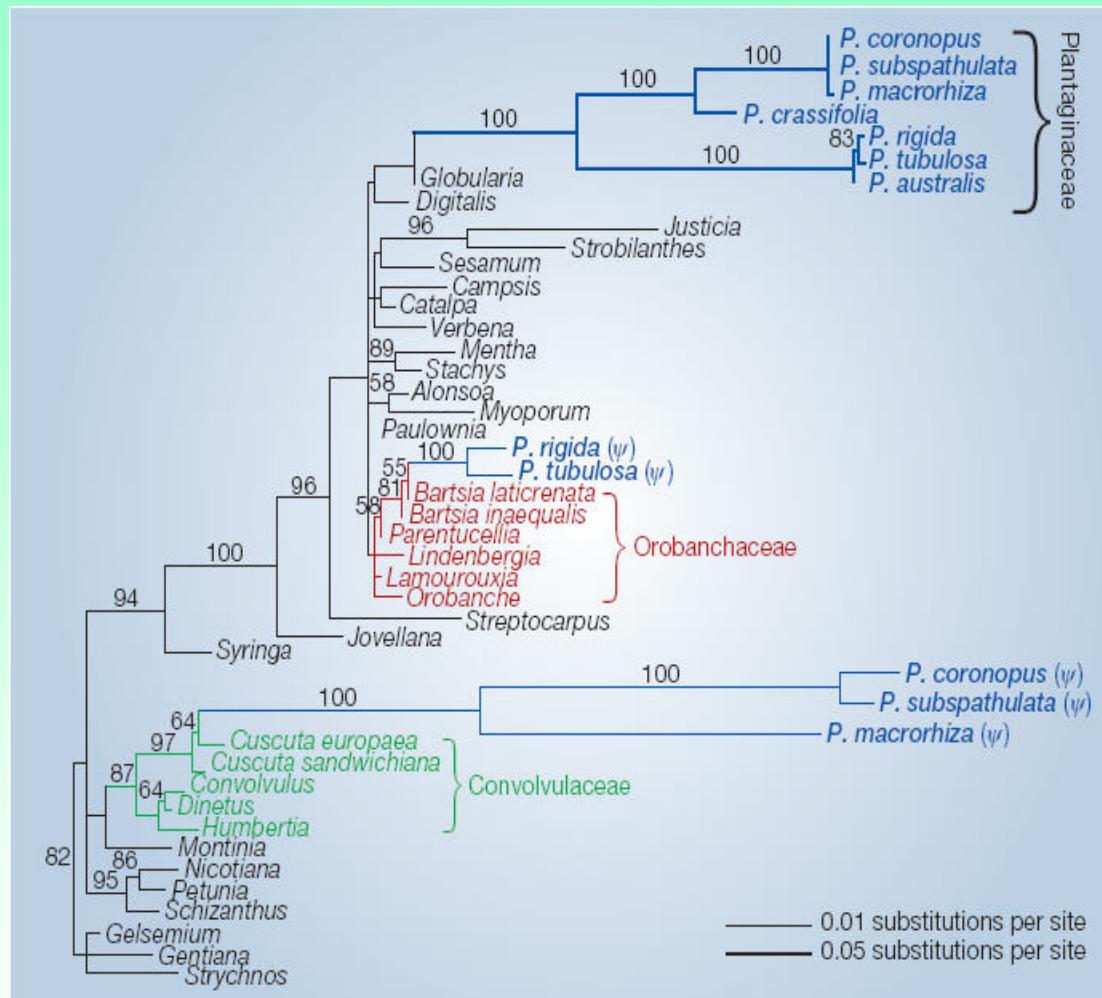


Figure 1 Phylogenetic evidence for two horizontal transfer events of the gene *atp1* into *Plantago* (blue). Seven *Plantago* *atp1* genes at the top of the maximum-likelihood tree are intact, vertically transmitted, and rapidly evolving (scale reduced by 80%). The other two sets of *Plantago* *atp1* genes are pseudogenes (ψ) acquired from parasitic plants in the Orobanchaceae (red) and Convolvulaceae (green). Bootstrap values of over 50% are shown. For methods, see supplementary information.

Horizontal gene transfer of the algal nuclear gene *psbO* to the photosynthetic sea slug *Elysia chlorotica*

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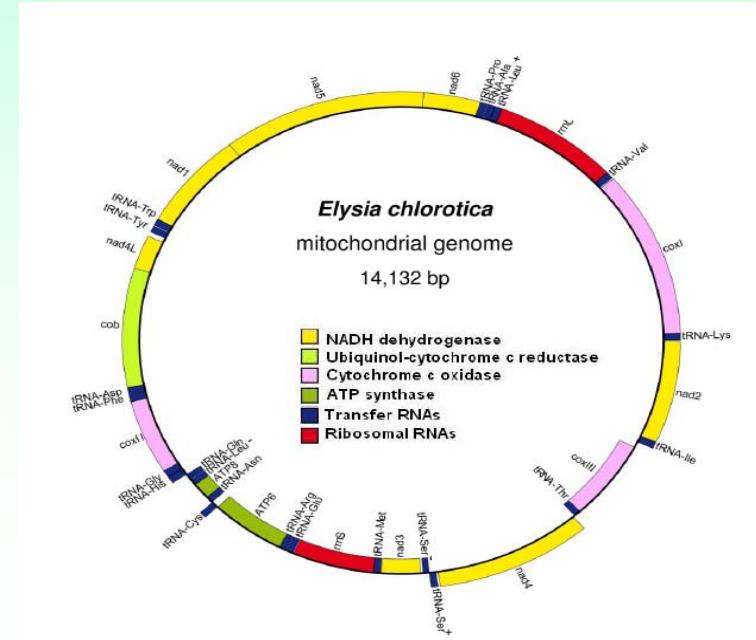
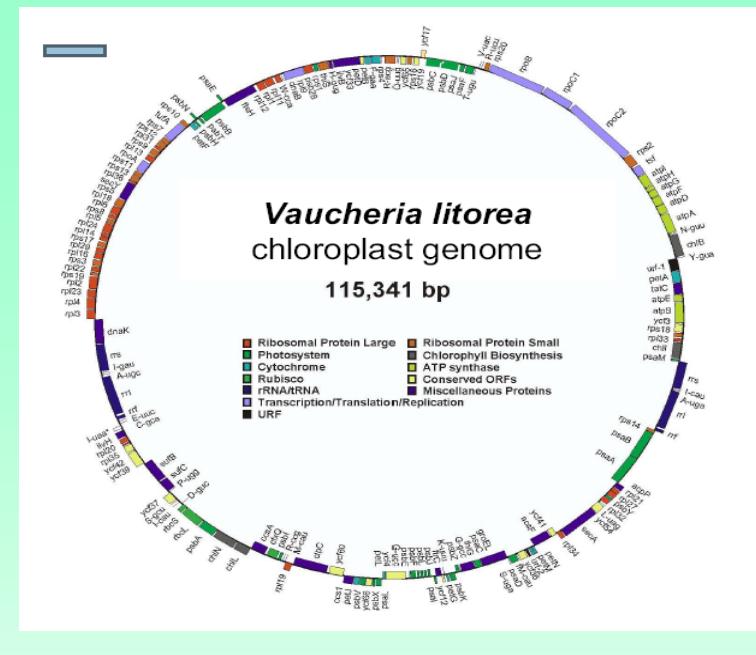
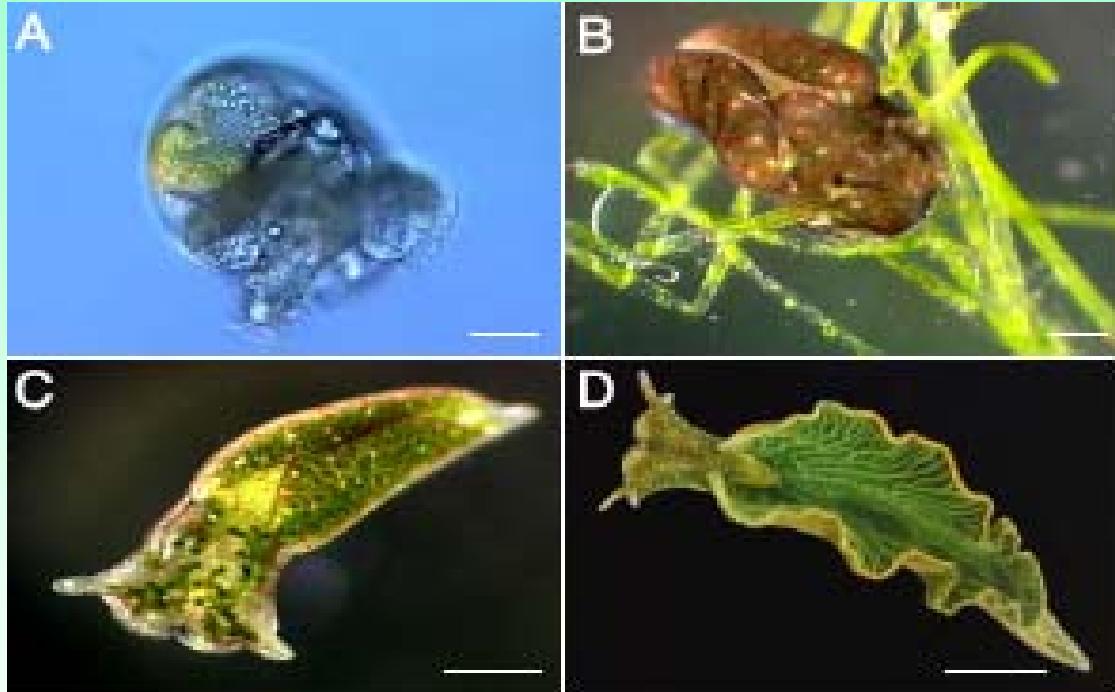
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Edited by Lynn Margulis, University of Massachusetts, Amherst, MA, and approved September 17, 2008 (received for review June 9, 2008)

The sea slug *Elysia chlorotica* acquires plastids by ingestion of its algal food source *Vaucheria litorea*. Organelles are sequestered in the mollusc's digestive epithelium, where they photosynthesize for months in the absence of algal nucleoplasm. This is perplexing because plastid metabolism depends on the nuclear genome for >90% of the needed proteins. Two possible explanations for the persistence of photosynthesis in the sea slug are (*i*) the ability of *V. litorea* plastids to retain genetic autonomy and/or (*ii*) more likely, the mollusc provides the essential plastid proteins. Under the latter scenario, genes supporting photosynthesis have been acquired by the animal via horizontal gene transfer and the encoded proteins are retargeted to the plastid. We sequenced the plastid genome and confirmed that it lacks the full complement of genes required for photosynthesis. In support of the second scenario, we demonstrated that a nuclear gene of oxygenic photosynthesis, *psbO*, is expressed in the sea slug and has integrated into the germline. The source of *psbO* in the sea slug is *V. litorea* because this sequence is identical from the predator and prey genomes. Evidence that the transferred gene has integrated into sea slug nuclear DNA comes from the finding of a highly diverged *psbO* 3' flanking sequence in the algal and mollusc nuclear homologues and gene absence from the mitochondrial genome of *E. chlorotica*. We demonstrate that foreign organelle retention generates metabolic novelty ("green animals") and is explained by anastomosis of distinct branches of the tree of life driven by predation and horizontal gene transfer.

20). Most of these latter examples are associated with parasitism or phagotrophy, including the elegant studies of HGT from the α -proteobacteria *Wolbachia* to insects and nematodes (16–18), and the finding of rhizobial-like genes in plant parasitic nematodes (19, 20). The exchange of genetic material between two eukaryotes is extremely rare, or at least not well documented to date. The best-studied cases include the transfer of mitochondrial DNA from achlorophyllous or epiphytic plants to the mitochondrial genome (mtDNA) of their closely related photosynthetic hosts (21), the exchange of transposons between two animal (22) or two plant (23) species, and the presence of plant genes in plant parasitic nematodes (in addition to the rhizobial genes discussed previously), which are hypothesized to be "defense" genes whose products protect the parasite from host detection (20).

The sacoglossan mollusc (sea slug) *Elysia chlorotica* represents a unique model system to study the potential for interdomain HGT between two multicellular eukaryotes—in this case, from a filamentous secondary (heterokont) alga (*Vaucheria litorea*) to a mollusc. This emerald green sea slug owes its coloring and photosynthetic ability to plastids acquired during herbivorous feeding (24–29). The plastids do not undergo division in the sea slug and are sequestered intracellularly in cells lining the finely divided digestive diverticula. The plastids continue to carry out photosynthesis, providing the sea slug with energy and carbon during its approximately 10-month life span (27, 28). Long-term plastid activity continues despite the absence of algal nuclei (27, 29), and hence a source of nuclear-encoded plastid-targeted





Cistanche deserticola



Haloxylon ammodendron

Cistanche deserticola ?



Complete Chloroplast Genome Sequence of Holoparasite *Cistanche deserticola* (Orobanchaceae) Reveals Gene Loss and Horizontal Gene Transfer from Its Host *Haloxylon ammodendron* (Chenopodiaceae)

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Masami Hasegawa¹, M. James C Crabbe³, Jianqiang Li^{4*}, Yang Zhong^{1,5*}

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Abstract

Background: The central function of chloroplasts is to carry out photosynthesis, and its gene content and structure are highly conserved across land plants. Parasitic plants, which have reduced photosynthetic ability, suffer gene losses from the chloroplast (cp) genome accompanied by the relaxation of selective constraints. Compared with the rapid rise in the number of cp genome sequences of photosynthetic organisms, there are limited data sets from parasitic plants.

Principal Findings/Significance: Here we report the complete sequence of the cp genome of *Cistanche deserticola*, a holoparasitic desert species belonging to the family Orobanchaceae. The cp genome of *C. deserticola* is greatly reduced both in size (102,657 bp) and in gene content, indicating that all genes required for photosynthesis suffer from gene loss and pseudogenization, except for *psbM*. The striking difference from other holoparasitic plants is that it retains almost a full set of tRNA genes, and it has lower *dN/dS* for most genes than another close holoparasitic plant, *E. virginiana*, suggesting that *Cistanche deserticola* has undergone fewer losses, either due to a reduced level of holoparasitism, or to a recent switch to this life history. We also found that the *rpoC2* gene was present in two copies within *C. deserticola*. Its own copy has much shortened and turned out to be a pseudogene. Another copy, which was not located in its cp genome, was a homolog of the host plant, *Haloxylon ammodendron* (Chenopodiaceae), suggesting that it was acquired from its host via a horizontal gene transfer.

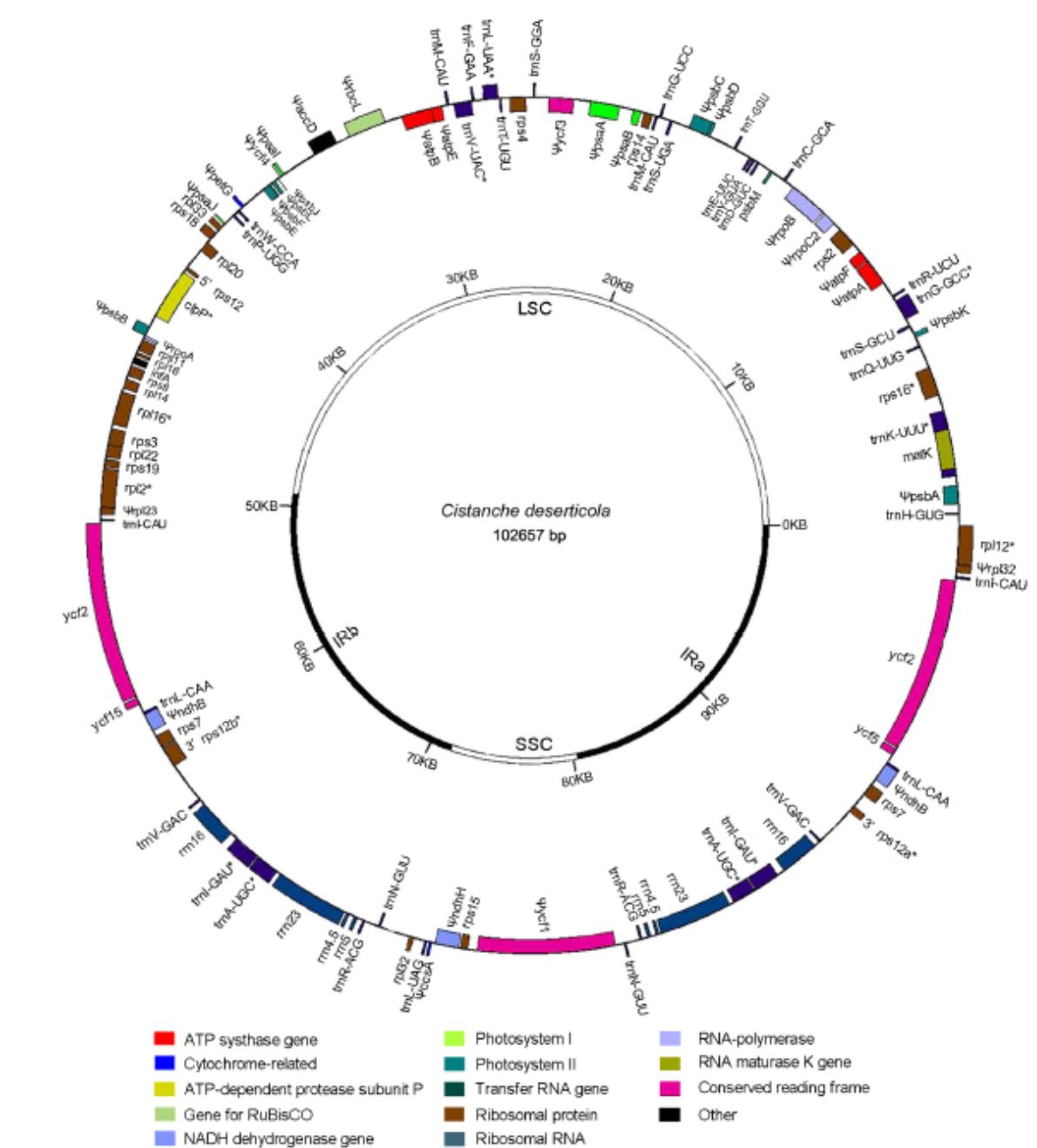


Figure 1. Gene Maps of the plastid chromosomes of *C. deserticola*. Genes shown inside the circle are transcribed clockwise, those outside the circle are transcribed counterclockwise. The large single copy region (LSC) and the small single copy region (SSC) are separated by two inverted repeats (IR_a and IR_b). Asterisks indicate intron containing genes. Pseudogenes are marked by ψ.

doi:10.1371/journal.pone.0058747.g001

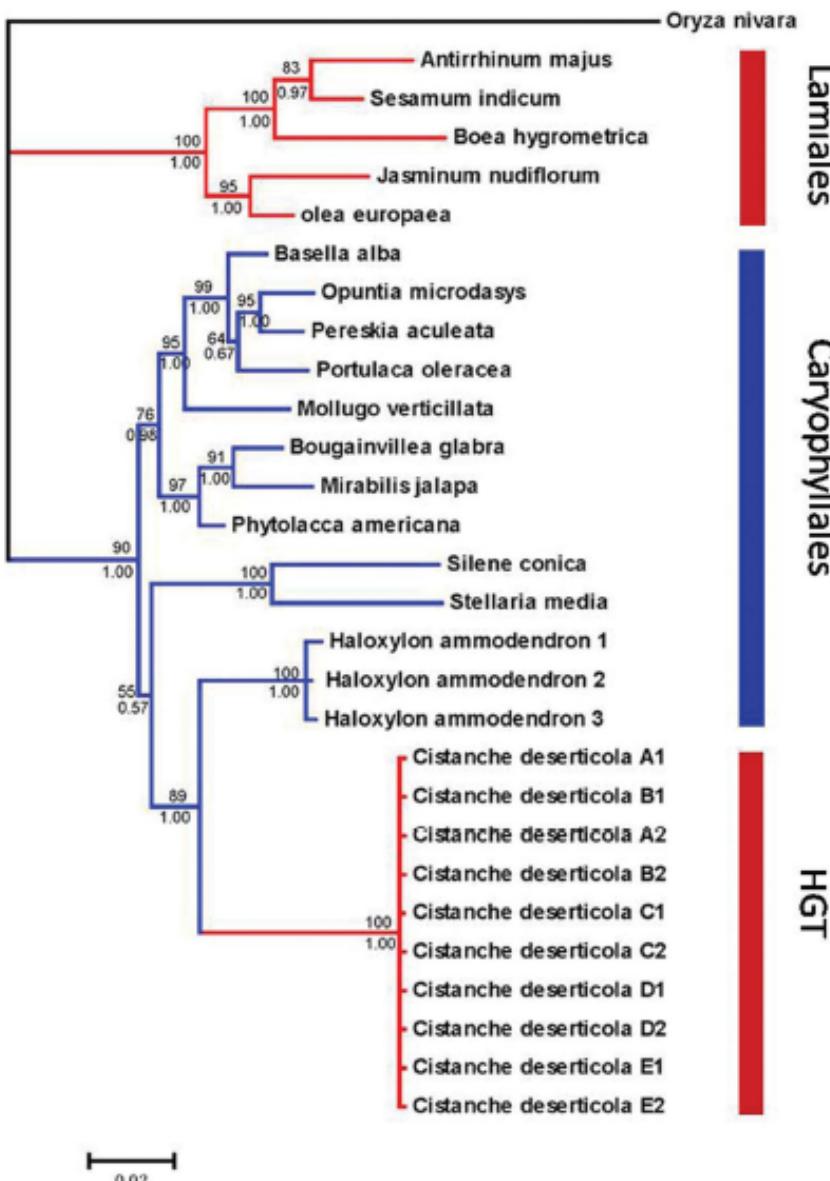


Figure 3. Phylogenetic evidence for horizontal gene transfer of the plastid *rpoC2* from *Haloxylon ammodendron* to *Cistanche deserticola*. Lamiales are coloured in red, and Caryophyllales are coloured in blue. While the ten *C. deserticola* sequences involved in horizontal gene transfer are coloured in red. Numbers at nodes are posterior probabilities >0.60 and maximum likelihood bootstrap values >60. The Genbank number: *Oryza nivara*, NC_005973; *Antirrhinum indicum*, GQ997028; *Sesamum indicum*, NC_016433; *Boea hygrometrica*, NC_016468; *Jasminum nudiflorum*, NC_008407; *olea europaea*, NC_013707; *Basella alba*, HQ843359; *Opuntia microdasys*, HQ843375; *Pereskia aculeata*, HQ843376; *Portulaca oleracea*, HQ843380; *Mollugo verticillata*, HQ843373; *Bougainvillea glabra*, HQ843360; *Mirabilis jalapa*, HQ843372; *Phytolacca americana*, HQ843378; *Celosia cristata*, HQ843361; *Spinacia oleracea*, NC_002202; *Silene conica*, NC_016729; *Stellaria media*, HQ843386; *Cistanche deserticola* (HGT), KCS43998.

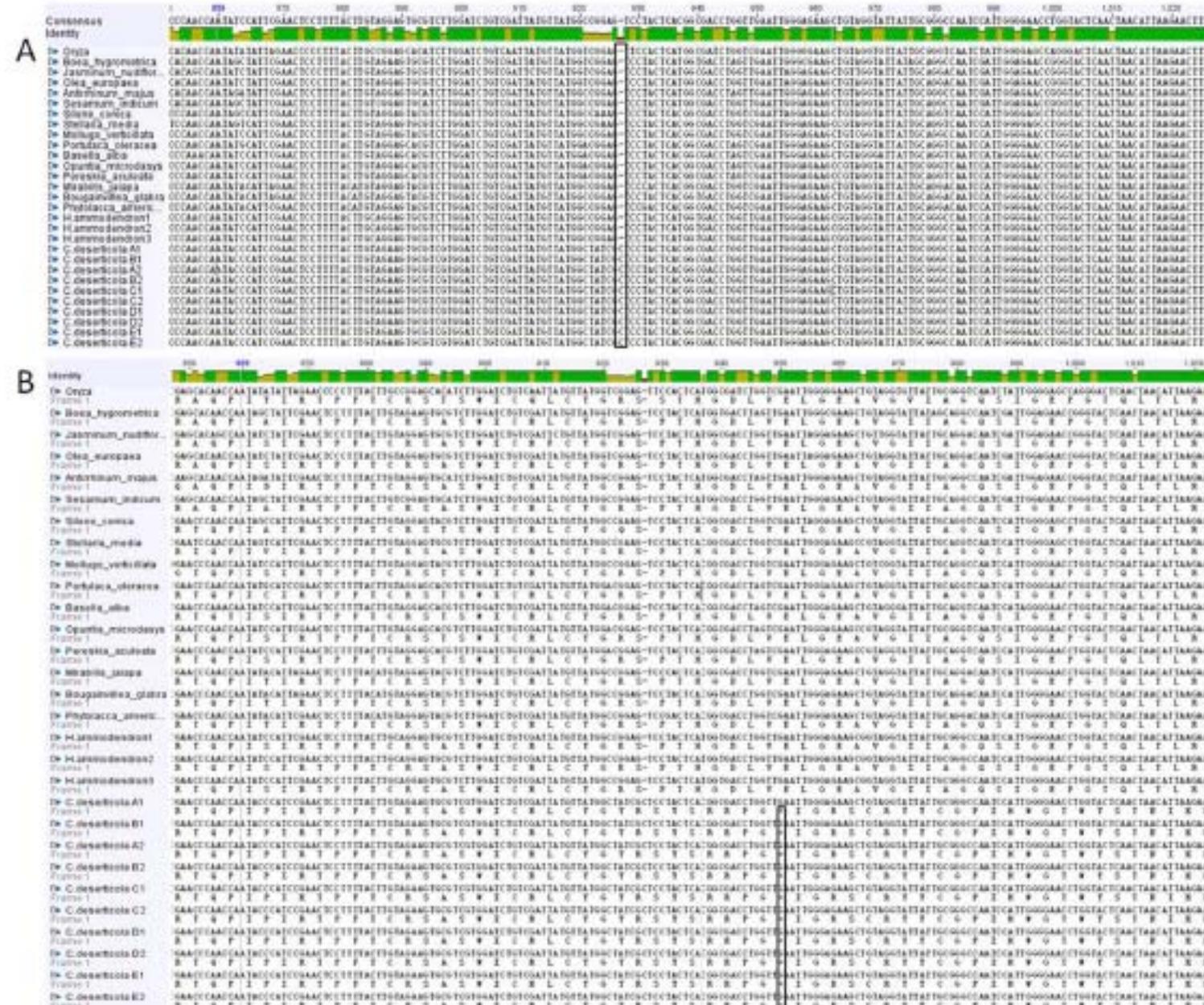


Figure 4. Position of inserted cytosine within the transferred *rpoC2* gene. (A) Alignment of the nucleotide sequences of transferred *rpoC2* gene amplified from parasite and host with intact open reading frames of other related species. The inserted cytosine was labeled with colored vertical lines. (B) Inserted cytosine resulted in followed premature termination codon in the transferred *rpoC2* in *Cistanche deserticola*.

[doi:10.1371/journal.pone.0058747.g00](https://doi.org/10.1371/journal.pone.0058747.g00)

Rhus gall aphids and their host plants: a case study



Rhus gall aphids and their lifecycles

- known as *Woo-pei-tsze* (五倍子) or *Chinese gall*
- specially refer to the aphid group parasitizing on *Rhus* species to induce galls
- economically important in Asia as traditional medicines as well as sources of industrial tannin
- lifecycles with alternating sexual and parthenogenetic generations
- unique in alternating hosts with *Rhus* species as primary (summer) and mosses as secondary (winter) hosts
- **Aphid-*Rhus* association:** at least 48 million years according to fossil and biogeographic evidence (Moran, 1989)

Diversity of parasitic-host relationships

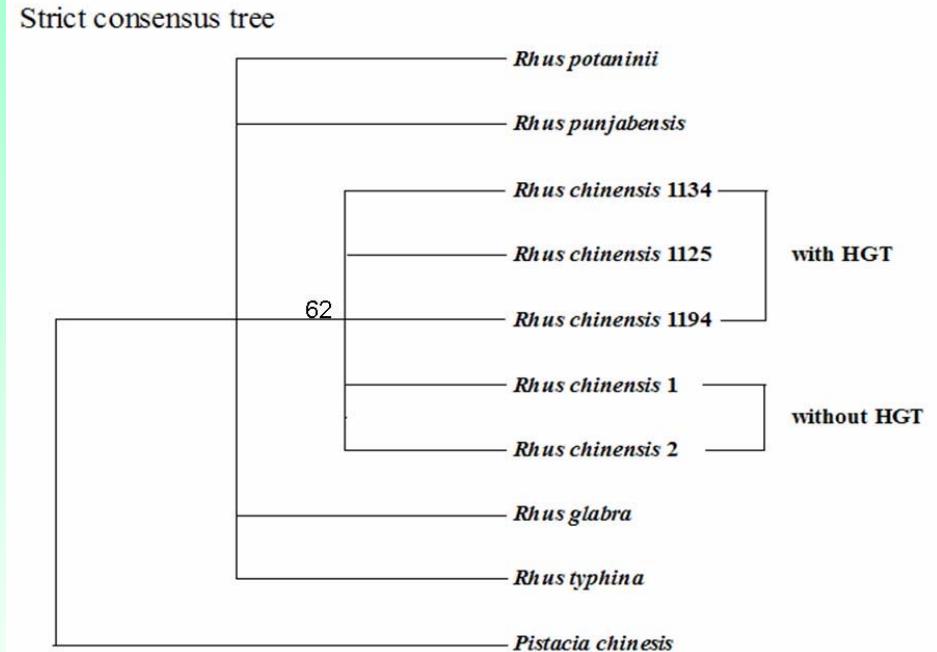
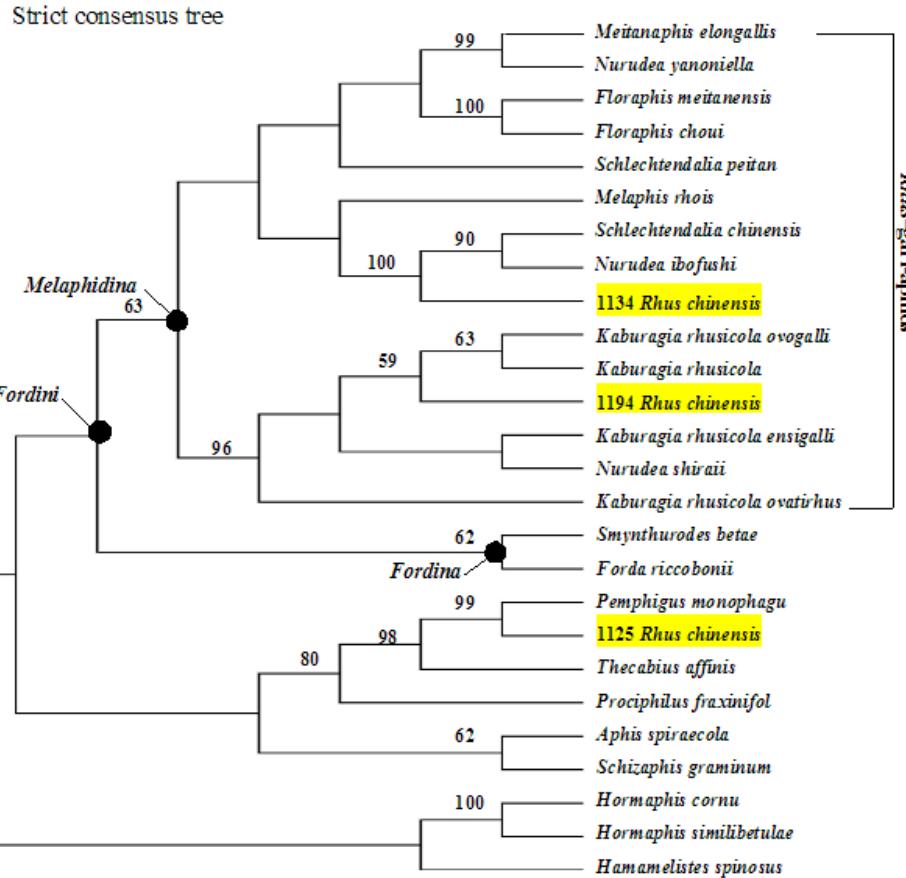
<i>Rhus gall aphid</i>	No of secondary hosts	Primary host
<i>Schlechtendalia</i>	<i>S. chinensis</i>	13
	<i>S. peitan</i>	5
<i>Nurudea</i>	<i>N. ibofushi</i>	NA
	<i>N. shiraii</i>	8
	<i>N. yanoniella</i>	NA
<i>Floraphis</i>	<i>F. meitanensis</i>	<i>Rhus punjabensis</i>
	<i>F. choui</i>	NA
<i>Kaburagia</i>	<i>K. rhusicola</i>	1
	<i>K. r. ovatirhusicola</i>	2
	<i>K. r. ovogallis</i>	12
	<i>K. r. ensigallis</i>	14
<i>Meitanaphis</i>	<i>M. elongallis</i>	4
	<i>M. flavogallis</i>	NA
	<i>M. microgallis</i>	NA
<i>Melaphis</i>	<i>M. rhois</i>	<i>Rhus typhina</i> <i>Rhus glabra</i>

From Zhang(1999) and Eastop and Hille Ris Lambers (1976)

Evidence

	1	11	21	31	41	51
Nurudea_shiraii	ATTACAAATAT	TATTAACAGA	CCGAAATCTA	AATACATCTT	TTTTGATCC	ATCAGGGAGGA
Schlechtendalia_chinensis	T.....CT..	T.....
Rhus_chinensis1194_HGT	-----	-----	-----	-----	-----	-----
Rhus_chinensis1194	TCAT...CT.	...A.TGTTT	TAAT..CGGC	TCACGC.T...	..AA.....T	T.TTTAT..T
	61	71	81	91	101	111
Nurudea_shiraii	GGAGACCCCTA	TTTTATATCA	ACATTTATT	TGATTTTTTG	GACATCCTGA	AGTATATATT
Schlechtendalia_chinensisT..A.T.....A..
Rhus_chinensis1194_HGTA.C.	...C..T..C..
Rhus_chinensis1194	TAT.C.GGC	A.GATAGGTG	GATC.GG.RA	.TGG.C.G.T	CCG..T...	TAGG.GC..CC
	121	131	141	151	161	171
Nurudea_shiraii	TTAATTTTAC	CAGGATTCGG	CTTAATCTCA	CATATTATT	GTCAGAGAAAG	AAATAAAAAT
Schlechtendalia_chinensis	G.....T..TA.....	T.....
Rhus_chinensis1194_HGT	T.....
Rhus_chinensis1194	.G.CA.GGCA	TTTCCACGAT	TAA.TAA.AT	TTC...C.GG	T.GTT.CC.C	C..GTCTCT.
	181	191	201	211	221	231
Nurudea_shiraii	GAACACATTG	GAATATTCAG	AATAATTAC	GCAATATTAA	CAATTGGATT	ATTAGGATT
Schlechtendalia_chinensisT.	T.....TT..
Rhus_chinensis1194_HGTT..
Rhus_chinensis1194	.CTC.TA.TA	AGCTC.G.CT	T.GT.GAGT	.GGTAGCGGC	ACTGG.TGGA	CGGTCT..CC
	241	251	261	271	281	291
Nurudea_shiraii	ATTGTATGAG	CCCATCATAT	ATTTACATT	GGAATAGATG	TAGACACTCG	AGCTTATTT
Schlechtendalia_chinensis	..C.....	.T.....T..T.....
Rhus_chinensis1194_HGTC..
Rhus_chinensis1194	GCCC.TAAGT	GGT..T.CCA	GCCATTCTGG	A.G.GCAG.T	G.TT..GCAA	TTTC..G.C.
	301	311	321	331	341	351
Nurudea_shiraii	ACATCTGCTA	CAATAATTAT	TGCCATTCCA	ACCGGAATT	AAATTTTCAG	TTGACTTGC
Schlechtendalia_chinensisA.....	.T.....T.....T..	...G.....A
Rhus_chinensis1194_HGT
Rhus_chinensis1194	T.....ATCT	GGTGTT.C..	CCATT..AGG	TT.TATCAAT	TTTA.RA..A	C..AT..CCAA
	361	371	381	391	401	411
Nurudea_shiraii	ACTATTTATG	GATCAAAAAAT	TAATCTTCT	CCATCTACTA	TTTGATCATT	AGGATTTATT
Schlechtendalia_chinensisT.A..C	..C..A.T..TA.	...T.....
Rhus_chinensis1194_HGTC..
Rhus_chinensis1194	CA.GCG.GGA	CC.GG..TGA	CT..GCA.AG	ATCA.CT...TGTGG.	CC.T.C..G.

Phylogenetic analyses



- “Insertion” of one copy in *Rhus* taxa into the aphids tree

- Another copy is still in *Rhus* group

Questions and Hypothesis

Samples:

Year 1:

- Seedlings: 200 individuals
- Adult Leaves: 55 individuals
- 5% frequency for HGT

Year 2:

- Seeds: 370 individuals
- Seedlings: 180 individuals

Year 3:

- Repeated samples: 200 individuals
- Gene transfer or (mt) Genome transfer?

Detecting HGT with next generation sequencing technology

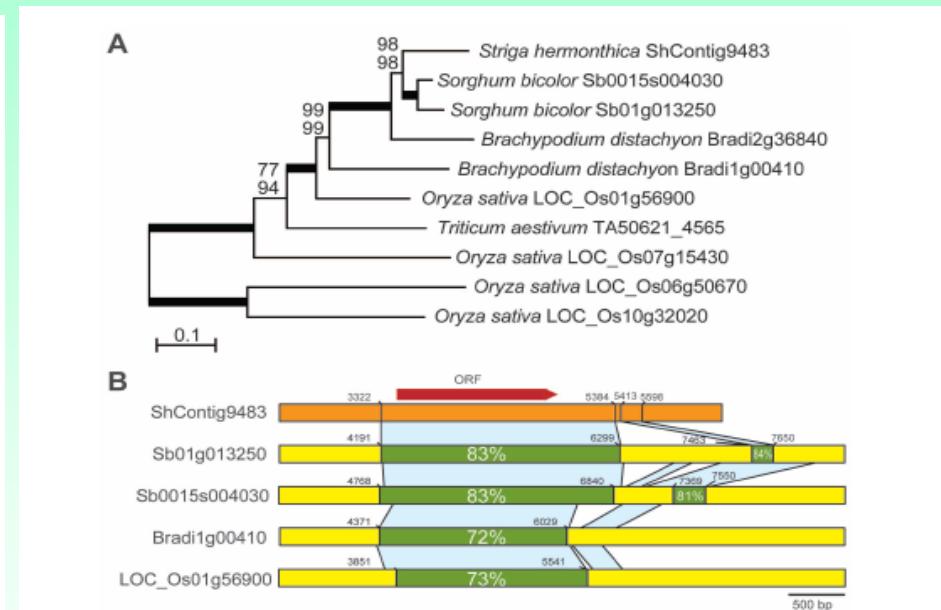
Horizontal Gene Transfer by the Parasitic Plant *Striga hermonthica*

Satoko Yoshida,¹ Shinichiro Maruyama,² Hisayoshi Nozaki,² Ken Shirasu^{1*}

Horizontal gene transfer (HGT) plays an important role in genome evolution (1). In plants, the majority of reported cases of HGT have been limited to exchanges between plants and microbes, mitochondrial transfer, or the translocation of mobile elements among related species (1). Parasitic plants are known to be vectors of mitochondrial HGT, but it has been unclear whether they also mediate nuclear HGT (1, 2).

Striga hermonthica (Del.) Benth. is a devastating parasitic plant that infests members of the grass family (Poaceae), including major crops such as sorghum (*Sorghum bicolor*) and rice (*Oryza sativa*). *S. hermonthica* belongs to the eudicot Orobanchaceae family of the order Lamiales (fig. S1) (3) and only infects monocot plants. Thus, we reasoned that we may be able to detect nuclear HGT, if it occurs, by identifying monocot-specific genes in the *S. hermonthica* genome. From a large-scale expressed sequence tag analysis of *S. hermonthica* (4), we found one gene, designated *ShContig9483*, which shows high similarity to genes in sorghum and rice but has no

homologs in eudicots (fig. S2A and table S1) (5). Southern blot analysis revealed *ShContig9483* cross-hybridization signals from sorghum, and rice to a lesser extent, reflecting its lower similarity, whereas no signals were detected from other closely related plants in Orobanchaceae nor from any nonparasitic eudicots (fig. S2B). This indicates that *ShContig9483* most likely originated in the monocots before its transfer to *S. hermonthica*. *ShContig9483* encodes a 448-amino acid protein with unknown function. Phylogenetic analysis of *ShContig9483* and related protein-coding sequences clusters *S. hermonthica* with sorghum (Fig. 1A). This tree conflicts with the phylogenetic position of *Striga* (3), suggesting that *S. hermonthica* acquired *ShContig9483* from sorghum or a related grass species. The *S. hermonthica* genomic region containing *ShContig9483* resides near a nuclear gene encoding a putative cis-prenyltransferase (fig. S3A). In contrast to *ShContig9483*, this putative cis-prenyltransferase gene from *S. hermonthica* clusters with genes from other eudicot species (fig. S3B).



Yoshida et al. 2010, *Science* April 15



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